

# Topological application of Perfect form theory

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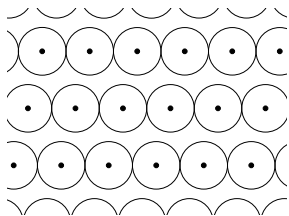
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# I. Lattices and Gram matrices

## Lattice packings

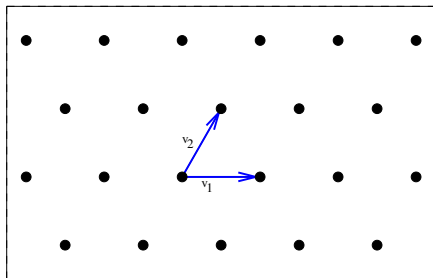
- ▶ A **lattice**  $L \subset \mathbb{R}^n$  is a set of the form  $L = \mathbb{Z}v_1 + \dots + \mathbb{Z}v_n$  with  $(v_1, \dots, v_n)$  independent.
- ▶ A **packing** is a family of balls  $B_n(x_i, r)$ ,  $i \in I$  of the same radius  $r$  and center  $x_i$  such that their interiors are disjoint.



- ▶ If  $L$  is a lattice, the **lattice packing** is the packing defined by taking the maximal value of  $\alpha > 0$  such that  $L + B_n(0, \alpha)$  is a packing.
- ▶ The maximum  $\alpha$  is called  $\lambda(L)$  and the determinant of  $(v_1, \dots, v_n)$  is  $\det L$ .

## Gram matrix and lattices

- ▶ Denote by  $S^n$  the vector space of real symmetric  $n \times n$  matrices,  $S_{>0}^n$  the convex cone of real symmetric positive definite  $n \times n$  matrices and  $S_{\geq 0}^n$  the convex cone of real symmetric positive semidefinite  $n \times n$  matrices.
- ▶ Take a basis  $(v_1, \dots, v_n)$  of a lattice  $L$  and associate to it the **Gram matrix**  $G_v = (\langle v_i, v_j \rangle)_{1 \leq i, j \leq n} \in S_{>0}^n$ .
- ▶ Example: take the hexagonal lattice generated by  $v_1 = (1, 0)$  and  $v_2 = \left(\frac{1}{2}, \frac{\sqrt{3}}{2}\right)$



$$G_v = \frac{1}{2} \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$$

## Isometric lattices

- ▶ Take a basis  $(v_1, \dots, v_n)$  of a lattice  $L$  with  $v_i = (v_{i,1}, \dots, v_{i,n}) \in \mathbb{R}^n$  and write the matrix

$$V = \begin{pmatrix} v_{1,1} & \dots & v_{n,1} \\ \vdots & \ddots & \vdots \\ v_{1,n} & \dots & v_{n,n} \end{pmatrix}$$

and  $G_{\mathbf{v}} = V^T V$ .

The matrix  $G_{\mathbf{v}}$  is defined by  $\frac{n(n+1)}{2}$  variables as opposed to  $n^2$  for the basis  $V$ .

- ▶ If  $M \in S_{>0}^n$ , then there exists  $V$  such that  $M = V^T V$  (Gram Schmidt orthonormalization)
- ▶ If  $M = V_1^T V_1 = V_2^T V_2$ , then  $V_1 = OV_2$  with  $O^T O = I_n$  (i.e.  $O$  corresponds to an isometry of  $\mathbb{R}^n$ ).
- ▶ Also if  $L$  is a lattice of  $\mathbb{R}^n$  with basis  $\mathbf{v}$  and  $u$  an isometry of  $\mathbb{R}^n$ , then  $G_{\mathbf{v}} = G_{u(\mathbf{v})}$ .

## Arithmetic minimum

- ▶ For  $A \in S^n$  and  $x \in \mathbb{R}^n$  we write  $A[x] = x^T A x$ .
- ▶ The **arithmetic minimum** of  $A \in S_{>0}^n$  is

$$\min(A) = \min_{x \in \mathbb{Z}^n - \{0\}} A[x]$$

- ▶ The **minimal vector set** of  $A \in S_{>0}^n$  is

$$\text{Min}(A) = \{x \in \mathbb{Z}^n \mid A[x] = \min(A)\}$$

- ▶ Both  $\min(A)$  and  $\text{Min}(A)$  can be computed using some programs (for example **SV** by **Vallentin**)
- ▶ The matrix  $A_{\text{hex}} = \begin{pmatrix} 2 & 1 \\ 1 & 2 \end{pmatrix}$  has

$$\text{Min}(A_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, -1)\}.$$

## Changing basis

- ▶ If  $\mathbf{v}$  and  $\mathbf{v}'$  are two basis of a lattice  $L$  then  $V' = VP$  with  $P \in \text{GL}_n(\mathbb{Z})$ . This implies

$$G_{\mathbf{v}'} = V'^T V' = (VP)^T VP = P^T \{V^T V\} P = P^T G_{\mathbf{v}} P$$

- ▶ If  $A, B \in S_{>0}^n$ , they are called **arithmetically equivalent** if there is at least one  $P \in \text{GL}_n(\mathbb{Z})$  such that

$$A = P^T B P$$

- ▶ Lattices up to isometric equivalence correspond to  $S_{>0}^n$  up to **arithmetic equivalence**.
- ▶ In practice, **Plesken/Souvignier** wrote a program **ISOM** for testing arithmetic equivalence and a program **AUTO** for computing automorphism group of lattices. All such programs take Gram matrices as input.

## II. Computational techniques



## Dual description problem

- ▶ A **vertex** of a polytope  $P$  is a point  $v \in P$ , which cannot be expressed as  $v = \lambda v^1 + (1 - \lambda)v^2$  with  $0 < \lambda < 1$  and  $v^1 \neq v^2 \in P$ .
- ▶ A polytope is the convex hull of its vertices and this is the minimal set defining it.
- ▶ A **facet** of a polytope is an inequality  $f(x) - b \geq 0$ , which cannot be expressed as  $f(x) - b = \lambda(f_1(x) - b_1) + (1 - \lambda)(f_2(x) - b_2)$  with  $f_i(x) - b_i \geq 0$  on  $P$ .
- ▶ A polytope is defined by its facet inequalities. and this is the minimal set of linear inequalities defining it.
- ▶ The **dual-description problem** is the problem of passing from one description to another.
- ▶ There are several programs **CDD**, **LRS** for computing dual-description computations.
- ▶ In case of large problems, we can use the symmetries for faster computation.

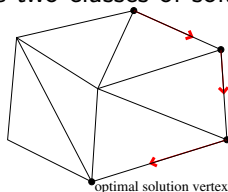
## Linear programs

- ▶ A **linear program** is the problem of maximizing a linear function  $f(x)$  over a set  $\mathcal{P}$  defined by linear inequalities.

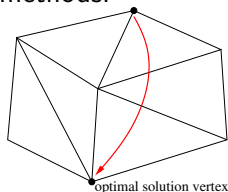
$$\mathcal{P} = \{x \in \mathbb{R}^d \text{ such that } f_i(x) \geq b_i\}$$

with  $f_i$  linear and  $b_i \in \mathbb{R}$ .

- ▶ The solution of linear programs is attained at vertices of  $\mathcal{P}$ .
- ▶ There are two classes of solution methods:



Simplex method



Interior point method

- ▶ Simplex methods use exact arithmetic but have bad theoretical complexity
- ▶ Interior point methods have good theoretical complexity but only gives an approximate vertex.

### III. Perfect forms and domains

## Perfect forms

- ▶ A form  $A$  is **extreme** if it is a local maximum of the packing density.
- ▶ A matrix  $A \in S_{>0}^n$  is **perfect** (**Korkine & Zolotarev**) if the equation

$$B \in S^n \text{ and } B[x] = \min(A) \text{ for all } x \in \text{Min}(A)$$

implies  $B = A$ .

- ▶ **Theorem:** (**Korkine & Zolotarev**) If a form is extreme then it is perfect.
- ▶ Up to a scalar multiple, perfect forms are rational.
- ▶ All root lattices are perfect, many other families are known.

## Perfect domains and arithmetic closure

- ▶ If  $v \in \mathbb{Z}^n$  then the corresponding rank 1 form is  $p(v) = vv^T$ .
- ▶ If  $A$  is a perfect form, its **perfect domain** is

$$\text{Dom}(A) = \sum_{v \in \text{Min}(A)} \mathbb{R}_+ p(v)$$

- ▶ If  $A$  has  $m$  shortest vectors then  $\text{Dom}(A)$  has  $\frac{m}{2}$  extreme rays.
- ▶ So actually, the perfect domains realize a tessellation not of  $S_{>0}^n$ , nor  $S_{\geq 0}^n$  but of the **rational closure**  $S_{rat, \geq 0}^n$ .
- ▶ The rational closure  $S_{rat, \geq 0}^n$  has a number of descriptions:
  - ▶  $S_{rat, \geq 0}^n = \sum_{v \in \mathbb{Z}^n} \mathbb{R}_+ p(v)$
  - ▶ If  $A \in S_{\geq 0}^n$  then  $A \in S_{rat, \geq 0}^n$  if and only if  $\text{Ker } A$  is defined by rational equations.
- ▶ So, actually, the stabilizers of some faces of the polyhedral complex are infinite.

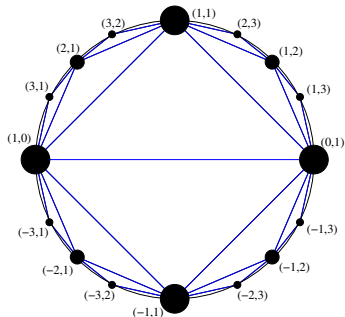
# Finiteness

- ▶ **Theorem:**(Voronoi) Up to arithmetic equivalence there is only finitely many perfect forms.
- ▶ The group  $GL_n(\mathbb{Z})$  acts on  $S_{>0}^n$ :

$$Q \mapsto P^t Q P$$

and we have  $\text{Min}(P^t Q P) = P^{-1} \text{Min}(Q)$

- ▶  $\text{Dom}(P^T Q P) = c(P)^T \text{Dom}(Q) c(P)$  with  $c(P) = (P^{-1})^T$
- ▶ For  $n = 2$ , we get the classical picture:



## Known results on lattice packing density maximization

dim.	Nr. of perfect forms	Best lattice packing
2	1 ( <b>Lagrange</b> )	$A_2$
3	1 ( <b>Gauss</b> )	$A_3$
4	2 ( <b>Korkine &amp; Zolotarev</b> )	$D_4$
5	3 ( <b>Korkine &amp; Zolotarev</b> )	$D_5$
6	7 ( <b>Barnes</b> )	$E_6$ ( <b>Blichfeldt &amp; Watson</b> )
7	33 ( <b>Jaquet</b> )	$E_7$ ( <b>Blichfeldt &amp; Watson</b> )
8	10916 ( <b>DSV</b> )	$E_8$ ( <b>Blichfeldt &amp; Watson</b> )
9	$\geq 500000$	$\Lambda_9?$
24	?	Leech ( <b>Cohn &amp; Kumar</b> )

- ▶ The enumeration of perfect forms is done with the Voronoi algorithm.
- ▶ The number of orbits of faces of the perfect domain tessellation is much higher but finite (**Known for  $n \leq 7$** )
- ▶ **Blichfeldt** used Korkine-Zolotarev reduction theory.
- ▶ **Cohn & Kumar** used Fourier analysis and Linear programming.

## IV. Ryshkov polyhedron and the Voronoi algorithm



# The Ryshkov polyhedron

- ▶ The **Ryshkov polyhedron**  $R_n$  is defined as

$$R_n = \{A \in S^n \text{ s.t. } A[x] \geq 1 \text{ for all } x \in \mathbb{Z}^n - \{0\}\}$$

- ▶ The cone is invariant under the action of  $GL_n(\mathbb{Z})$ .
- ▶ The cone is **locally polyhedral**, i.e. for a given  $A \in R_n$

$$\{x \in \mathbb{Z}^n \text{ s.t. } A[x] = 1\}$$

is finite

- ▶ Vertices of  $R_n$  correspond to perfect forms.
- ▶ For a form  $A \in R_n$  we define the local cone

$$Loc(A) = \{Q \in S^n \text{ s.t. } Q[x] \geq 0 \text{ if } x \in \text{Min}(A)\}$$

# The Voronoi algorithm

- ▶ Find a perfect form (say  $A_n$ ), insert it to the list  $\mathcal{L}$  as undone.
- ▶ Iterate
  - ▶ For every undone perfect form  $A$  in  $\mathcal{L}$ , compute the local cone  $Loc(A)$  and then its extreme rays.
  - ▶ For every extreme ray  $r$  of  $Loc(A)$  realize the flipping, i.e. compute the adjacent perfect form  $A' = A + \alpha r$ .
  - ▶ If  $A'$  is not equivalent to a form in  $\mathcal{L}$ , then we insert it into  $\mathcal{L}$  as undone.
- ▶ Finish when all perfect forms have been treated.

The sub-algorithms are:

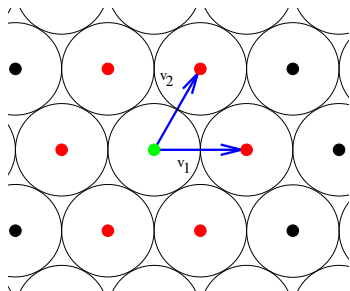
- ▶ Find the extreme rays of the local cone  $Loc(A)$  (use **CDD** or **LRS** or any other program)
- ▶ For any extreme ray  $r$  of  $Loc(A)$  find the adjacent perfect form  $A'$  in the Ryshkov polyhedron  $R_n$
- ▶ Test equivalence of perfect forms using **ISOM**

## Flipping on an edge I

$$\text{Min}(A_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, -1)\}$$

with

$$A_{\text{hex}} = \begin{pmatrix} 1 & 1/2 \\ 1/2 & 1 \end{pmatrix} \quad \text{and} \quad D = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$$

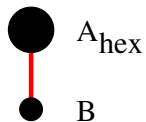
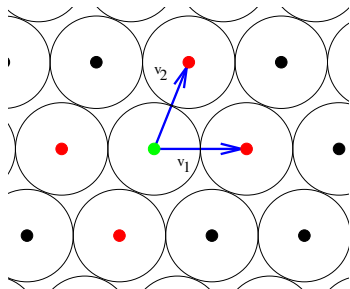


## Flipping on an edge II

$$\text{Min}(B) = \{\pm(1, 0), \pm(0, 1)\}$$

with

$$B = \begin{pmatrix} 1 & 1/4 \\ 1/4 & 1 \end{pmatrix} = A_{\text{hex}} + D/4$$

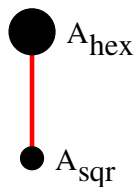
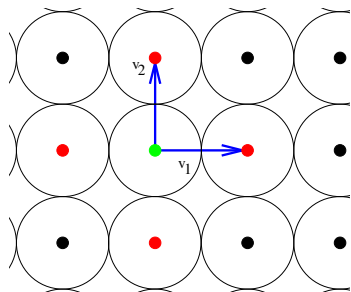


## Flipping on an edge III

$$\text{Min}(A_{sqr}) = \{\pm(1, 0), \pm(0, 1)\}$$

with

$$A_{sqr} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = A_{hex} + D/2$$

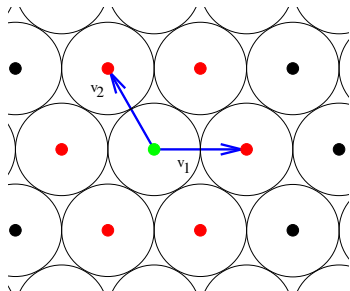


## Flipping on an edge IV

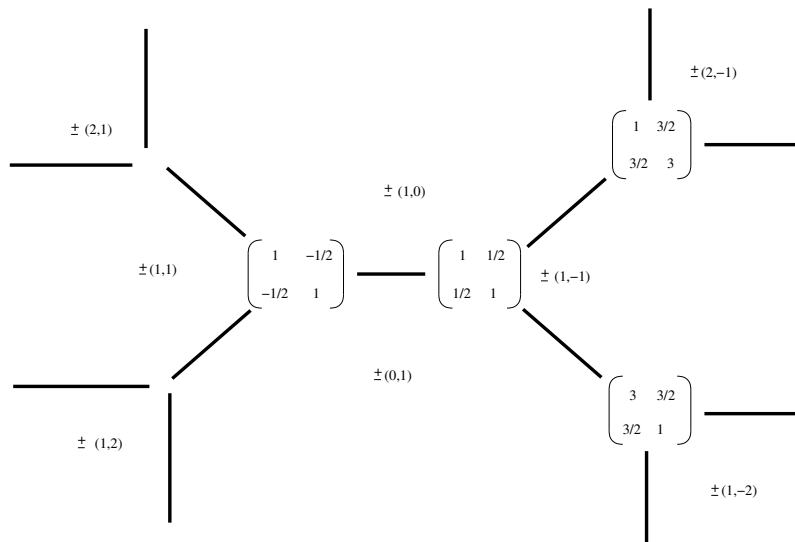
$$\text{Min}(\tilde{A}_{\text{hex}}) = \{\pm(1, 0), \pm(0, 1), \pm(1, 1)\}$$

with

$$\tilde{A}_{\text{hex}} = \begin{pmatrix} 1 & -1/2 \\ -1/2 & 1 \end{pmatrix} = A_{\text{hex}} + D$$



# The Ryshkov polyhedron $R_2$



## Well rounded forms and retract

- ▶ A form  $Q$  is said to be well rounded if it admits vectors  $v_1, \dots, v_n$  such that
  - ▶  $(v_1, \dots, v_n)$  form a  $\mathbb{R}$ -basis of  $\mathbb{R}^n$  (not necessarily a  $\mathbb{Z}$ -basis)
  - ▶  $v_1, \dots, v_n$  are shortest vectors of  $Q$ .
- ▶ Well rounded forms correspond to bounded faces of  $R_n$ .
- ▶ Every form can be continuously deformed to a well rounded form and this defines a retracting homotopy of  $R_n$  onto a polyhedral complex  $WR_n$  of dimension  $\frac{n(n-1)}{2}$ .
- ▶ Every face of  $WR_n$  has finite stabilizer.
- ▶ Actually, in term of dimension, we cannot do better:
  - ▶ A. Pettet and J. Souto, *Minimality of the well rounded retract*, *Geometry and Topology*, **12** (2008), 1543-1556.
- ▶ We also cannot reduce ourselves to lattices whose shortest vectors define a  $\mathbb{Z}$ -basis of  $\mathbb{Z}^n$  for  $n \geq 5$ .



## Topological applications

- ▶ The fact that we have finite stabilizers for all faces means that we can compute rational homology/cohomology of  $GL_n(\mathbb{Z})$  efficiently.
- ▶ This has been done for  $n \leq 7$ 
  - ▶ P. Elbaz-Vincent, H. Gangl, C. Soulé, *Perfect forms, K-theory and the cohomology of modular groups*, Adv. Math 245 (2013) 587–624.
- ▶ As an application, we can compute  $K_n(\mathbb{Z})$  for  $n \leq 8$ .
- ▶ By using perfect domains, we can compute the action of Hecke operators on the cohomology.
- ▶ This has been done for  $n \leq 4$ :
  - ▶ P.E. Gunnells, *Computing Hecke Eigenvalues Below the Cohomological Dimension*, Experimental Mathematics 9-3 (2000) 351–367.
- ▶ The above can, in principle, be extended to the case of  $GL_n(R)$  with  $R$  a ring of algebraic integers.

# V. Tessellations

# Linear Reduction theories for $S_{rat, \geq 0}^n$

Decompositions related to perfect forms:

- ▶ The perfect form theory (**Voronoi I**) for lattice packings (**full face lattice known for  $n \leq 7$ , perfect domains known for  $n \leq 8$** )
- ▶ The central cone compactification (**Igusa & Namikawa**) (**Known for  $n \leq 6$** )

Decompositions related to Delaunay polytopes:

- ▶ The  $L$ -type reduction theory (**Voronoi II**) for Delaunay tessellations (**Known for  $n \leq 5$** )
- ▶ The  $C$ -type reduction theory (**Ryshkov & Baranovski**) for edges of Delaunay tessellations (**Known for  $n \leq 5$** )

Fundamental domain constructions:

- ▶ The Minkowski reduction theory (**Minkowski**) it uses the successive minima of a lattice to reduce it (**Known for  $n \leq 7$** ) not face-to-face
- ▶ **Venkov's reduction** theory also known as **Igusa's fundamental cone** (finiteness proved by **Venkov** and **Crisalli**)

## Self-dual cones

- ▶ For an open cone  $C$  in  $\mathbb{R}^n$  the dual cone is

$$C^* = \{x \in \mathbb{R}^n \text{ s.t. } \langle x, y \rangle > 0 \text{ for } y \in C\}$$

- ▶ Such cones are classified by Euclidean Jordan algebras and the classification gives:
  - ▶  $S^n$ : The cone of positive definite real quadratic forms
  - ▶  $H^n$ : The cone of positive definite Hermitian quadratic forms
  - ▶  $Q^n$ : The cone of positive definite quaternionic quadratic forms
  - ▶ The cone of  $3 \times 3$  positive definite octonion matrices.
  - ▶ The hyperbolic cone  $H_n$

$$H_n = \{(x_1, \dots, x_n) \text{ s.t. } x_1 > 0 \text{ and } x_1^2 - x_2^2 - \dots - x_n^2 > 0\}$$

- ▶ References

- ▶ A. Ash, D. Mumford, M. Rapoport, Y. Tai *Smooth compactifications of locally symmetric varieties*, Cambridge University Press
- ▶ M. Koecher, *Beiträge zu einer Reduktionstheorie in Positivitätsbereich I/II*, Math. Annalen 141, 384–432, 144, 175–182

## $T$ -space theory

- ▶ A  $T$ -space  $\mathcal{F}$  is a vector space in  $S^n$  with  $\mathcal{F}_{>0} = \mathcal{F} \cap S_{>0}^n$  being non-empty.
- ▶ All above reduction theories apply to that case.
- ▶ But some dead ends exist to the polyhedral tessellations.
- ▶ Relevant group is  $\text{Aut}(\mathcal{F}) = \{g \in \text{GL}_n(\mathbb{Z}) \text{ s.t. } g\mathcal{F}g^T = \mathcal{F}\}$ .
- ▶ For a finite group  $G \subset \text{GL}_n(\mathbb{Z})$  of space

$$\mathcal{F}(G) = \left\{ A \in S^n \text{ s.t. } gAg^T = A \text{ for } g \in G \right\}$$

we have  $\text{Aut}(\mathcal{F}(G)) = \text{Norm}(G, \text{GL}_n(\mathbb{Z}))$  (**Zassenhaus**) and a finite number of  $\mathcal{F}$ -perfect forms.

- ▶ There exist some  $T$ -spaces having a rational basis and an infinity of perfect forms.
- ▶ Another finiteness case is for spaces obtained from  $\text{GL}_n(R)$  with  $R$  number ring.

## Non-polyhedral reduction theories

- ▶ Some works with non-polyhedral, but still manifold domains:
  - ▶ R. MacPherson and M. McConnel, *Explicit reduction theory for Siegel modular threefolds*, *Invent. Math.* **111** (1993) 575–625.
  - ▶ D. Yasaki, *An explicit spine for the Picard modular group over the Gaussian integers*, *Journal of Number Theory*, **128** (2008) 207–234.
- ▶ Other works in complex hyperbolic space using Poincaré polyhedron theorem:
  - ▶ M. Deraux, *Deforming the  $\mathbb{R}$ -fuchsian  $(4, 4, 4)$ -lattice group into a lattice*.
  - ▶ E. Falbel and P.-V. Koseleff, *Flexibility of ideal triangle groups in complex hyperbolic geometry*, *Topology* **39** (2000) 1209–1223.
- ▶ Other works for non-manifold setting would be:
  - ▶ T. Brady, *The integral cohomology of  $Out_+(F_3)$* , *Journal of Pure and Applied Algebra* **87** (1993) 123–167.
  - ▶ K.N. Moss, *Cohomology of  $SL(n, \mathbb{Z}[1/p])$* , *Duke Mathematical Journal* **47-4** (1980) 803–818.

## VI. Central cone compactification

## Central cone compactification

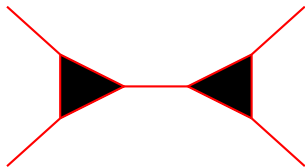
- ▶ We consider the space of integral valued quadratic forms:

$$I_n = \{A \in S_{>0}^n \text{ s.t. } A[x] \in \mathbb{Z} \text{ for all } x \in \mathbb{Z}^n\}$$

All the forms in  $I_n$  have integral coefficients on the diagonal and half integral outside of it.

- ▶ The centrally perfect forms are the elements of  $I_n$  that are vertices of  $\text{conv } I_n$ .
- ▶ For  $A \in I_n$  we have  $A[x] \geq 1$ . So,  $I_n \subset R_n$
- ▶ Any root lattice gives a vertex both of  $R_n$  and  $\text{conv } I_n$ .
- ▶ The centrally perfect forms are known for  $n \leq 6$ :

dim.	Centrally perfect forms
2	$A_2$ (Igusa)
3	$A_3$ (Igusa)
4	$A_4, D_4$ (Igusa)
5	$A_5, D_5$ (Namikawa)
6	$A_6, D_6, E_6$ (Dutour Sikirić)



- ▶ By taking the dual we get tessellations of  $S_{rat, \geq 0}^n$ .



## Enumeration of centrally perfect forms

- ▶ Suppose that we have a conjecturally correct list of centrally perfect forms  $A_1, \dots, A_m$ . Suppose further that for each form  $A_i$  we have a conjectural list of neighbors  $N(A_i)$ .
- ▶ We form the cone

$$C(A_i) = \{X - A_i \text{ for } X \in N(A_i)\}$$

and we compute the orbits of facets of  $C(A_i)$ .

- ▶ For each orbit of facet of representative  $f$  we form the corresponding linear form  $f$  and solve the **Integer Linear Problem**

$$f_{opt} = \min_{X \in I_n} f(X)$$

We have to use **GLPK** program for that. It is done iteratively since  $I_n$  is defined by an infinity of inequalities.

- ▶ If  $f_{opt} = f(A_i)$  always then the list is correct. If not then the  $X$  realizing  $f(X) < f(A_i)$  need to be added to the full list.

## VII. Perfect form complex

## Known number of orbits of faces for $n \leq 9$

- ▶ Each orbit of face corresponds to a vector configuration.
- ▶ The rank  $rk(\mathcal{V})$  of a vector configuration  $\mathcal{V} = \{v_1, \dots, v_m\}$  is the rank of the matrix family  $\{p(v_i) = v_i^T v_i\}$ .
- ▶ The complex is fully known for  $n \leq 7$ . Number of orbits by rank:
  - ▶  $n = 4$ : 1, 3, 4, 4, 2, 2, 2.
  - ▶  $n = 5$ : 2, 5, 10, 16, 23, 25, 23, 16, 9, 4, 3.
  - ▶  $n = 6$ : 3, 10, 28, 71, 162, 329, 589, 874, 1066, 1039, 775, 425, 181, 57, 18, 7
  - ▶  $n = 7$ : 6, 28, 115, 467, 1882, 7375, 26885, 87400, 244029, 569568, 1089356, 1683368, 2075982, 2017914, 1523376, 876385, 374826, 115411, 24623, 3518, 352, 33
- ▶ For  $n = 8$  the following is known:
  - ▶ Number of perfect forms is 10916
  - ▶ Number of orbits of low rank faces is: 13 (Zahareva & Martinet), 106, 783, 6167, 50645
- ▶ For  $n = 9$  the following is known:
  - ▶ Number of orbits of low rank faces is: 44 (Keller, Martinet & Schürmann), 759, 13437

## Testing realizability of vector families

- ▶ **Problem:** Suppose we have a configuration of vector  $\mathcal{V}$ . Does there exist a matrix  $A \in S_{>0}^n$  such that  $\text{Min}(A) = \mathcal{V}$ ?
- ▶ Consider the linear program

$$\begin{aligned} & \text{minimize} && \lambda \\ & \text{with} && \lambda = A[v] \text{ for } v \in \mathcal{V} \\ & && A[v] \geq 1 \text{ for } v \in \mathbb{Z}^n - \{0\} - \mathcal{V} \end{aligned}$$

If  $\lambda_{opt} < 1$  then  $\mathcal{V}$  is realizable, otherwise no.

- ▶ In practice one replaces  $\mathbb{Z}^n$  by a finite set and iteratively increases it until a conclusion is reached.
- ▶ The number of iterations can unfortunately be very high.
- ▶ We use integral symmetries of the configuration of vectors in order to make the linear program simpler.
- ▶ A related problem is to find the smallest configuration  $\mathcal{W}$  such that there exist a  $A \in S_{>0}^n$  with  $\mathcal{V} \subseteq \mathcal{W} = \text{Min}(A)$  and  $rk(\mathcal{V}) = rk(\mathcal{W})$ .

## Simpliciality results

- ▶ **Theorem:** If  $\mathcal{V} = \{v_1, \dots, v_m\}$  is a configuration of shortest vectors in dimension  $n$  such that  $rk(\mathcal{V}) = r$  with  $r \in \{n, n+1, n+2\}$ . Then  $m = r$ .
- ▶ The proof of this is relatively elementary and use simple matrix arguments. See:
  - ▶ M. Dutour Sikirić, K. Hulek, A. Schürmann, *Smoothness and singularities of the perfect form compactification of  $Ag$* , Algebraic Geometry 2(5) (2015) 642-653.
- ▶ **Conjecture:** The equality  $m = r$  also holds if  $r \in \{n+3, n+4\}$ .  
It is true for  $n \leq 8$ .
- ▶ For Eisenstein or Gaussian integers similar results hold only for  $r = n$ .

## Enumeration of vector configurations for $r = n + 1$ , $r = n + 2$

Suppose we know the configuration of shortest vectors in dimension  $n$  of rank  $r = n$ .

- ▶ Let  $\mathcal{V} = \{v_1, \dots, v_n\}$  be a short vector configuration with  $n$  vectors.
- ▶ We search for the vectors  $v$  such that  $\mathcal{W} = \mathcal{V} \cup \{v\}$  is a vector configuration.
- ▶ We can assume that  $\mathcal{V}$  has maximum determinant in the  $n + 1$  subvector configurations with  $n$  vectors of  $\mathcal{W}$ . Thus

$$|\det(v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_n, v)| \leq |\det(v_1, \dots, v_n)|$$

for  $1 \leq i \leq n$ .

- ▶ The above inequalities determine a  $n$ -dim. polytope.
- ▶ We enumerate all the integer points by exhaustive enumeration.
- ▶ We then check for realizability of the vector families.

For rank  $r = n + 2$ , we proceed similarly.

## Enumeration of vector configurations for $r > n + 2$

We assume that we know all the realizable vector configurations of rank  $r - 1$  and  $r - 2$ .

- ▶ We enumerate all pairs  $(\mathcal{V}, \mathcal{W})$  with  $\mathcal{V} \subset \mathcal{W}$ ,  $rk(\mathcal{V}) = r - 2$  and  $rk(\mathcal{W}) = r - 1$ .
- ▶ If we have a configuration of rank  $r$ , then it contains a configuration  $\mathcal{V}$  of rank  $r - 2$  and dimension  $n$  which is contained in two configurations  $\mathcal{W}_1$  and  $\mathcal{W}_2$  of rank  $r - 2$  such that  $\mathcal{V} \subset \mathcal{W}_1$  and  $\mathcal{V} \subset \mathcal{W}_2$ .
- ▶ So, we combine previous enumeration and obtain a set of configurations  $\mathcal{W}_1 \cup \mathcal{W}_2$
- ▶ We check for each of them if there exist a realizable vector configuration  $\mathcal{W}$  such that  $\mathcal{W}_1 \cup \mathcal{W}_2 \subset \mathcal{W}$  and  $rk(\mathcal{W}) = r$ .

## Enumerating the configurations of rank $r = n$

- ▶ This is in general a very hard problem with no satisfying solution.
- ▶ One would expect that the number of realizable vector configurations in dimension 10, 11 and 12 not be too high.
- ▶ It does not seem possible to use the polyhedral structure in order to enumerate them.
- ▶ The only known upper bound on the possible determinant of realizable configurations  $V$  is

$$|\det(V)| \leq \lfloor \gamma_n^{n/2} \rfloor$$

- ▶ For dimension 9 and 10 the bound combined with known upper bound on  $\gamma_n$  gives 30 and 59 as upper bound.
- ▶ Those are quite large bounds.



## The case of cyclic lattices

- ▶ For an index  $d \in \mathbb{N}$  we consider a lattice  $L$  spanned by  $e_1 = (1, 0, \dots, 0), \dots, e_n = (0, \dots, 0, 1)$  and

$$e_{n+1} = \frac{1}{d}(a_1, \dots, a_n), a_i \in \mathbb{Z}$$

such that  $(e_1, \dots, e_n)$  is the configuration of shortest vectors of a lattice.

- ▶ By standard reductions, we can assume that
  - ▶  $a_1 \leq a_2 \leq \dots \leq a_n$ .
  - ▶  $1 \leq a_i \leq \lfloor d/2 \rfloor$ .
- ▶ For  $n = 10$  at present one can only state  $d \leq 59$  and  $d$  prime.
- ▶ This case is important for two reasons:
  - ▶ It exemplifies the difficulty of the problem.
  - ▶ It shows up when enumerating the minimal configuration of shortest vectors.
- ▶ For  $n = 9$ , the largest feasible prime  $d$  is 7.

THANK

YOU