

# Fullerenes: applications and generalizations

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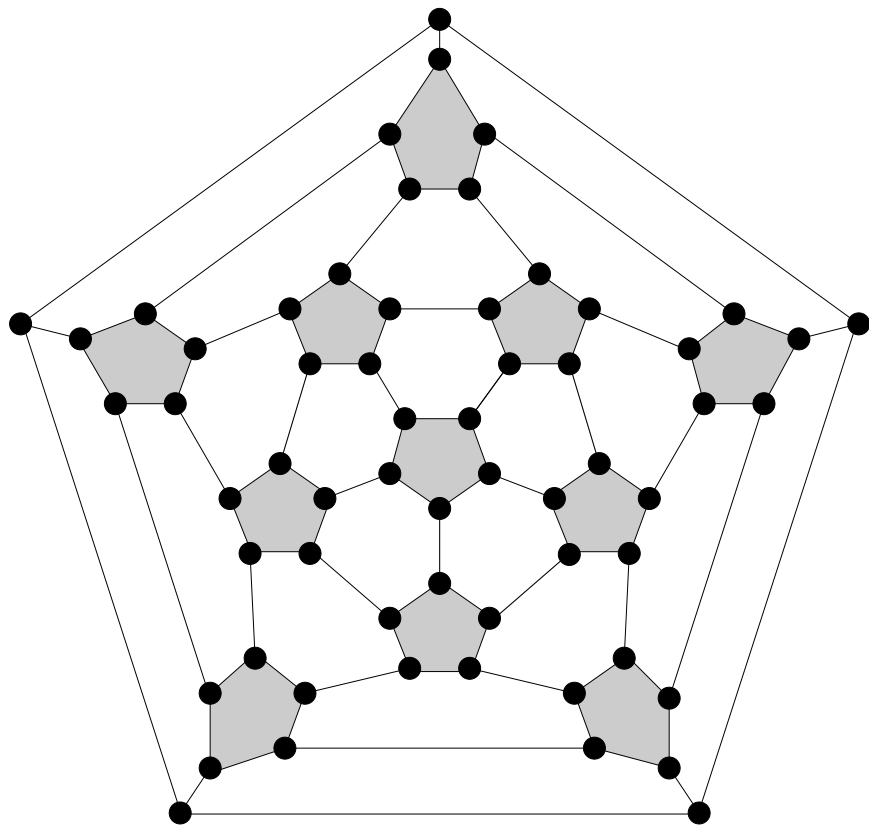
# I. General setting

# Definition

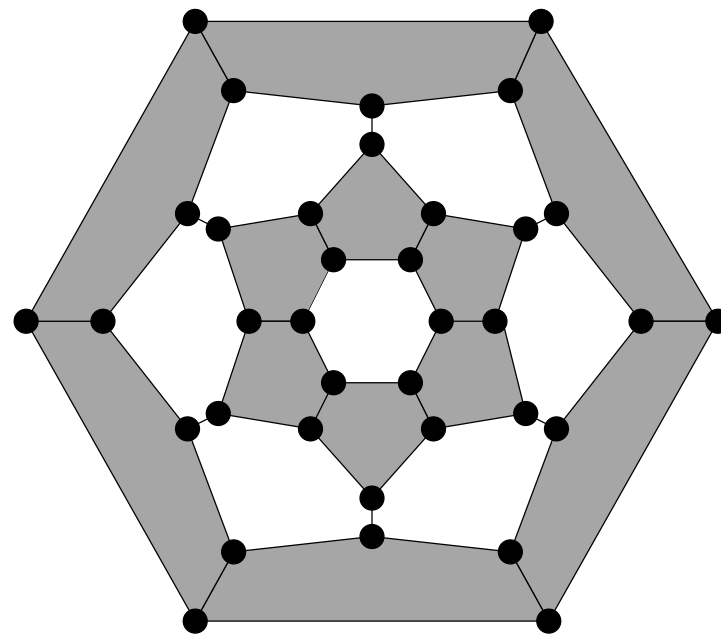
A **fullerene**  $F_n$  is a **simple polyhedron** (putative carbon molecule) whose  $n$  vertices (carbon atoms) are arranged in 12 **pentagons** and  $(\frac{n}{2} - 10)$  **hexagons**.

The  $\frac{3}{2}n$  edges correspond to carbon-carbon bonds.

- $F_n$  exist for all even  $n \geq 20$  except  $n = 22$ .
- 1, 2, 3, ..., 1812 **isomers**  $F_n$  for  $n = 20, 28, 30, \dots, 60$ .
- **preferable** fullerenes,  $C_n$ , satisfy isolated pentagon rule.
- $C_{60}(I_h)$ ,  $C_{80}(I_h)$  are only **icosahedral** (i.e., with symmetry  $I_h$  or  $I$ ) fullerenes with  $n \leq 80$  vertices



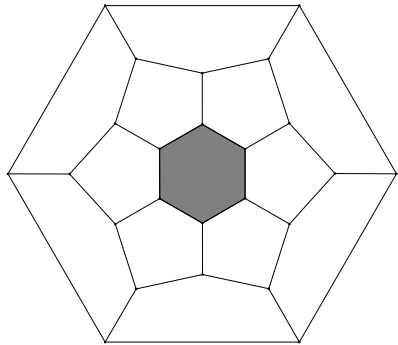
buckminsterfullerene  $C_{60}(I_h)$   
*truncated icosahedron,*  
*soccer ball*



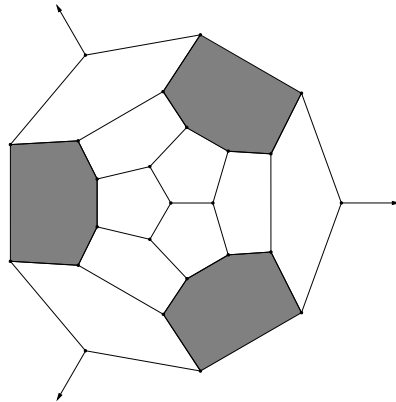
$F_{36}(D_{6h})$   
*elongated hexagonal barrel*  
 $F_{24}(D_{6d})$



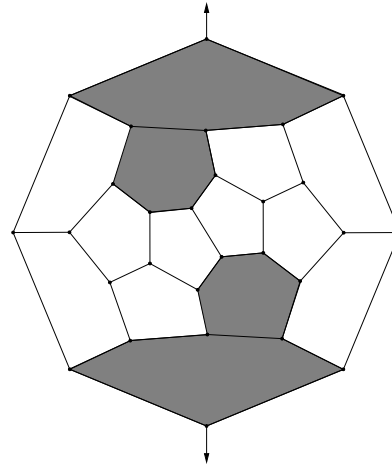
# Small fullerenes



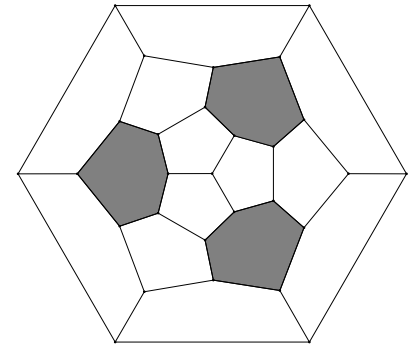
24,  $D_{6d}$



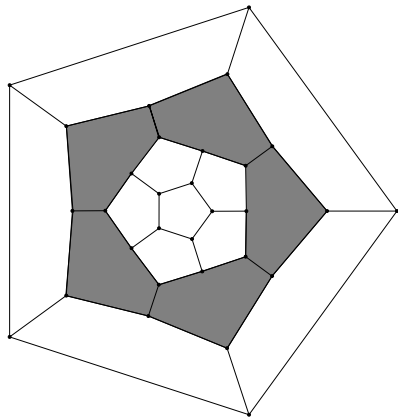
26,  $D_{3h}$



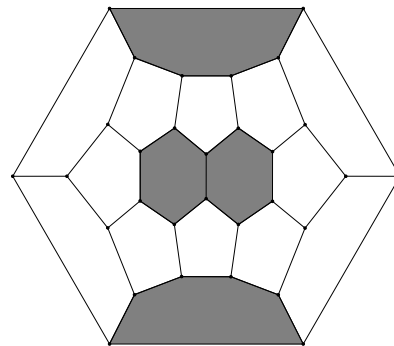
28,  $D_2$



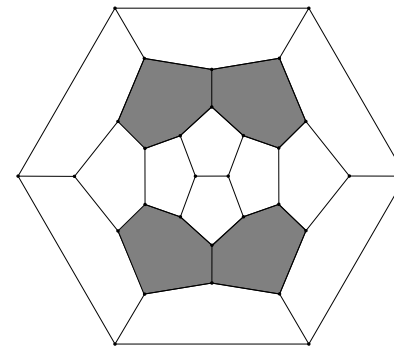
28,  $T_d$



30,  $D_{5h}$

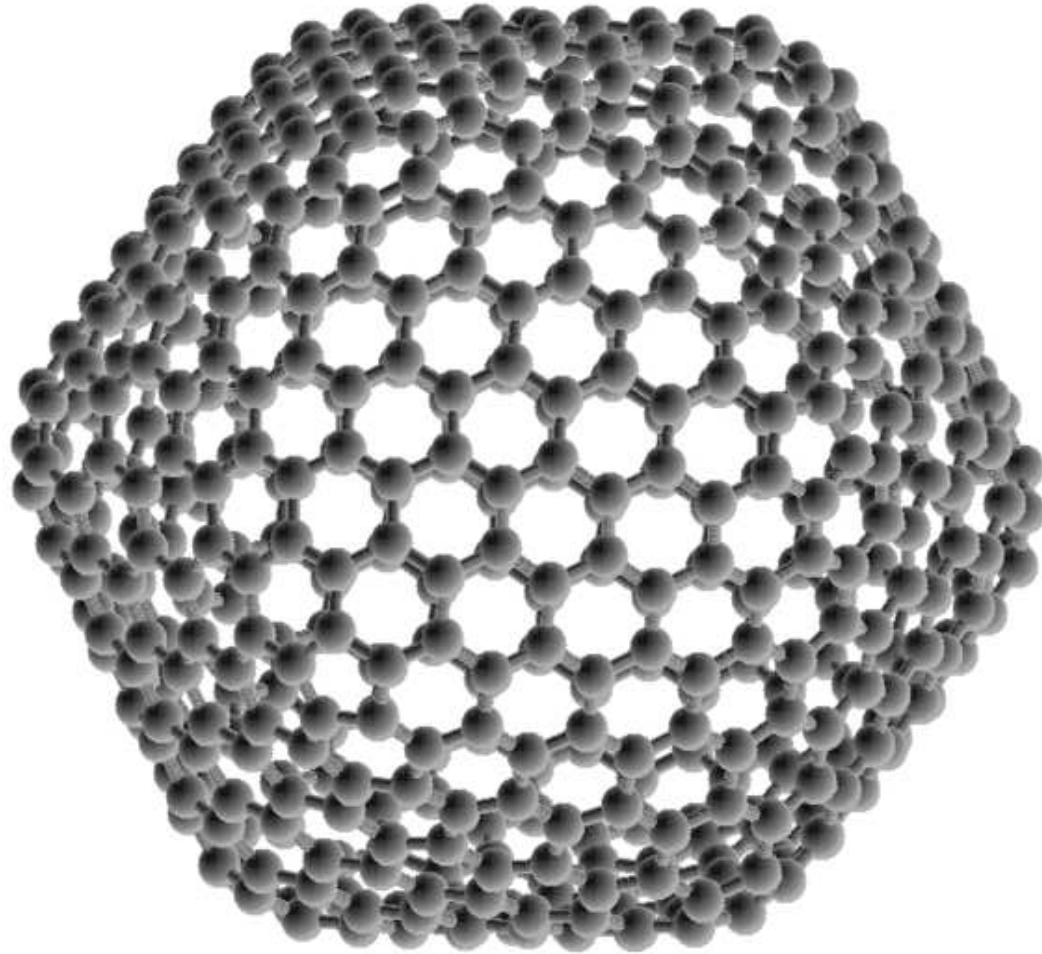


30,  $C_{2v}$



30,  $D_{2v}$

$A C_{540}$



# What nature wants?

Fullerenes  $C_n$  or their duals  $C_n^*$  appear in architecture and nanoworld:

- Biology: virus capsids and clathrine coated vesicles
- Organic (i.e., carbon) Chemistry
- also: (energy) minimizers in Thomson problem (for  $n$  unit charged particles on sphere) and Skyrme problem (for given baryonic number of nucleons); maximizers, in Tammes problem, of minimum distance between  $n$  points on sphere

Simple polyhedra with given number of faces, which are the “best” approximation of sphere?

Conjecture: **FULLERENES**



# Graver's superfullerenes

- Almost all optimizers for Thomson and Tammes problems, in the range  $25 \leq n \leq 125$  are fullerenes.
- For  $n > 125$ , appear 7-gonal faces; almost always for  $n > 300$ .
- However, J.Graver (2005): in all large optimizers the 5- and 7-gonal faces occurs in 12 distinct clusters, corresponding to a unique underlying fullerene.

# Isoperimetric problem for polyhedra

Lhuilier 1782, Steiner 1842, Lindelöf 1869, Steinitz 1927,  
Goldberg 1933, Fejes Tóth 1948, Pólya 1954

- Polyhedron of greatest volume  $V$  with a given number of faces and a given surface  $S$ ?
- Polyhedron of least volume with a given number of faces circumscribed around a sphere?
- Maximize **Isoperimetric Quotient** for solids  
$$IQ = 36\pi \frac{V^2}{S^3} \leq 1 \text{ (with equality only for sphere)}$$

# Isoperimetric problem for polyhedra

polyhedron	$IQ(P)$	upper bound
Tetrahedron	$\frac{\pi}{6\sqrt{3}} \simeq 0.302$	$\frac{\pi}{6\sqrt{3}}$
Cube	$\frac{\pi}{6} \simeq 0.524$	$\frac{\pi}{6}$
Octahedron	$\frac{\pi}{3\sqrt{3}} \simeq 0.605$	$\simeq 0.637$
Dodecahedron	$\frac{\pi\tau^{7/2}}{3.5^{5/4}} \simeq 0.755$	$\frac{\pi\tau^{7/2}}{3.5^{5/4}}$
Icosahedron	$\frac{\pi\tau^4}{15\sqrt{3}} \simeq 0.829$	$\simeq 0.851$

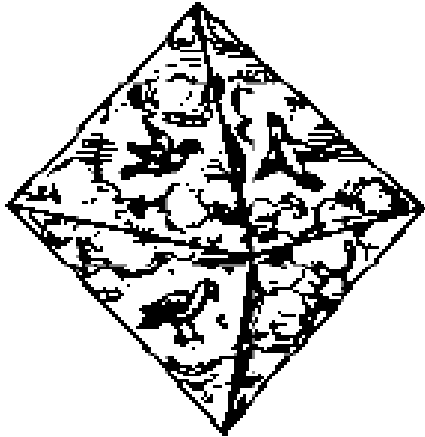
IQ of Platonic solids

( $\tau = \frac{1+\sqrt{5}}{2}$ : *golden mean*)

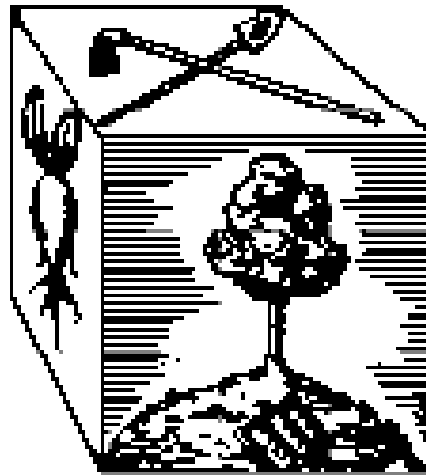
**Conjecture (Steiner 1842):**

Each of the 5 Platonic solids is the best of all isomorphic polyhedra (still open for the Icosahedron)

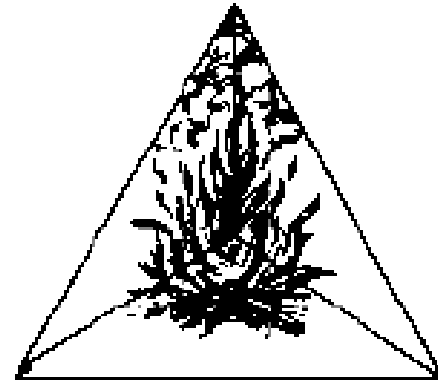
# Five Platonic solids



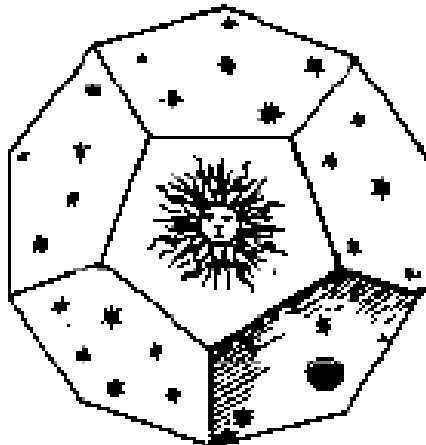
OCTAHEDRON  
Air



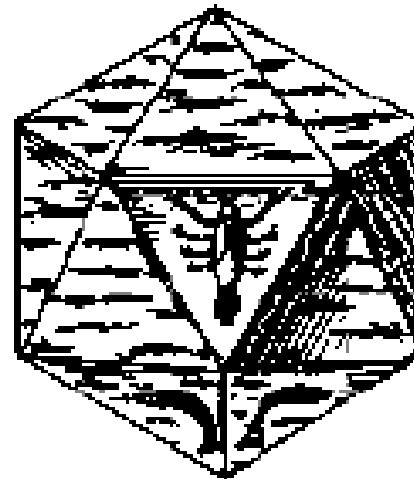
CUBE  
Earth



TETRAHEDRON  
Fire

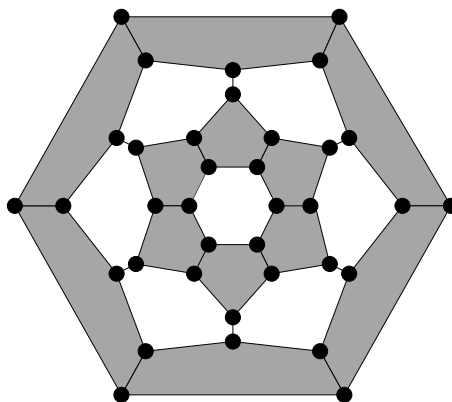


DODECAHEDRON  
the Universe



ICOSAHEDRON  
Water

# Goldberg Conjecture



$$IQ(\text{Icosahedron}) \leq IQ(F_{36}) \simeq 0.848$$

**Conjecture (Goldberg 1933):**

The polyhedron with  $m \geq 12$  facets with greatest  $IQ$  is a fullerene (called “medial polyhedron” by Goldberg)

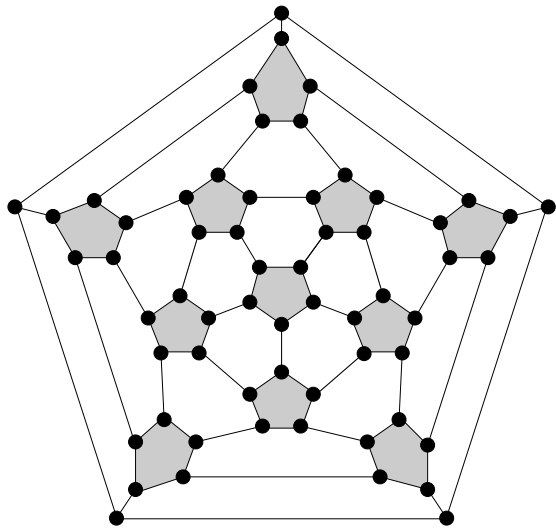
polyhedron	$IQ(P)$	upper bound
Dodecahedron $F_{20}(I_h)$	$\frac{\pi\tau^{7/2}}{3.5^{5/4}} \simeq 0.755$	$\frac{\pi\tau^{7/2}}{3.5^{5/4}}$
Truncated icosahedron $C_{60}(I_h)$	$\simeq 0.9058$	$\simeq 0.9065$
Chamfered dodecahed. $C_{80}(I_h)$	$\simeq 0.928$	$\simeq 0.929$
Sphere	1	1

# II. Icosahedral fullerenes

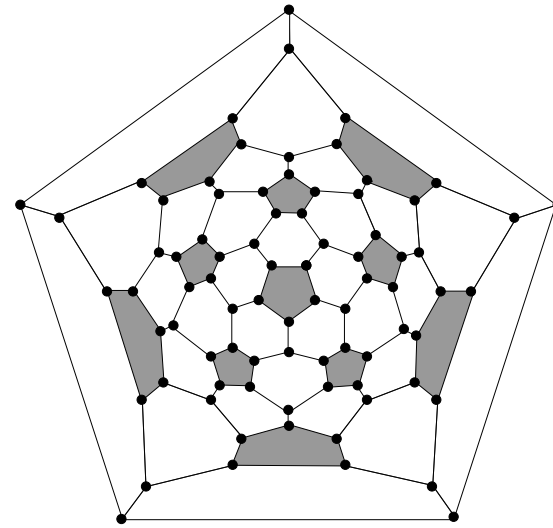
# Icosahedral fullerenes

Call **icosahedral** any fullerene with symmetry  $I_h$  or  $I$

- All icosahedral fullerenes are preferable, except  $F_{20}(I_h)$
- $n = 20T$ , where  $T = a^2 + ab + b^2$  (**triangulation number**) with  $0 \leq b \leq a$ .
- $I_h$  for  $a = b \neq 0$  or  $b = 0$  (extended icosahedral group);  
 $I$  for  $0 < b < a$  (proper icosahedral group)



$C_{60}(I_h) = (1, 1)$ -dodecahedron  
truncated icosahedron



$C_{80}(I_h) = (2, 0)$ -dodecahedron  
chamfered dodecahedron

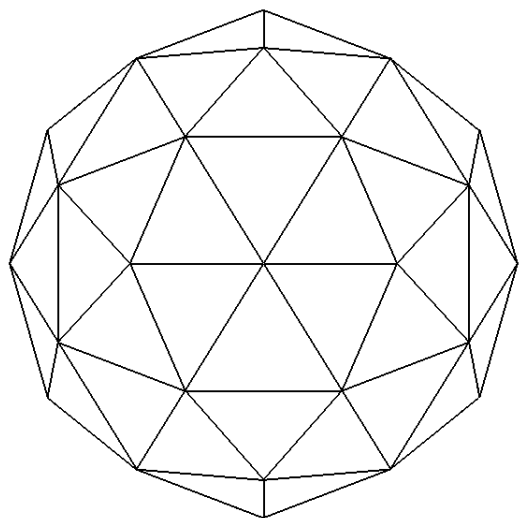




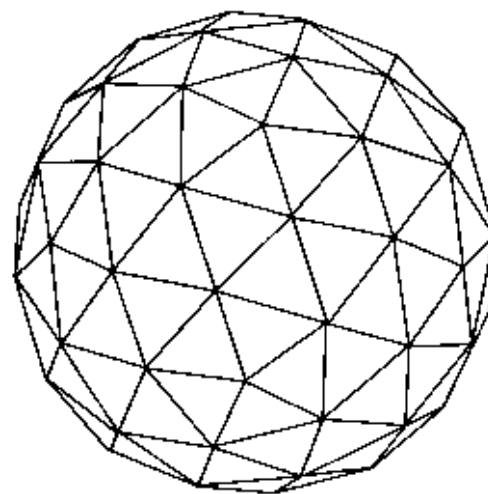
# Icosadeltahedra in Architecture

$(a, b)$	Fullerene	Geodesic dome
(1, 0)	$F_{20}^*(I_h)$	One of Salvador Dali houses
(1, 1)	$C_{60}^*(I_h)$	Artic Institute, Baffin Island
(3, 0)	$C_{180}^*(I_h)$	Bachelor officers quarters, US Air Force, Korea
(2, 2)	$C_{240}^*(I_h)$	U.S.S. Leyte
(4, 0)	$C_{320}^*(I_h)$	Geodesic Sphere, Mt Washington, New Hampshire
(5, 0)	$C_{500}^*(I_h)$	US pavilion, Kabul Afghanistan
(6, 0)	$C_{720}^*(I_h)$	Radome, Artic dEW
(8, 8)	$C_{3840}^*(I_h)$	Lawrence, Long Island
(16, 0)	$C_{5120}^*(I_h)$	US pavilion, Expo 67, Montreal
(18, 0)	$C_{6480}^*(I_h)$	Géode du Musée des Sciences, La Villette, Paris
(18, 0)	$C_{6480}^*(I_h)$	Union Tank Car, Baton Rouge, Louisiana

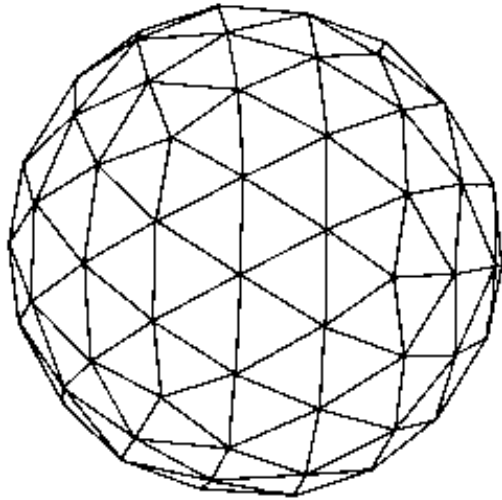
$b = 0$  **Alternate**,  $b = a$  **Triacon** and  $a + b$  **Frequency** (distance of two 5-valent neighbors) are Buckminster Fullers's terms



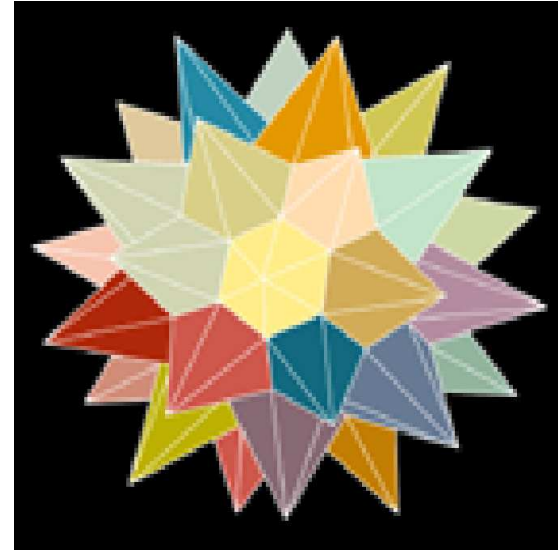
$$C_{80}^*(I_h), (a, b)=(2, 0)$$



$$C_{140}^*(I), (a, b)=(2, 1)$$

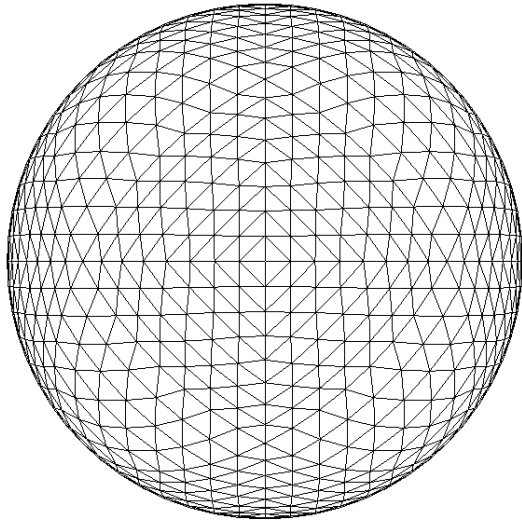


$$C_{180}^*(I_h), (a, b) = (3, 0)$$

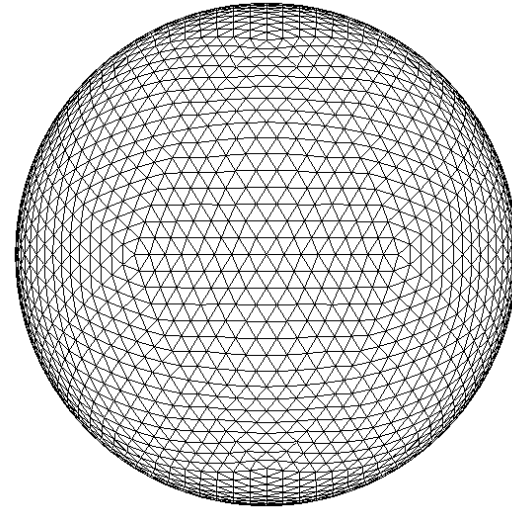


$C_{180}^*(I_h)$  as omnicauded  
buckminsterfullerene  $C_{60}$

# Triangulations, spherical wavelets



Dual 4-chamfered cube  
 $(a, b) = (16, 0), O_h$



Dual 4-cham. dodecahedron  
 $C_{5120}^*$ ,  $(a, b) = (16, 0), I_h$

Used in Computer Graphics and Topography of Earth

# III. Fullerenes in Chemistry and Biology

# Fullerenes in Chemistry

Carbon  $C$  and, possibly, silicium  $Si$  are only 4-valent elements producing homoatomic long stable chains or nets

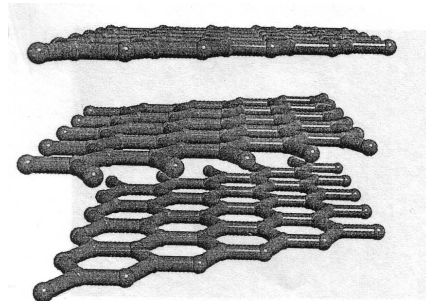
- **Graphite sheet**: bi-lattice ( $6^3$ ), Voronoi partition of the hexagonal lattice ( $A_2$ ), “infinite fullerene”
- **Diamond packing**: bi-lattice  $D$ -complex,  $\alpha_3$ -centering of the lattice f.c.c.= $A_3$
- **Fullerenes**: 1985 (Kroto, Curl, Smalley):  $C_{60}(I_h)$  tr. icosahedon, soccerball, Cayley  $A_5$ ; Nobel prize 1996. But Ozawa (in Japanese): 1984. “Cheap”  $C_{60}$ : 1990. 1991 (Iijima): **nanotubes** (coaxial cylinders). Also isolated chemically by now:  $C_{70}$ ,  $C_{76}$ ,  $C_{78}$ ,  $C_{82}$ ,  $C_{84}$ . If  $> 100$  carbon atoms, they go on concentric layers; if  $< 20$ , cage opens for high  $t^0$ . Full. alloys, stereo org. chemistry, carbon: semi-metal

# Allotropes of carbon

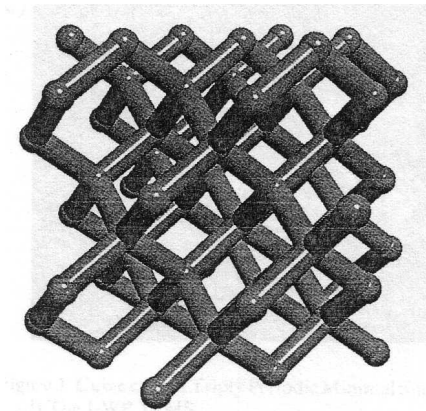
- **Diamond**: cryst.tetrahedral, electro-insulating, hard, transparent. Rarely  $> 50$  carats, unique  $> 800ct$ : Cullinan  $3106ct = 621g$ . M.Kuchner: diamond planets?
- **Graphite**: cryst.hexagonal, soft, opaque, el. conducting
- **Fullerenes**: 1985, spherical
- **Nanotubes**: 1991, cylindrical
- **Carbon nanofoam**: 1997, clusters of about 4000 atoms linked in graphite-like sheets with some 7-gons (negatively curved), ferromagnetic
- **Amorphous carbon** (no long-range pattern): synthetic; coal and soot are almost such
- **White graphite** (chaoite): cryst.hexagonal; 1968, in shock-fused graphite from Ries crater, Bavaria

# Allotropes of carbon

- **Carbon(VI)**: cr.hex.??; 1972, obtained with chaoite
- **Supersized carbon**: 2005, 5-6 nm supermolecules (benzene rings "atoms", carbon chains "bonds")
- **Hexagonal diamond** (lonsdaleite): cryst.hex., very rare; 1967, in shock-fused graphite from several meteorites
- **ANDR** (aggregated diamond nanorods): 2005, Bayreuth University; hardest known substance

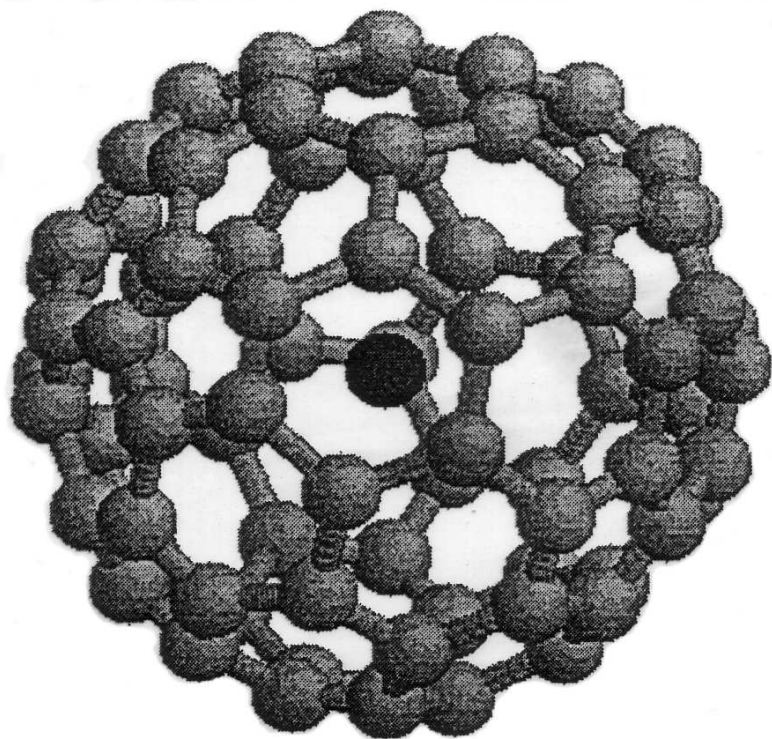


graphite:

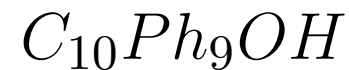
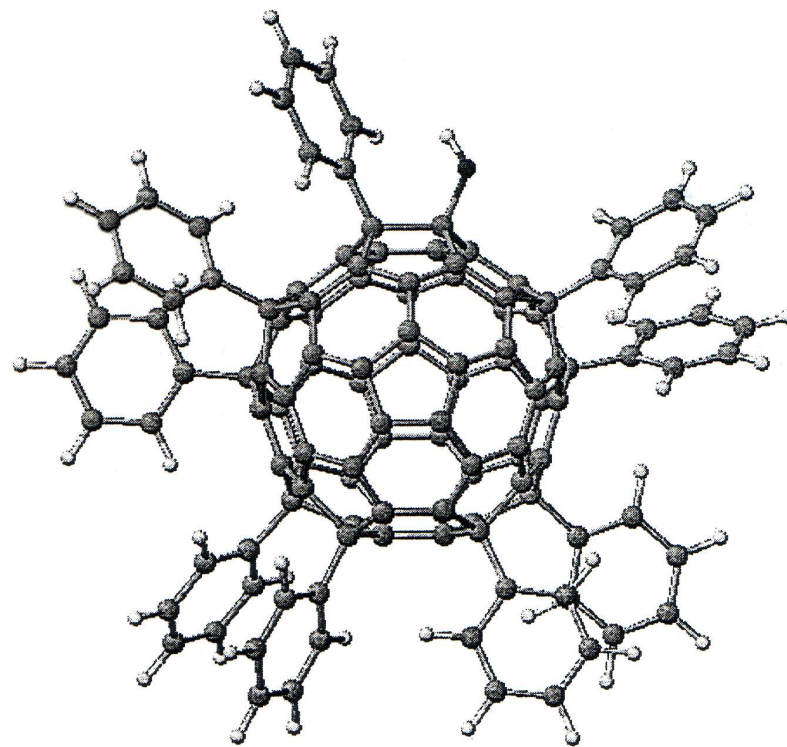


diamond:



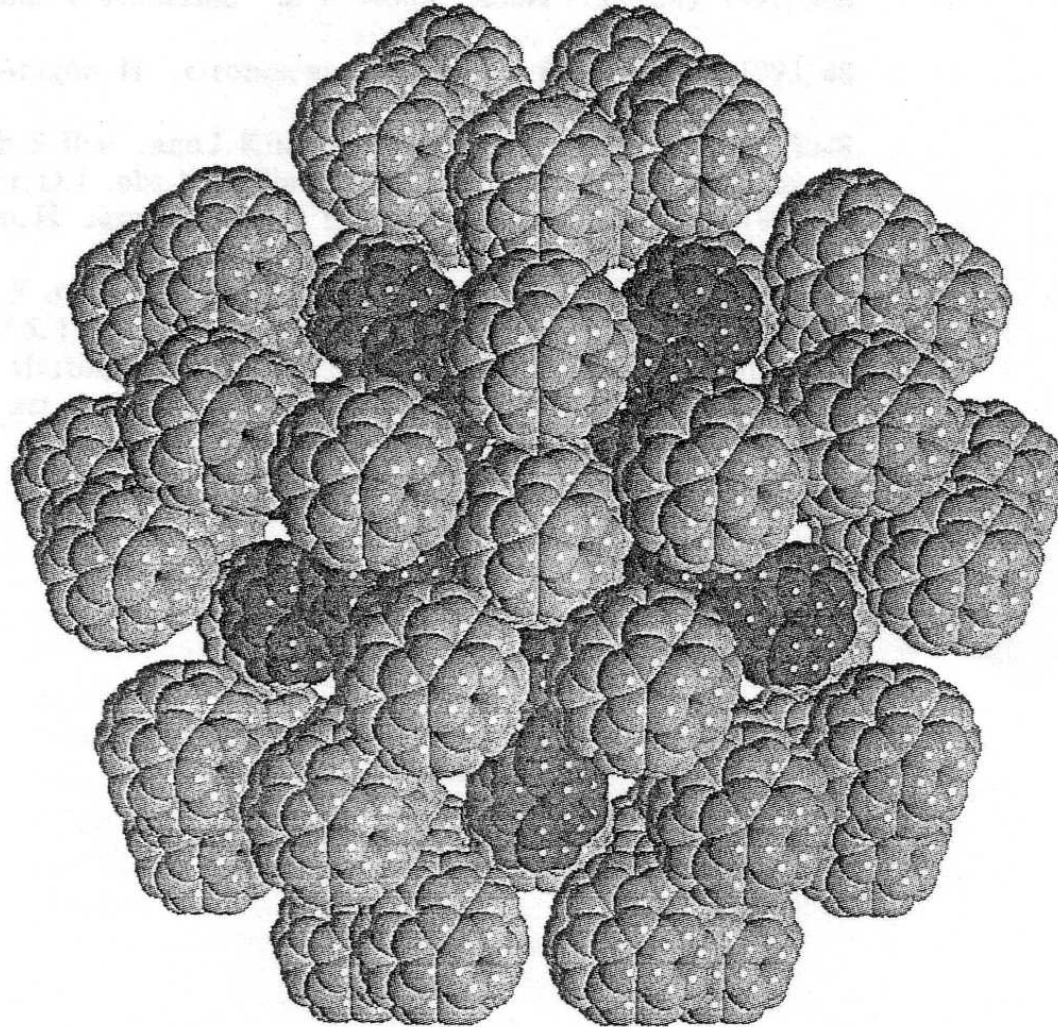


first Endohedral Fullerene  
compound



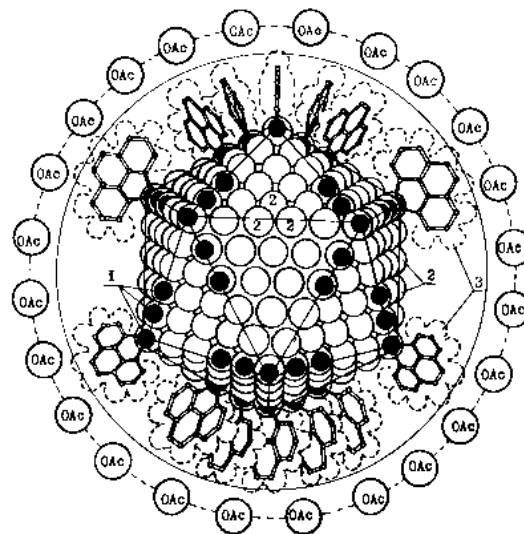
Exohedral Fullerene  
compound (first with a single  
hydroxy group attached)

# A quasicrystalline cluster (H. Terrones)

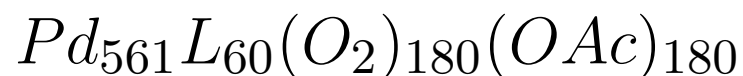


In silico: from  $C_{60}$  and  $F_{40}(T_d)$  (dark); cf. 2 atoms in quasicrystals

# Onion-like metallic clusters



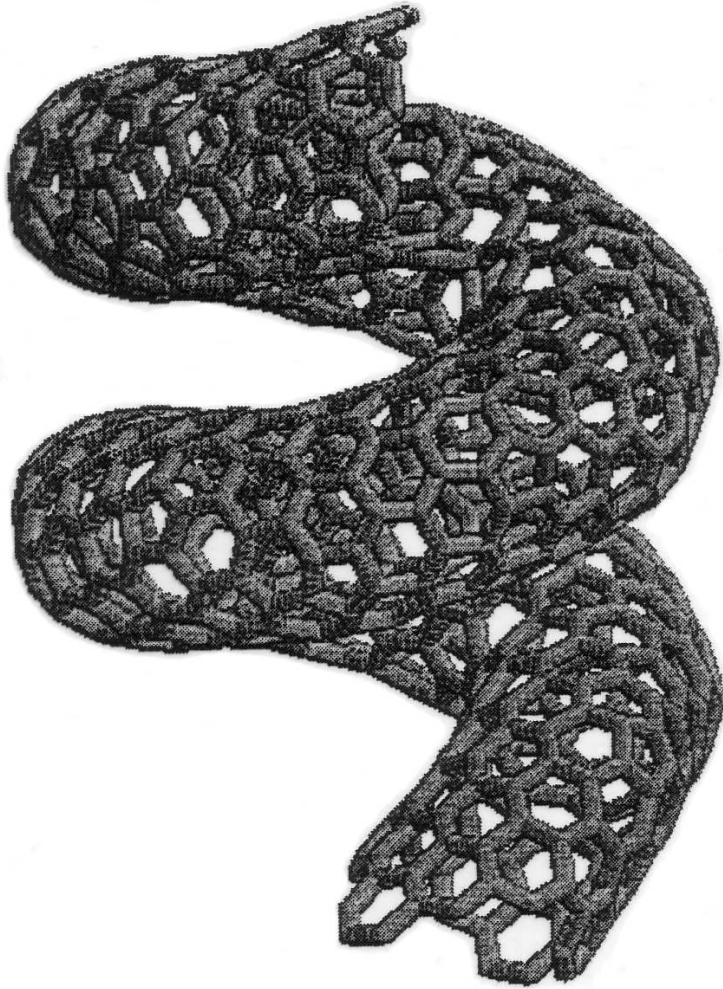
## Palladium icosahedral 5-cluster



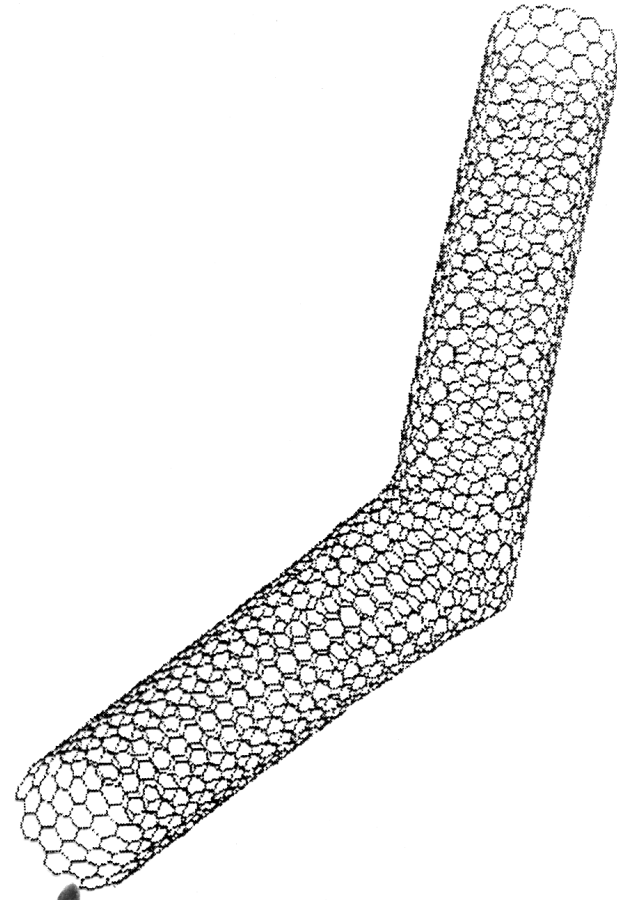
$\alpha$	Outer shell	Total # of atoms	# Metallic cluster
1	$C_{20}^*(I_h)$	13	$[Au_{13}(PMe_2Ph)_{10}Cl_2]^{3+}$
2	$RhomDode_{80}^*(O_h)$	55	$Au_{55}(PPh_3)_{12}Cl_6$
4	$RhomDode_{320}^*(O_h)$	309	$Pt_{309}(Phen)_{36}O_{30\pm 10}$
5	$C_{500}^*(I_h)$	561	$Pd_{561}L_{60}(O_2)_{180}(OAc)_{180}$

Icosahedral and cuboctahedral metallic clusters

# Nanotubes and Nanotechnology



Helical graphite



Deformed graphite tube

Nested tubes (concentric cylinders) of rolled graphite;  
use(?): for composites and “nanowires”

# Other possible applications

- **Superconductors:** alcali-doped fullerene compounds  
 $K_3C_{60}$  at  $18K$ , ...,  $Rb_3C_{60}$  at  $30K$   
but still too low transition  $T_c$
- **HIV-1:** Protease Inhibitor since derivatives of  $C_{60}$  are highly hydrophobic and have large size and stability;  
2003: drug design based on antioxydant property of fullerenes (they soak cell-damaging free radicals)
- **Carbon nanotubes**
  - ? superstrong materials
  - ? nanowires
  - ! already soon: sharper scanning microscope

But nanotubes are too expensive at present

# Chemical context

- **Crystals**: from basic units by symm. operations, incl. **translations**, excl. **order 5 rotations** (“cryst. restriction”). Units: from few (inorganic) to thousands (proteins).
- Other very symmetric mineral structures: **quasicrystals**, fullerenes and like, icosahedral packings (no translations but rotations of order 5)
- Fullerene-type polyhedral structures (polyhedra, nanotubes, cones, saddles, ...) were first observed with carbon. But also inorganic ones were considered: boron nitrides, tungsten, disulphide, allumosilicates and, possibly, fluorides and chlorides.  
May 2006, Wang-Zeng-al.: first **metal hollow cages**  
 $Au_n = F_{2n-4}^*$  ( $16 \leq n \leq 18$ ).  $F_{28}^*$  is the smallest; the gold clusters are flat if  $n < 16$  and compact (solid) if  $n > 18$ .

# Stability

Minimal total energy:

- $I$ -energy and
- the strain in the  $\sigma$ -system.

Hückel theory of  $I$ -electronic structure: every eigenvalue  $\lambda$  of the adjacency matrix of the graph corresponds to an orbital of energy  $\alpha + \lambda\beta$ .

$\alpha$ : Coulomb parameter (same for all sites)

$\beta$ : resonance parameter (same for all bonds)

The best  $I$ -structure: same # of positive and negative eigenvalues

# Skyrmions and fullerenes

**Conjecture** (Sutcliffe et al.):

any minimal energy Skyrmion (baryonic density isosurface for single soliton solution) with baryonic number (the number of nucleons)  $B \geq 7$  is a fullerene  $F_{4B-8}$ .

**Conjecture** (true for  $B < 107$ ; open from  $(b, a) = (1, 4)$ ):

there exist **icosahedral** minimal energy Skyrmion for any  $B = 5(a^2 + ab + b^2) + 2$  with integers  $0 \leq b < a$ ,  $\gcd(a, b) = 1$  (not any icosahedral Skyrmion has minimal energy).

Skyrme model (1962) is a Lagrangian approximating  $QCD$  (a gauge theory based on  $SU(3)$  group). Skyrmons are special topological solitons used to model baryons.



# Life fractions

- **life**: DNA and RNA (cells)
- **1/2-life**: DNA or RNA (cell parasites: viruses)
- “naked” RNA, no protein (satellite viruses, viroids)
- DNA, no protein (plasmids, nanotech, “junk” DNA, ...)
- **no life**: no DNA, nor RNA (only proteins, incl. prions)

	Atom	DNA	Cryo-EM	Prion	Viruses
size	0.2-0.3	$\simeq 2$	$\simeq 5$	11	20 – 50 – 100 – 400
nm					B-19, HIV, Mimi

Virion: protein capsid (or env.spikes) icosadeltahedron

$$C_{20T}^*, T = a^2 + ab + b^2 \text{ (triangulation number)}$$

# Digression on viruses

life	1/2-life	... viroids ... non-life
DNA <b>and</b> RNA	DNA <b>or</b> RNA	neither DNA, <b>nor</b> RNA
Cells	Viruses	Proteins, incl. prions

Seen in 1930 (electronic microscope): tobacco mosaic.  
 $1mm^3$  of seawater has  $\simeq 10$  million viruses; all seagoing viruses  $\simeq 270$  million tons (more 20 x weight of all whales).

**Origin:** ancestors or vestiges of cells, or gene mutation?

Or, evolved in parallel with cellular forms from self-replicating molecules in prebiotic "RNA world"

Virus: **virion**, then (rarely) cell parasite

Virion: capsid (protein coat), capsomers structure

Number of protein subunits is  $60T$ , but EM resolves only clusters-"capsomers" ( $12T + 2$  vertices of  $C_{20T}^*$ ), including 12 "pentamers" (5-valent vertices) at minimal distance  $a + b$

1954, Watson and Crick conjectured: symmetry is cylindrical or icosahedral (i.e. dual  $I$ ,  $I_h$  fullerenes). It holds, and almost all DNA and dsRNA viruses with known shape are icosahedral.

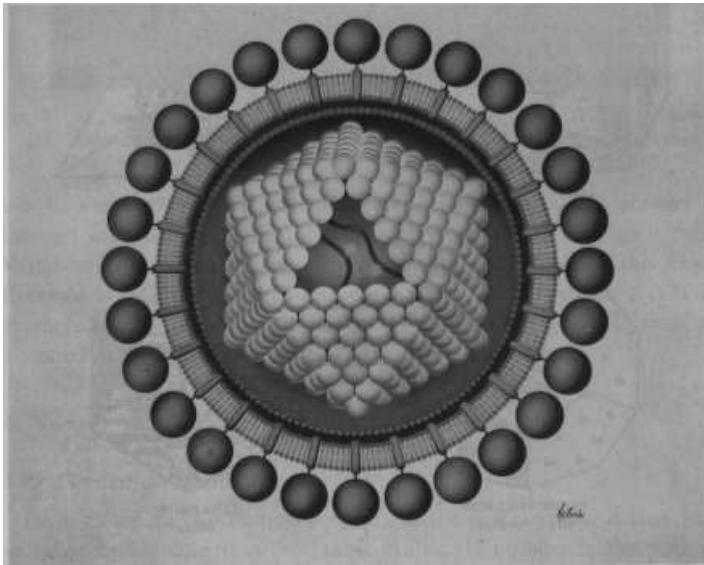
AIDS: icosahedral, but  $(a, b)$ ? Plant viruses? Chirality? nm: 1 typical molecule; 20 Parvovirus *B-19*, 400 Mimivirus; 150 “minimal cell” (bacterium *Mycoplasma genitalium*); 90 smallest feature of computer chip (= diam. HIV-1).

Main defense of multi-cellular organism, sexual reproduction, is not effective (in cost, risk, speed) but arising mutations give some chances against viruses.

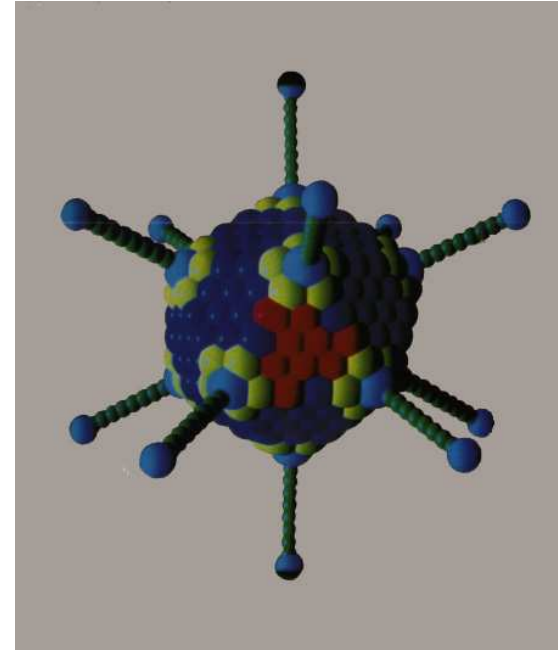
# Capsids of viruses

$(a, b)$	Fullerene	Virus capsid (protein coat)
(1, 0)	$F_{20}^*(I_h)$	<i>Gemini virus</i>
(1, 1)	$C_{60}^*(I_h)$	<i>turnip yellow mosaic virus</i>
(2, 0)	$C_{80}^*(I_h)$	<i>hepatitis B, Bacteriophage <math>\Phi R</math></i>
(2, 1)	$C_{140}^*(I)_{laevo}$	<i>HK97, rabbit papilloma virus</i>
(1, 2)	$C_{140}^*(I)_{dextro}$	<i>human wart virus</i>
(3, 1)	$C_{200}^*(I)_{laevo}$	<i>rotavirus</i>
(4, 0)	$C_{320}^*(I_h)$	<i>herpes virus, varicella</i>
(5, 0)	$C_{500}^*(I_h)$	<i>adenovirus</i>
(6, 0)	$C_{720}^*(I_h)$	<i>infectious canine hepatitis virus, HTLV-1</i>
(9, 0)	$C_{1620}^*(I_h)$	<i>Tipula virus</i>
(6, 3)?	$C_{1260}^*(I)_{laevo}$	<i>HIV-1</i>
(7, 7)?	$C_{2940}^*(I_h)$	<i>iridovirus</i>

# Some viruses



Icosadeltahedron  $C_{720}^*(I_h)$ ,  
the icosahedral structure of  
the HTLV-1



Simulated adenovirus  
 $C_{500}^*(I_h)$  with its spikes  
(5, 0)-dodecahedron  $C_{500}(I_h)$

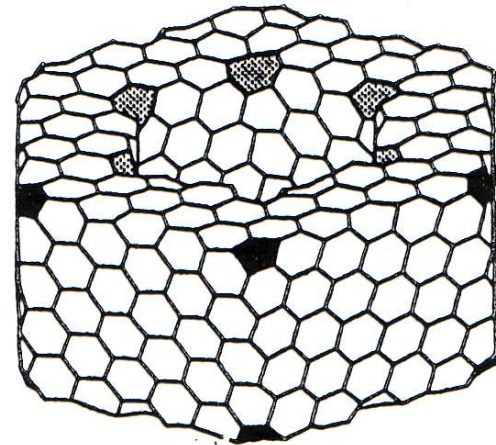
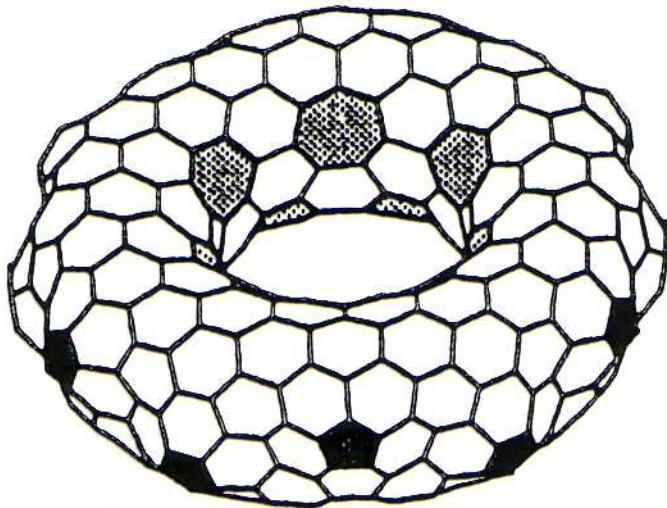
IV. Some  
fullerene-like  
3-valent maps

# Mathematical chemistry

use following fullerene-like 3-valent maps:

- Polyhedra  $(p_5, p_6, p_n)$  for  $n = 4, 7$  or  $8$  ( $v_{min} = 14, 30, 34$ )  
Aulonia hexagona (E. Haeckel 1887): plankton skeleton
- Azulenoids  $(p_5, p_7)$  on torus  $g = 1$ ; so,  $p_5 = p_7$

azulen  is an isomer  $C_{10}H_8$  of naftalen 

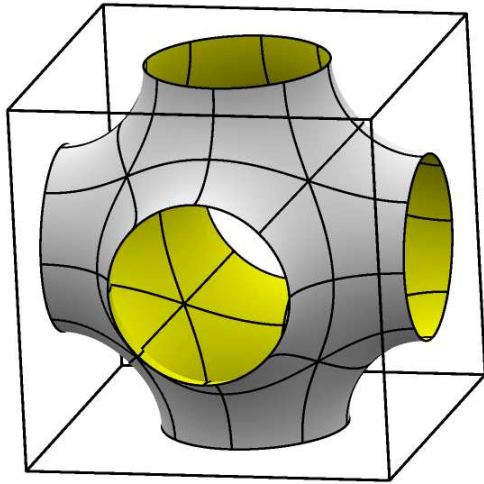


$$(p_5, p_6, p_7) = (12, 142, 12),$$

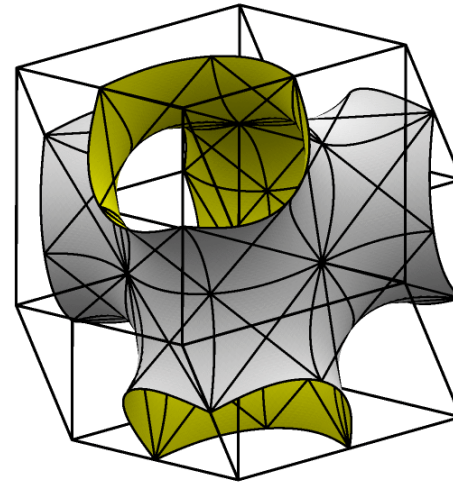
$$v = 432, D_{6d}$$

# Schwarzits

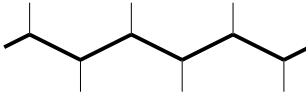
**Schwarzits**  $(p_6, p_7, p_8)$  on minimal surfaces of constant negative curvature ( $g \geq 3$ ). We consider case  $g = 3$ :



Schwarz  $P$ -surface

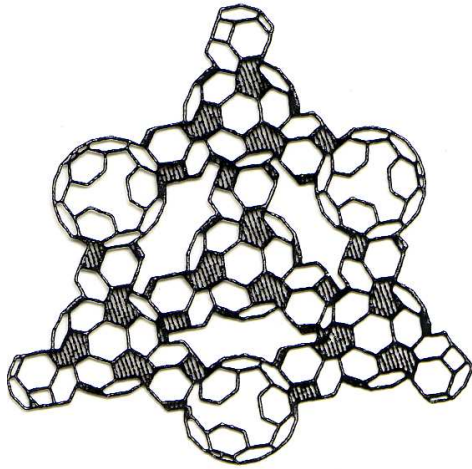


Schwarz  $D$ -surface

- We take a 3-valent genus 3-map and cut it along zigzags  and paste it to form  $D$ - or  $P$ -surface.
- We need 3 non-intersecting zigzags. For example, Klein-map has 5 types of such triples.

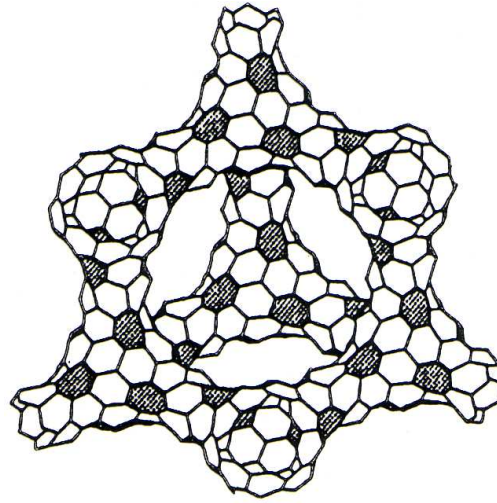


# (6, 7)-surfaces

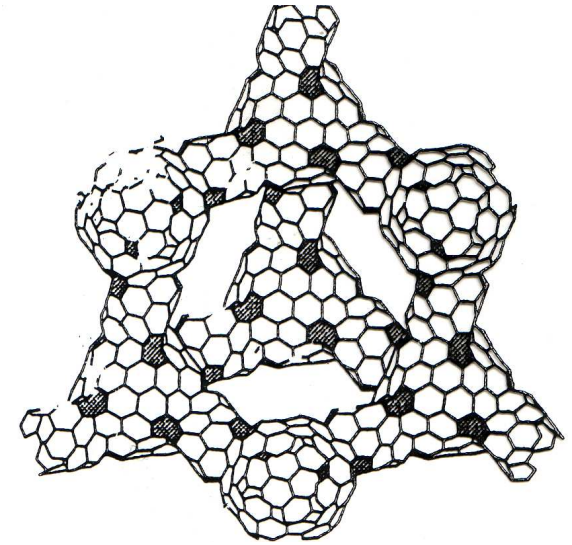


(1, 1)

*D*168: putative  
carbon, 1992,  
(Vanderbilt-Tersoff)



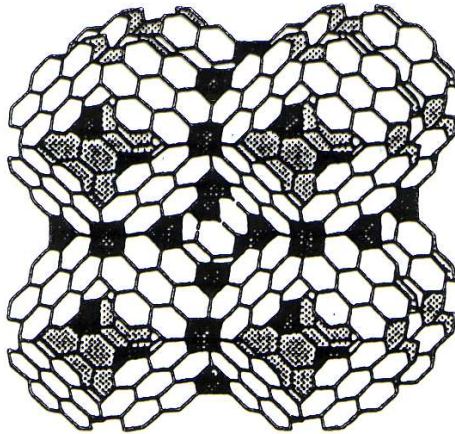
(0, 2)



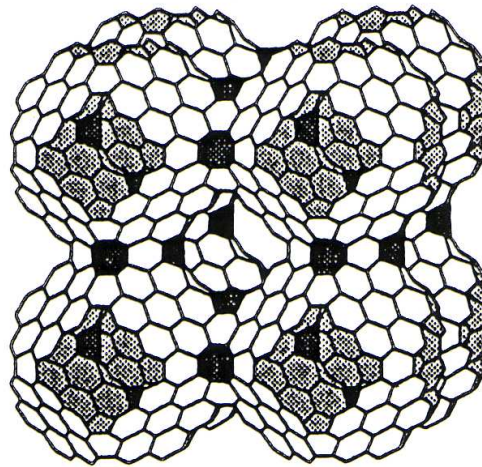
(1, 2)

$(p_6, p_7 = 24), v = 2p_6 + 56 = 56(p^2 + pq + q^2)$   
Unit cell of (1, 0): *D*56 - Klein regular map ( $7^3$ )

# (6, 8)-surfaces

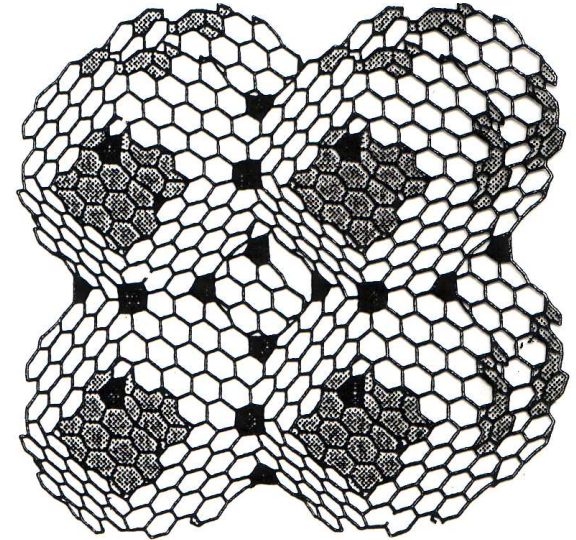


(1, 1)



(0, 2)

$P192, p_6 = 80$



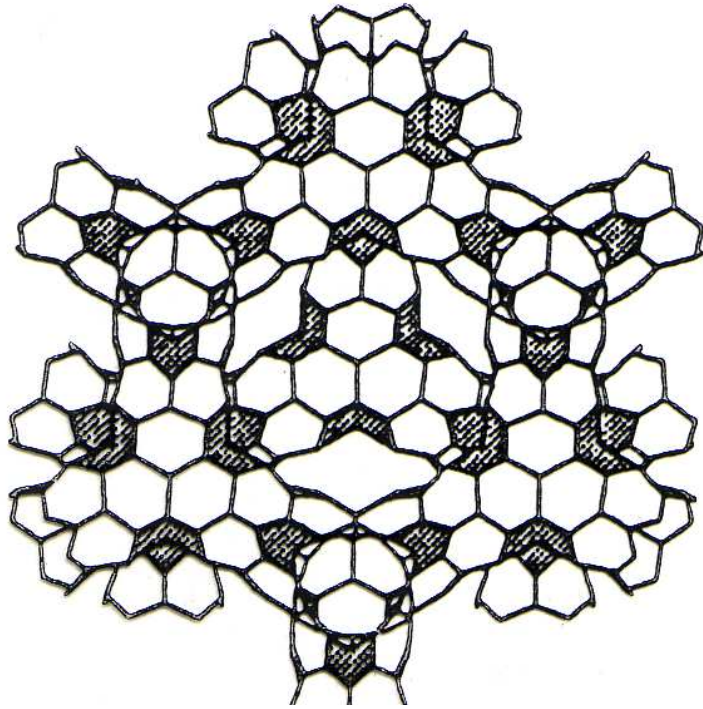
(1, 2)

$(p_6, p_8 = 12), v = 2p_6 + 32 = 48(p^2 + pq + q^2)$

(1, 0):  $p_6 = 2$

Unit cell of  $p_6 = 0$ :  $v = 32$  - Dyck regular map ( $8^3$ )

# More (6, 8)-surfaces

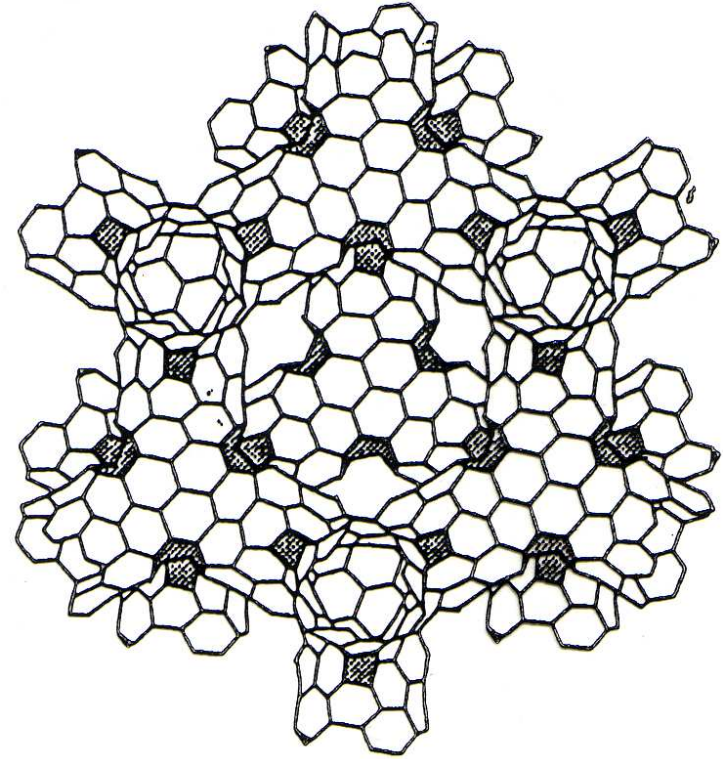


(0, 2)

$$v = 120, p_6 = 44$$

$$(p_6, p_8 = 12), v = 2p_6 + 32 = 30(p^2 + pq + q^2)$$

Unit cell of  $p_6 = 0$ :  $v = 32$  - Dyck regular map ( $8^3$ )

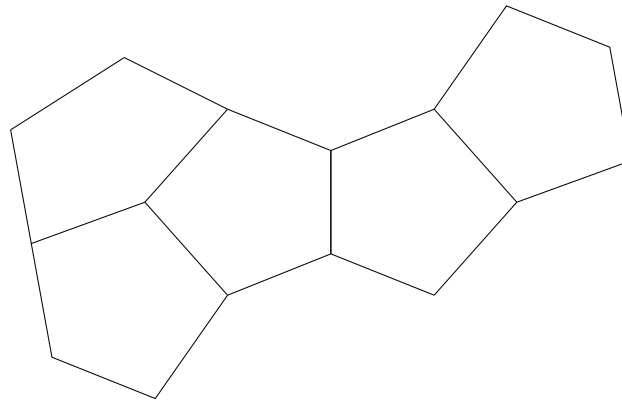


(1, 2)

# Polycycles (with Dutour and Shtogrin)

A finite  $(p, q)$ -polycycle is a plane 2-connected finite graph, such that :

- all interior faces are (combinatorial)  $p$ -gons,
- all interior vertices are of degree  $q$ ,
- all boundary vertices are of degree in  $[2, q]$ .



a  $(5, 3)$ -polycycle

# Examples of $(p, 3)$ -polycycles

- $p = 3$ :  $\{3, 3\}$ ,  $\{3, 3\} - v$ ,  $\{3, 3\} - e$ ;
- $p = 4$ :  $\{4, 3\}$ ,  $\{4, 3\} - v$ ,  $\{4, 3\} - e$ ,  $P_2 \times A$  ( $A = P_{n \geq 1}$ ,  $P_{\mathbb{N}}$ ,  $P_{\mathbb{Z}}$ )
- Continuum for any  $p \geq 5$ .  
But 39 **proper**  $(5, 3)$ -polycycles, i.e., partial subgraphs of Dodecahedron
- $p = 6$ : polyhexes=benzenoids

## Theorem

- Planar graphs admit at most one realization as  $(p, 3)$ -polycycle
- any unproper  $(p, 3)$ -polycycle is a  **$(p, 3)$ -helicene** (homomorphism into the plane tiling  $\{p, 3\}$  by regular  $p$ -gons)

# Icosahedral fullerenes (with Delgado)

- 3-valent polyhedra with  $p = (p_5, p_{n>6})$  and symmetry  $I$  or  $I_h$

orbit size	60	30	20	12
# of orbits	any	$\leq 1$	$\leq 1$	1
$i$ -gonal face	any	$3t$	$2t$	$5t$

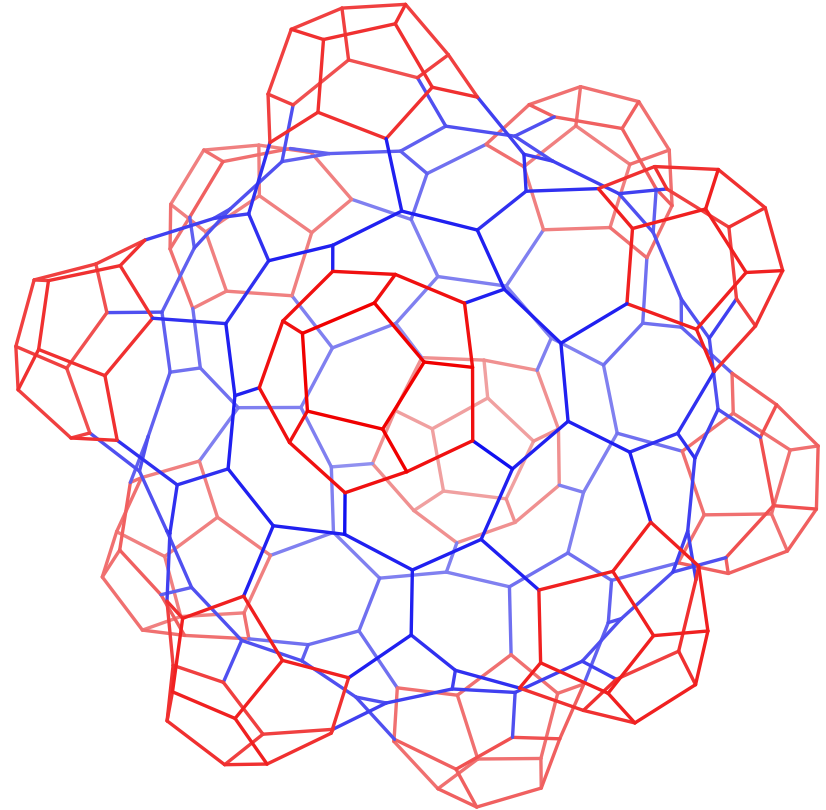
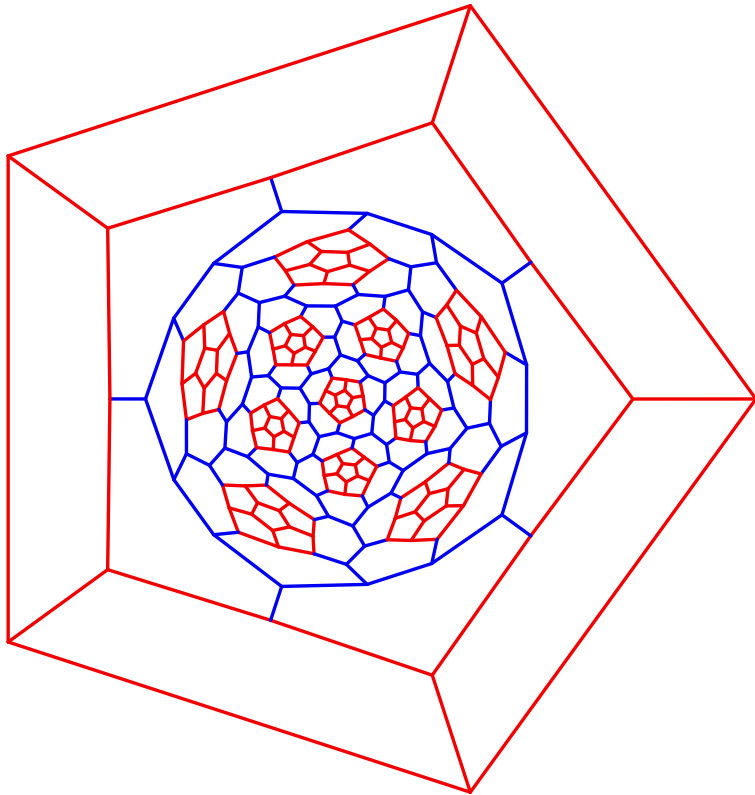
$$A_{n,k} : (p_5, p_n) = (12 + 60k, \frac{60k}{n-6}) \text{ with } k \geq 1, n > 6$$

$$B_{n,k} : (p_5, p_n) = (60k, 12\frac{5k-1}{n-6}) \text{ with } k \geq 1, n = 5t > 5$$

# *I*-fulleroids

	$p_5$	$n; p_n$	$v$	# of	Sym
$A_{7,1}$	72	7, 60	260	2	$I$
$A_{8,1}$	72	8, 30	200	1	$I_h$
$A_{9,1}$	72	9, 20	180	1	$I_h$
$B_{10,1}$	60	10, 12	140	1	$I_h$
$A_{11,5}$	312	11, 60	740	?	
$A_{12,2}$	132	12, 20	300	—	
$A_{12,3}$	192	12, 30	440	1	$I_h$
$A_{13,7}$	432	13, 60	980	?	
$A_{14,4}$	252	14, 30	560	1	$I_h$
$B_{15,2}$	120	15, 12	260	1	$I_h$

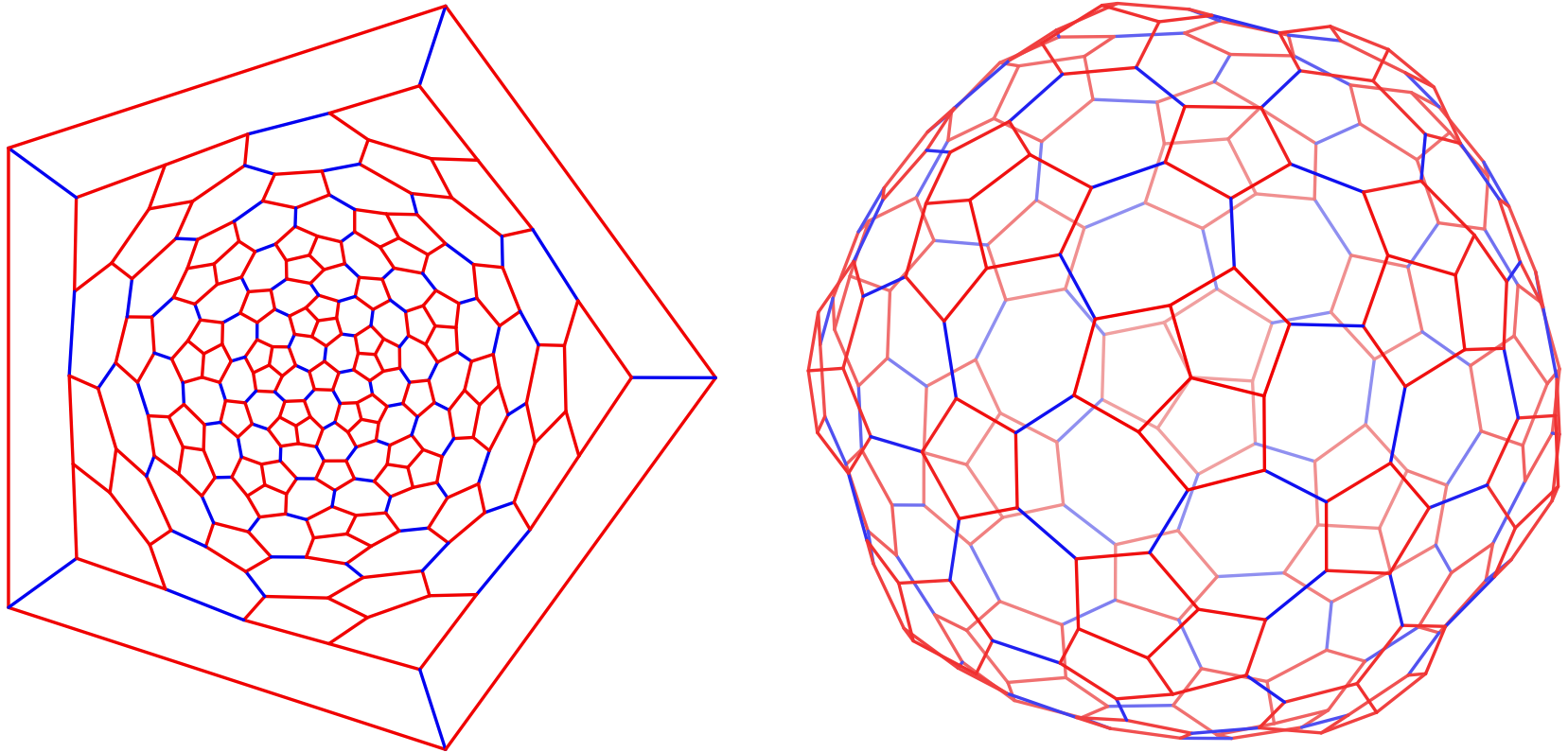
# First (5, 7)-sphere icosahedral $I$



$$F_{5,7}(I)a = P(C_{140}(I)); v = 260$$

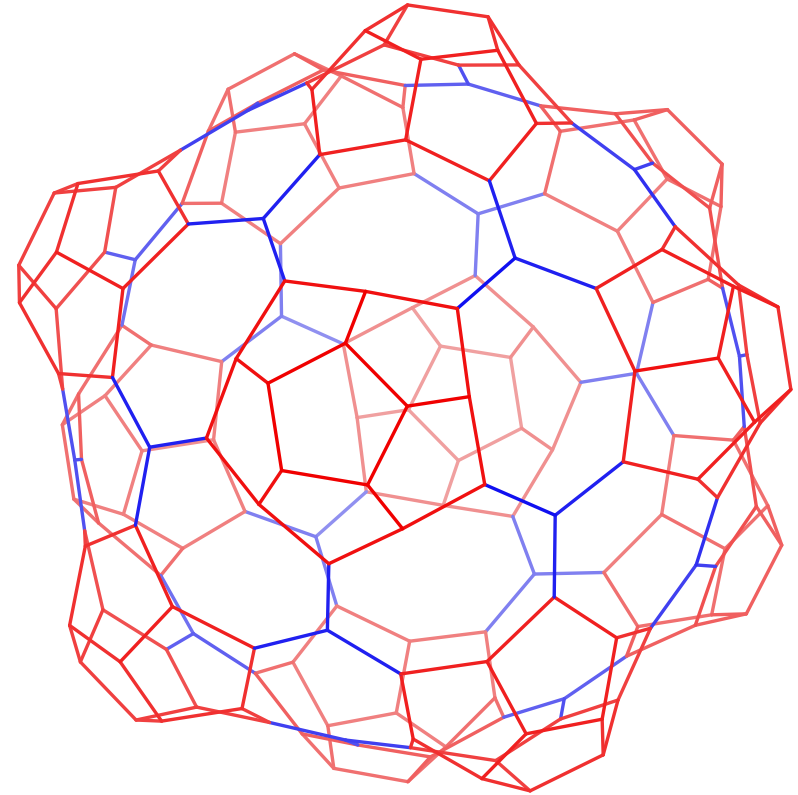
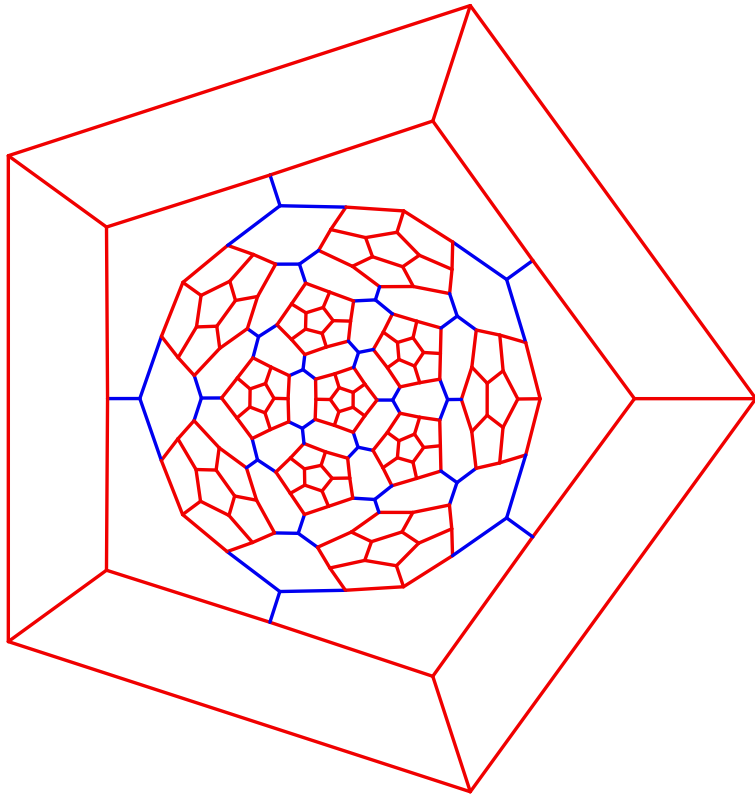


# Second (5, 7)-sphere icosahedral $I$



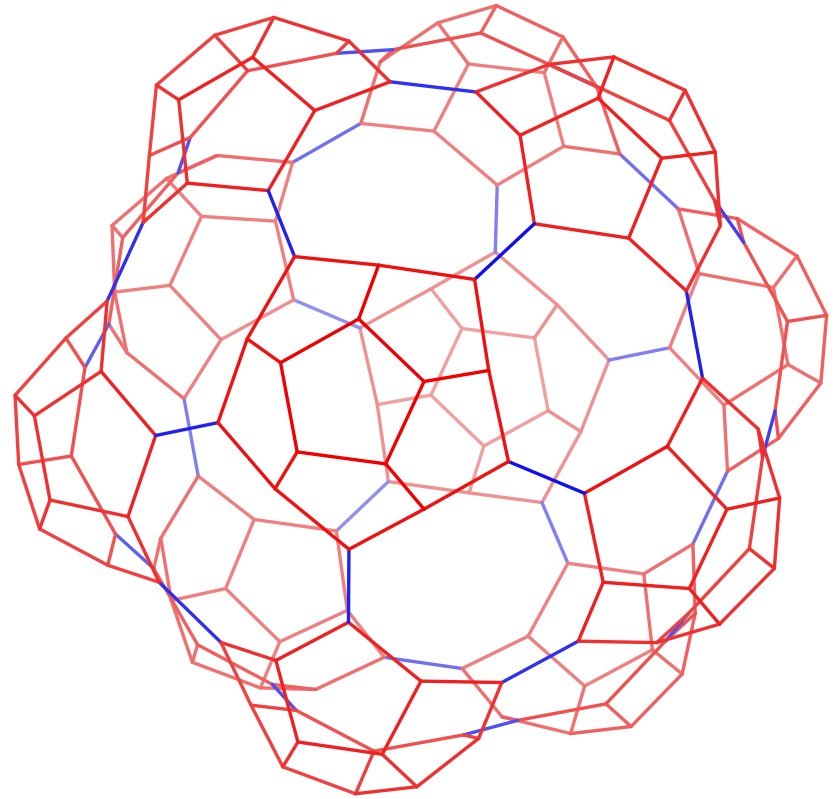
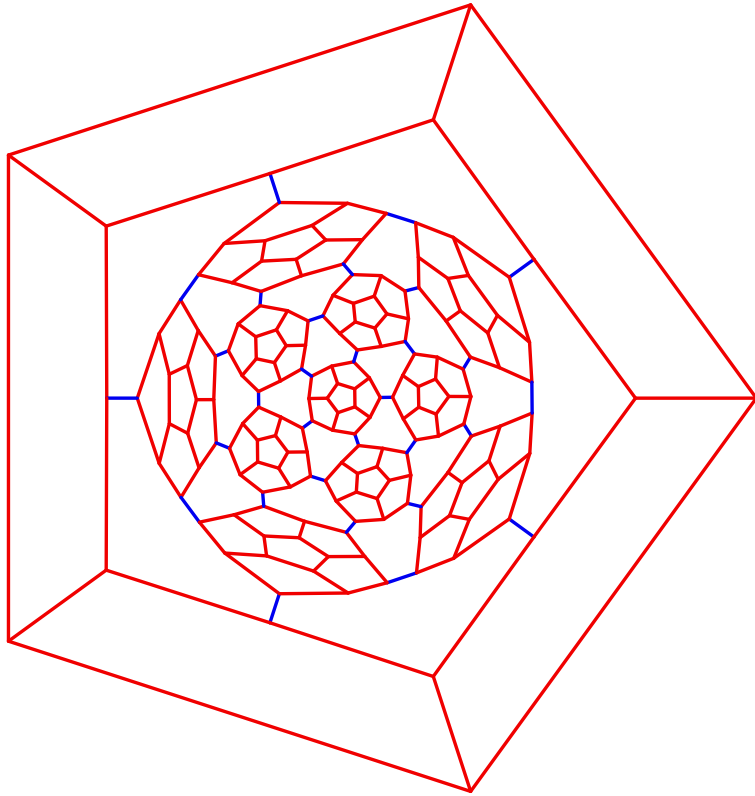
$$F_{5,7}(I)b = T_1(C_{180}(I_h)); v = 260$$

# (5, 8)-sphere icosahedral



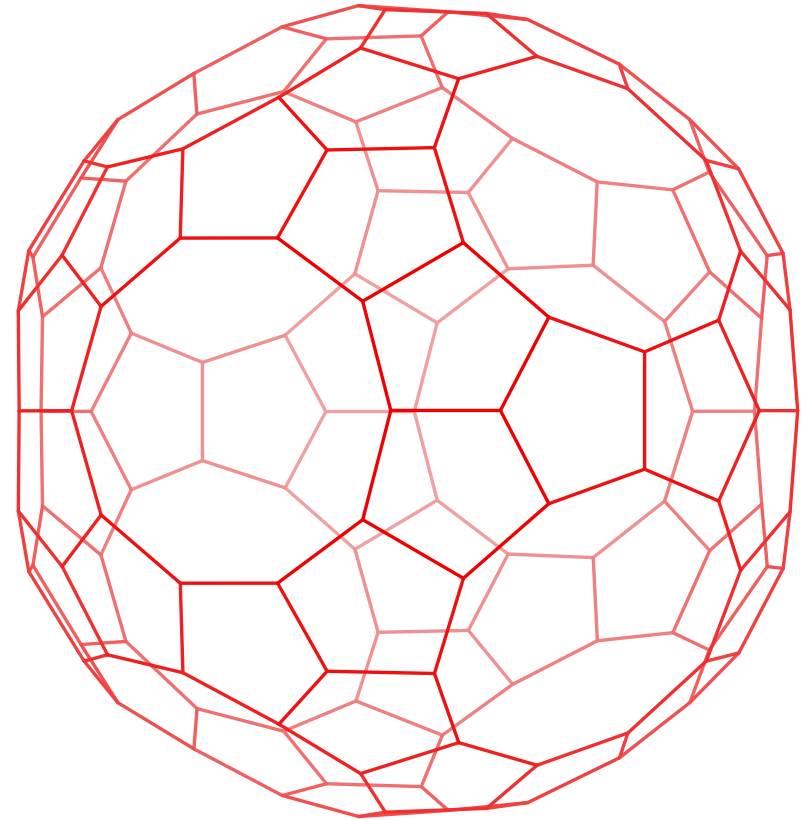
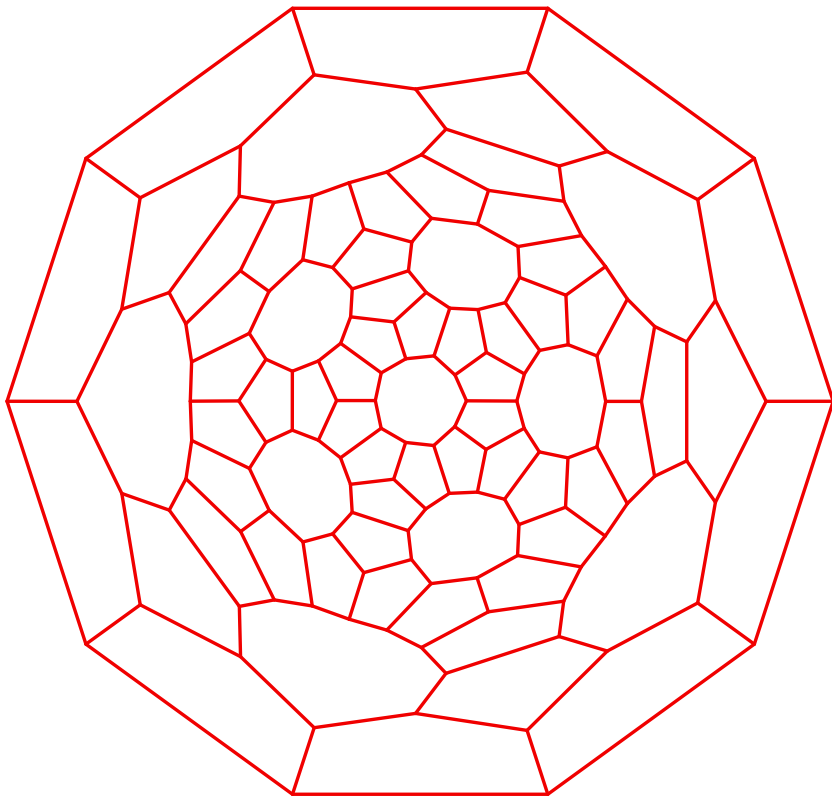
$$F_{5,8}(I_h) = P(C_{80}(I_h)); v = 200$$

# (5, 9)-sphere icosahedral



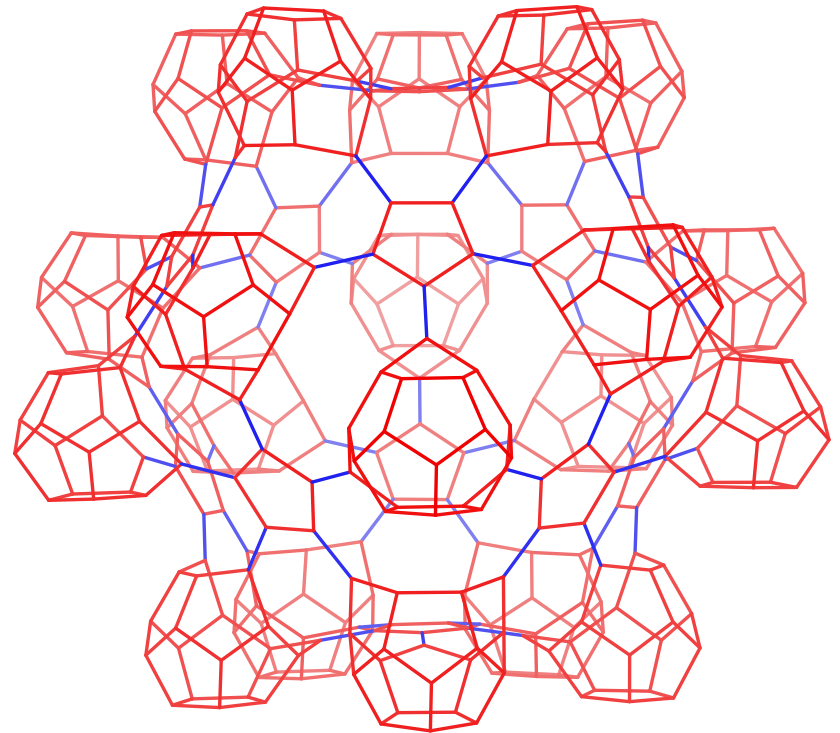
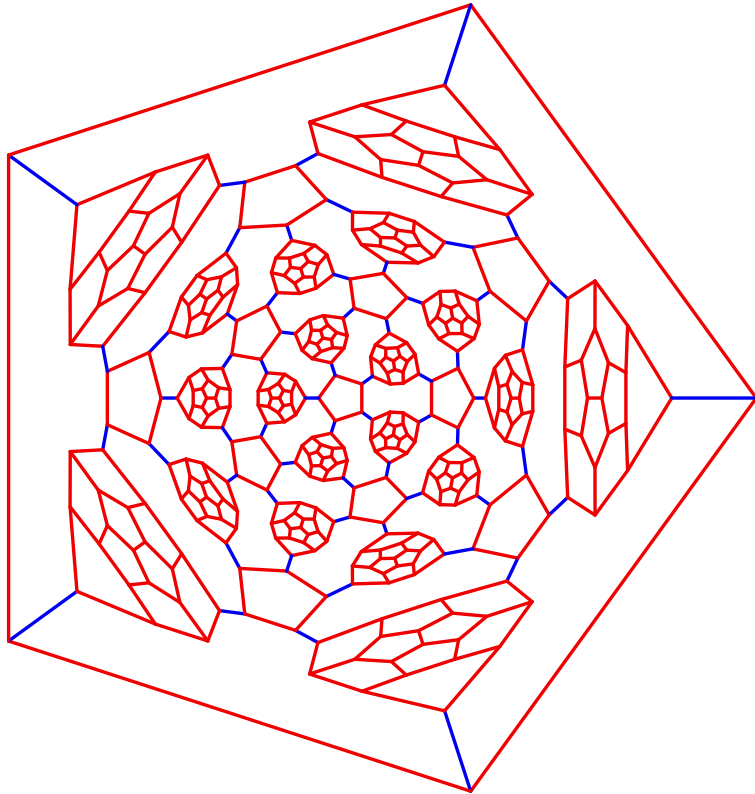
$$F_{5,9}(I_h) = P(C_{60}(I_h)); v = 180$$

# $(5, 10)$ -sphere icosahedral



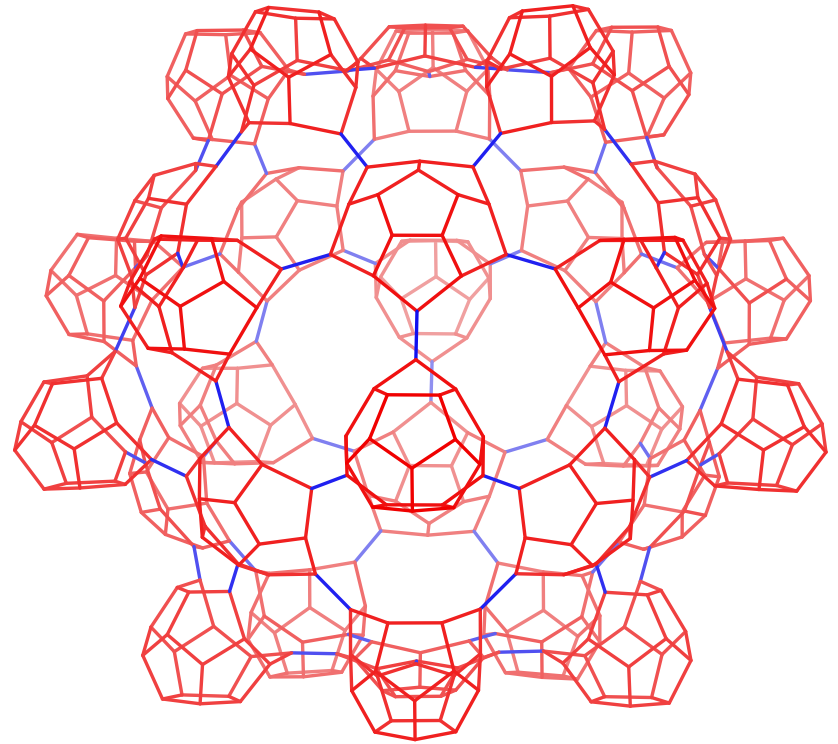
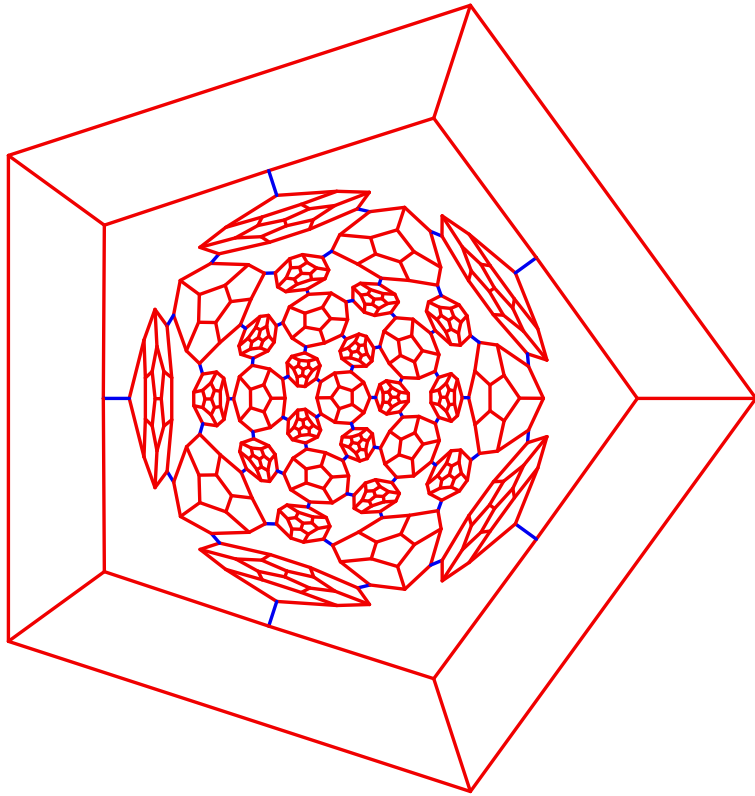
$$F_{5,10}(I_h) = T_1(C_{60}(I_h)); v = 140$$

# (5, 12)-sphere icosahedral



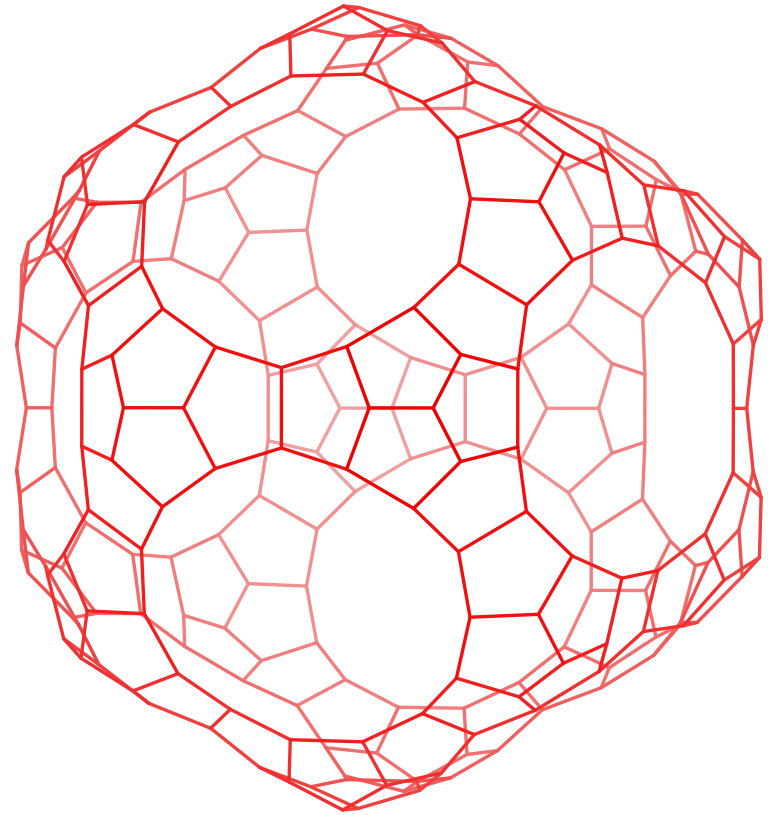
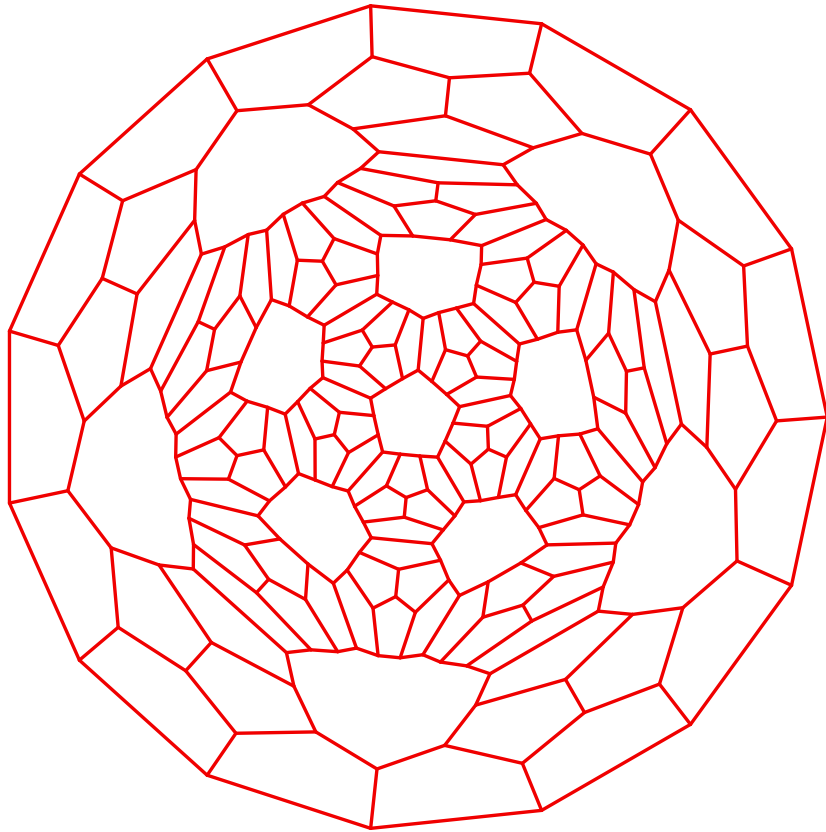
$$F_{5,12}(I_h) = T_3(C_{80}(I_h)); v = 440$$

# (5, 14)-sphere icosahedral



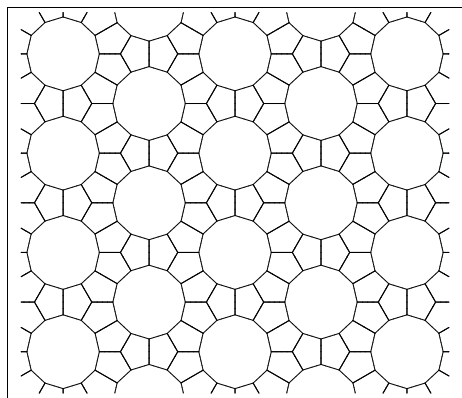
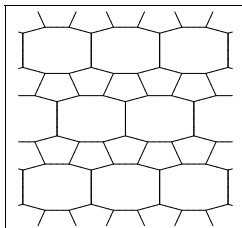
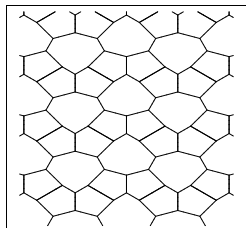
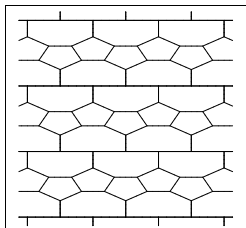
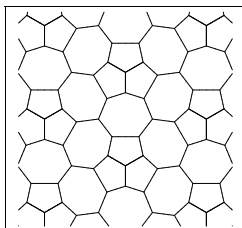
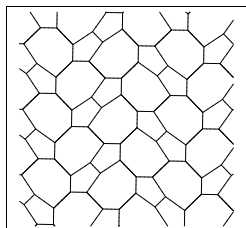
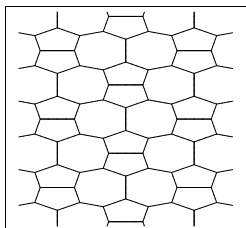
$$F_{5,14}(I_h) = P(F_{5,12}(I_h)); v = 560$$

# $(5, 15)$ -sphere icosahedral



$$F_{5,15}(I_h) = T_2(C_{60}(I_h)); v = 260$$

# All seven 2-isohedral $(5, n)$ -planes



A  $(5, n)$ -plane is a 3-valent plane tiling by 5- and  $n$ -gons.

A plane tiling is 2-homohedral if its faces form 2 orbits under group of combinatorial automorphisms  $Aut$ .

It is 2-isohedral if, moreover, its symmetry group is isomorphic to  $Aut$ .



V.  $d$ -dimensional  
fullerenes (with Shtogrin)

# $d$ -fullerenes

$(d - 1)$ -dim. simple ( $d$ -valent) manifold (loc. homeomorphic to  $\mathbb{R}^{d-1}$ ) compact connected, any 2-face is 5- or 6-gon.

So, any  $i$ -face,  $3 \leq i \leq d$ , is an **polytopal  $i$ -fullerene**.

So,  $d = 2, 3, 4$  or  $5$  only since (Kalai, 1990) any 5-polytope has a 3- or 4-gonal 2-face.

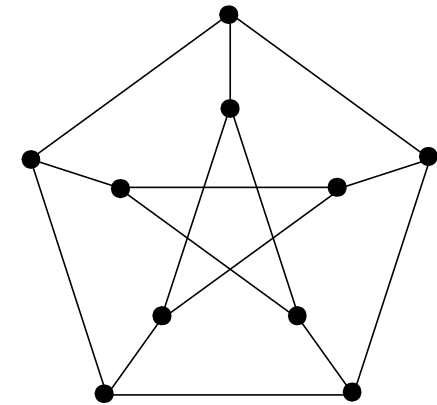
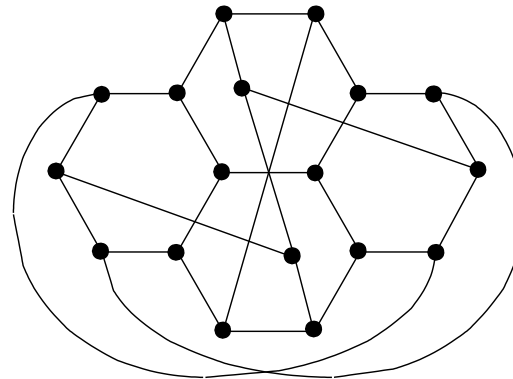
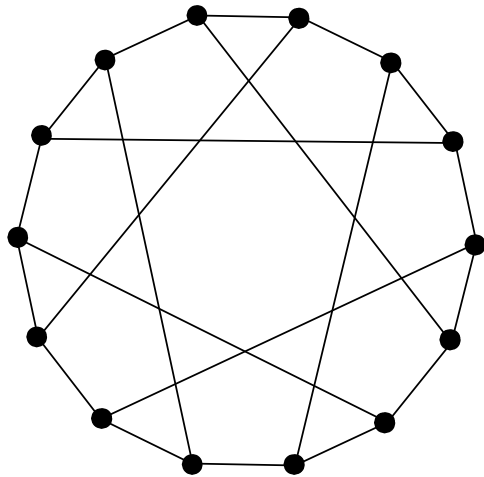
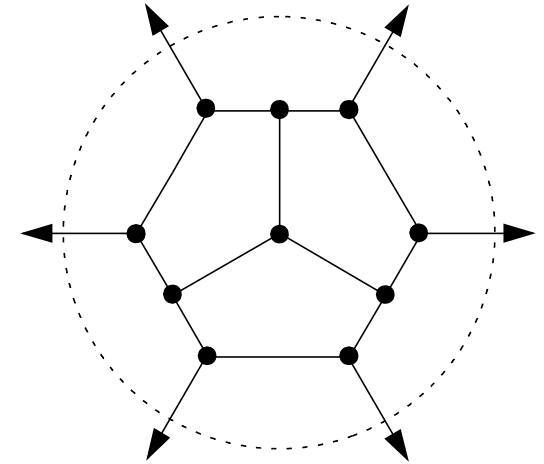
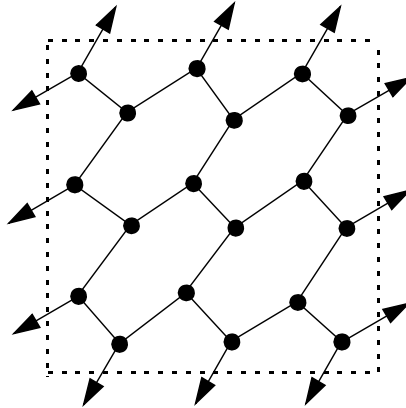
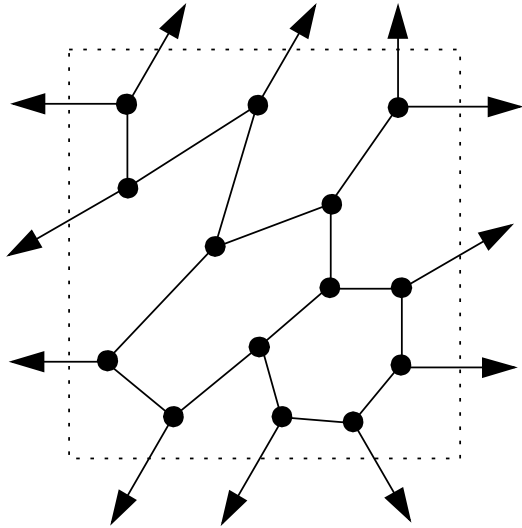
- **All finite 3-fullerenes**
- $\infty$ : plane 3- and space 4-fullerenes
- Finite 4-fullerenes; constructions:
  - $A$  (tubes of 120-cells) and  $B$  (coronas)
  - Inflation-decoration method (construction  $C, D$ )
- Quotient fullerenes; polyhexes
- 5-fullerenes from 5333

# All finite 3-fullerenes

- Euler formula  $\chi = v - e + p = \frac{p_5}{6} \geq 0$ .
- But  $\chi = \begin{cases} 2(1 - g) & \text{if oriented} \\ 2 - g & \text{if not} \end{cases}$
- Any 2-manifold is homeomorphic to  $S^2$  with  $g$  (genus) handles (cyl.) if oriented or cross-caps (Möbius) if not.

<b>g</b>	0	1( <i>or.</i> )	2( <i>not or.</i> )	1( <i>not or.</i> )
<b>surface</b>	$S^2$	$T^2$	$K^2$	$P^2$
$p_5$	12	0	0	6
$p_6$	$\geq 0, \neq 1$	$\geq 7$	$\geq 9$	$\geq 0, \neq 1, 2$
<b>3-fullerene</b>	usual sph.	polyhex	polyhex	elliptic

# Smallest non-spherical finite 3-fullerenes



Toric fullerene

Klein bottle  
fullerene

projective fullerene

# Non-spherical finite 3-fullerenes

- **Elliptic fullerenes** are antipodal quotients of centrally symmetric spherical fullerenes, i.e. with symmetry  $C_i$ ,  $C_{2h}$ ,  $D_{2h}$ ,  $D_{6h}$ ,  $D_{3d}$ ,  $D_{5d}$ ,  $T_h$ ,  $I_h$ . So,  $v \equiv 0 \pmod{4}$ .  
Smallest CS fullerenes  $F_{20}(I_h)$ ,  $F_{32}(D_{3d})$ ,  $F_{36}(D_{6h})$
- **Toroidal fullerenes** have  $p_5 = 0$ . They are described by S.Negami in terms of 3 parameters.
- **Klein bottle fullerenes** have  $p_5 = 0$ . They are obtained by quotient of toroidal ones by a fixed-point free involution reversing the orientation.

# Plane fullerenes (infinite 3-fullerenes)

- **Plane fullerene**: a 3-valent tiling of  $E^2$  by (combinatorial) 5- and 6-gons.
- If  $p_5 = 0$ , then it is the graphite  $\{6^3\} = F_\infty = 63$ .
- **Theorem**: plane fullerenes have  $p_5 \leq 6$  and  $p_6 = \infty$ .
- A.D. Alexandrov (1958): any metric on  $E^2$  of non-negative curvature can be realized as a metric of convex surface on  $E^3$ .

Consider plane metric such that all faces became regular in it. Its curvature is 0 on all interior points (faces, edges) and  $\geq 0$  on vertices. A convex surface is at most half  $S^2$ .

# Space 4-fullerenes (infinite 4-fullerene)

- 4 Frank-Kasper polyhedra (isolated-hexagon fullerenes):  $F_{20}(I_h)$ ,  $F_{24}(D_{6d})$ ,  $F_{26}(D_{3h})$ ,  $F_{28}(T_d)$
- Space fullerene: a 4-valent tiling of  $E^3$  by them
- Space 4-fullerene: a 4-valent tiling of  $E^3$  by any fullerenes
- They occur in:
  - ordered tetrahedrally closed-packed phases of metallic alloys with cells being atoms. There are  $> 20$  t.c.p. alloys (in addition to all quasicrystals)
  - soap froths (foams, liquid crystals)
  - hypothetical silicate (or zeolite) if vertices are tetrahedra  $SiO_4$  (or  $SiAlO_4$ ) and cells  $H_2O$
  - better solution to the Kelvin problem

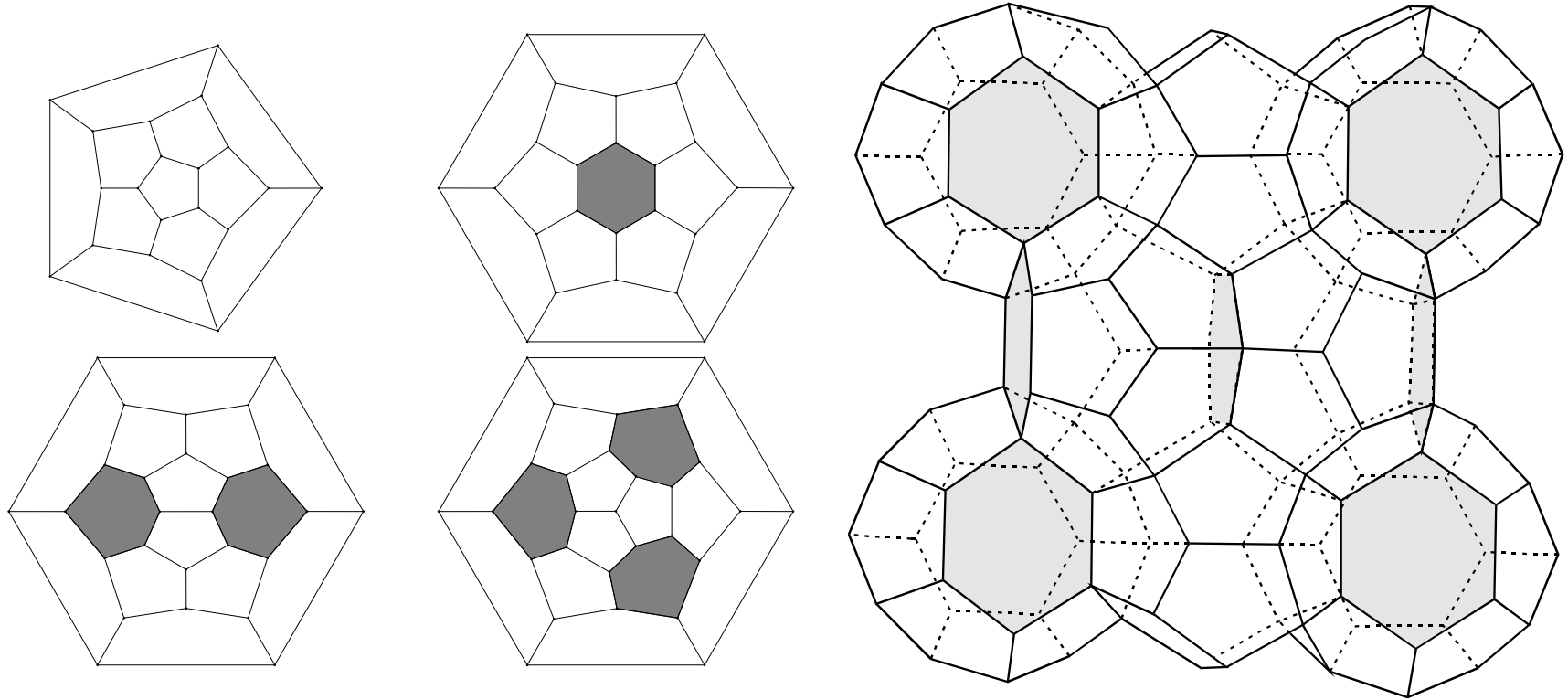
# Main examples of space fullerenes

Also in clathrate “ice-like” hydrates: vertices are  $H_2O$ , hydrogen bonds, cells are sites of solutes ( $Cl$ ,  $Br$ , ...).

t.c.p.	alloys	exp. clathrate	# 20	# 24	# 26	# 28
$A_{15}$	$Cr_3.Si$	I: $4Cl_2.7H_2O$	1	3	0	0
$C_{15}$	$MgCu_2$	II: $CHCl_3.17H_2O$	2	0	0	1
$Z$	$Zr_4Al_3$	III: $Br_2.86H_2O$	3	2	2	0
$\sigma$	$Cr_{46}.Fe_{54}$		5	8	2	0
$\mu$	$Mo_6Co_7$		7	2	2	2
$\delta$	$MoNi$		6	5	2	1
$C$	$V_2(Co, Si)_3$		15	2	2	6
$T$	$Mg_{32}(Zn, Al)_{49}$	$T_I$ (Bergman)	49	6	6	20
$SM$		$T_P$ (Sadoc-Mossieri)	49	9	0	26



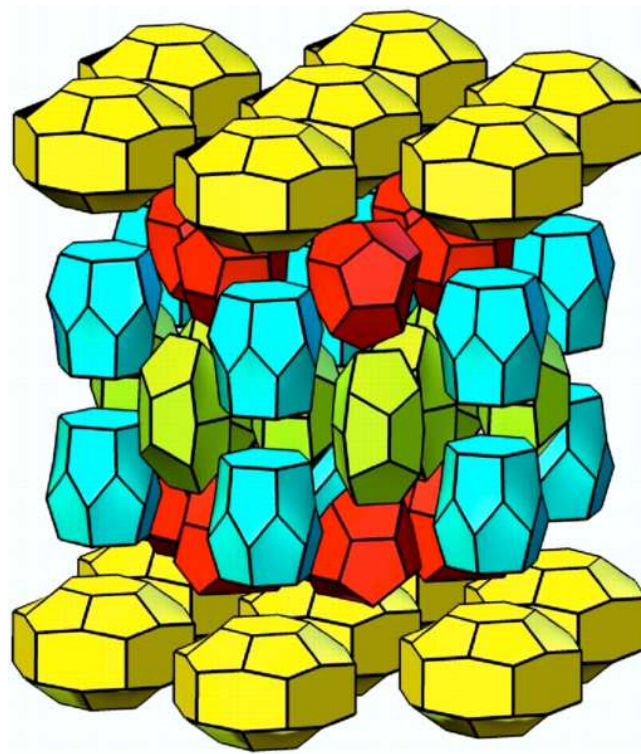
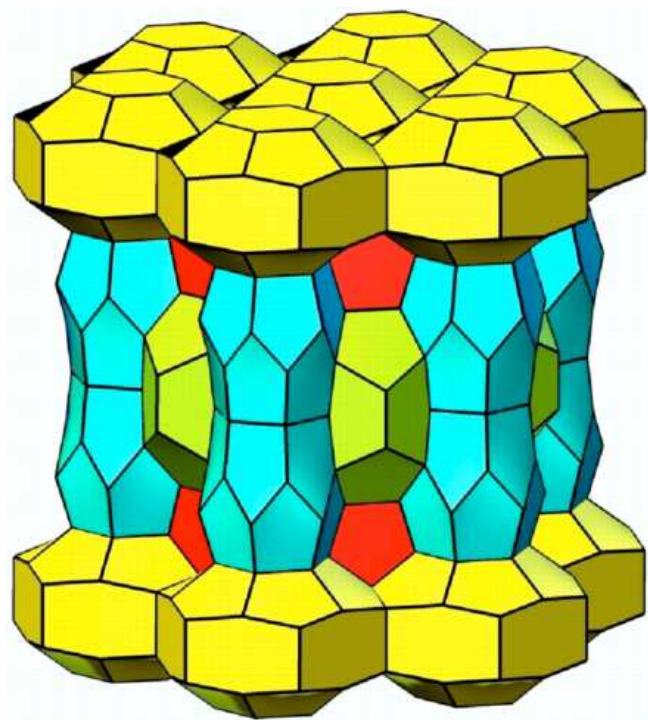
# Frank-Kasper polyhedra and $A_{15}$



Mean face-size of all known space fullerenes is in  $[5 + \frac{1}{10}(C_{15}), 5 + \frac{1}{9}(A_{15})]$ . Closer to impossible 5 (120-cell on 3-sphere) means energetically competitive with diamond.

# New space 4-fullerene (with Shtogrin)

The only known which is not by  $F_{20}$ ,  $F_{24}$ ,  $F_{26}$  and  $F_{28}(T_d)$ .  
By  $F_{20}$ ,  $F_{24}$  and its elongation  $F_{36}(D_{6h})$  in ratio 7 : 2 : 1;  
so, smallest known mean face-size  $5.091 < 5.1(C_{15})$ .



All space 4-fullerenes with at most 7 kinds of vertices:  
 $A_{15}$ ,  $C_{15}$ ,  $Z$ ,  $\sigma$  and this one (Delgado, O'Keeffe; 3,3,5,7,7).

# Kelvin problem

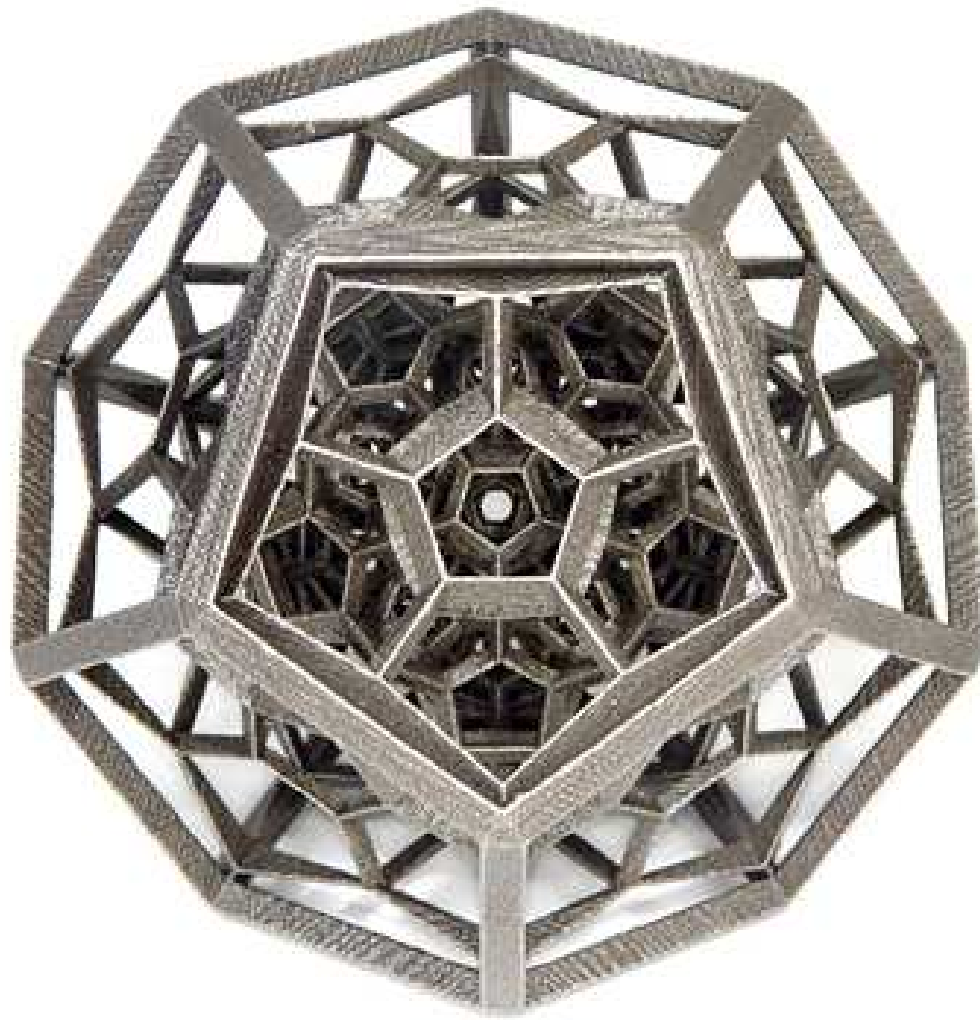
Partition  $E^3$  into cells of equal volume and minimal surface.

Kelvin's partition

Weaire, Phelan's partition

- Weaire-Phelan partition (A15) is 0.3% better than Kelvin's, best is unknown
- In dimension 2, best is honeycomb (Ferguson, Hales)

# Projection of 120-cell in 3-space (G.Hart)



(533): 600 vertices, 120 dodecahedral facets,  $|Aut| = 14400$

# Regular (convex) polytopes

A **regular polytope** is a polytope, whose symmetry group acts transitively on its set of flags.

The list consists of:

regular polytope	group
regular polygon $P_n$	$I_2(n)$
Icosahedron and Dodecahedron	$H_3$
120-cell and 600-cell	$H_4$
24-cell	$F_4$
$\gamma_n$ (hypercube) and $\beta_n$ (cross-polytope)	$B_n$
$\alpha_n$ (simplex)	$A_n = Sym(n + 1)$

There are 3 regular tilings of Euclidean plane:  $44 = \delta_2$ ,  $36$  and  $63$ , and an infinity of regular tilings  $pq$  of hyperbolic plane. Here  $pq$  is shortened notation for  $(p^q)$ .

# 2-dim. regular tilings and honeycombs

Columns and rows indicate **vertex figures** and **facets**, resp.  
**Blue** are elliptic (spheric), **red** are parabolic (Euclidean).

	2	3	4	5	6	7	m	$\infty$
2	22	23	24	25	26	27	2m	2 $\infty$
3	32	$\alpha_3$	$\beta_3$	lco	36	37	3m	3 $\infty$
4	42	$\gamma_3$	$\delta_2$	45	46	47	4m	4 $\infty$
5	52	Do	54	55	56	57	5m	5 $\infty$
6	62	63	64	65	66	67	6m	6 $\infty$
7	72	73	74	75	76	77	7m	7 $\infty$
m	m2	m3	m4	m5	m6	m7	mm	m $\infty$
$\infty$	$\infty 2$	$\infty 3$	$\infty 4$	$\infty 5$	$\infty 6$	$\infty 7$	$\infty m$	$\infty \infty$

# 3-dim. regular tilings and honeycombs

	$\alpha_3$	$\gamma_3$	$\beta_3$	Do	Ico	$\delta_2$	63	36
$\alpha_3$	$\alpha_4^*$		$\beta_4^*$		600-			336
$\beta_3$		24-				344		
$\gamma_3$	$\gamma_4^*$		$\delta_3^*$		435*			436*
Ico				353				
Do	120-		534		535			536
$\delta_2$		443*				444*		
36							363	
63	633*		634*		635*			636*

# 4-dim. regular tilings and honeycombs

	$\alpha_4$	$\gamma_4$	$\beta_4$	24-	120-	600-	$\delta_3$
$\alpha_4$	$\alpha_5^*$		$\beta_5^*$			3335	
$\beta_4$				$De(D_4)$			
$\gamma_4$	$\gamma_5^*$		$\delta_4^*$			4335*	
24-		$Vo(D_4)$					3434
600-							
120-	5333		5334			5335	
$\delta_3$				4343*			



# Finite 4-fullerenes

- $\chi = f_0 - f_1 + f_2 - f_3 = 0$  for any finite closed 3-manifold, no useful equivalent of Euler formula.
- Prominent 4-fullerene: 120-cell.  
**Conjecture:** it is unique equifaceted 4-fullerene ( $\simeq D_0 = F_{20}$ )
- A. Pasini: there is no 4-fullerene faceted with  $C_{60}(I_h)$  (4-football)
- Few types of putative facets:  $\simeq F_{20}$ ,  $F_{24}$  (hexagonal barrel),  $F_{26}$ ,  $F_{28}(T_d)$ ,  $F_{30}(D_{5h})$  (elongated Dodecahedron),  $F_{32}(D_{3h})$ ,  $F_{36}(D_{6h})$  (elongated  $F_{24}$ )

# 4 constructions of finite 4-fullerenes

		$ V $	3-faces are $\simeq$ to
	<b>120-cell*</b>	600	$F_{20} = D_0$
$\forall i \geq 1$	$A_i^*$	$560i + 40$	$F_{20}, F_{30}(D_{5h})$
$\forall 3 - \text{full.} F$	$B(F)$	$30v(F)$	$F_{20}, F_{24}, F(\text{two})$
decoration	<b>C(120-cell)</b>	20600	$F_{20}, F_{24}, F_{28}(T_d)$
decoration	<b>D(120-cell)</b>	61600	$F_{20}, F_{26}, F_{32}(D_{3h})$

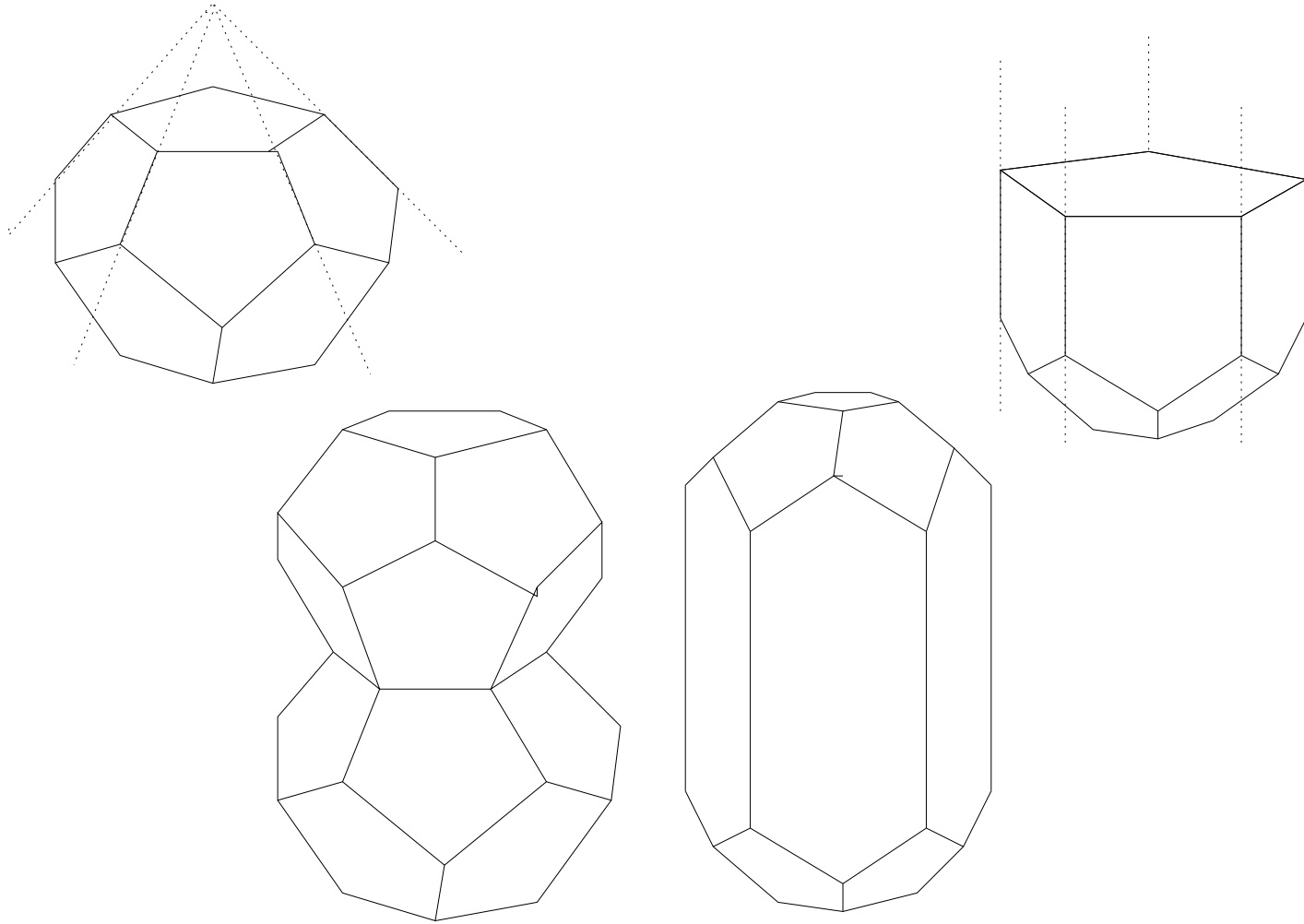
\* indicates that the construction creates a polytope; otherwise, the obtained fullerene is a 3-sphere.

$A_i$ : tube of 120-cells

$B$ : coronas of any simple tiling of  $\mathbb{R}^2$  or  $H^2$

$C, D$ : any 4-fullerene decorations

# Construction *A* of polytopal 4-fullerene



Similarly, tubes of 120-cell's are obtained in  $4D$

# Inflation method

- Roughly: find out in simplicial  $d$ -polytope (a dual  $d$ -fullerene  $F^*$ ) a suitable “large”  $(d - 1)$ -simplex, containing an integer number  $t$  of “small” (fundamental) simplices.
- Constructions  $C, D$ :  $F^* = 600$ -cell;  $t = 20, 60$ , respectively.
- The decoration of  $F^*$  comes by “barycentric homothety” (suitable projection of the “large” simplex on the new “small” one) as the orbit of new points under the symmetry group

# All known 5-fullerenes

- Exp 1: **5333** (regular tiling of  $H^4$  by 120-cell)
- Exp 2 (with 6-gons also): glue two 5333's on some 120-cells and delete their interiors. If it is done on only one 120-cell, it is  $\mathbb{R} \times S^3$  (so, simply-connected)
- Exp 3: (finite 5-fullerene): quotient of 5333 by its symmetry group; it is a compact 4-manifold partitioned into a finite number of 120-cells
- Exp 3': glue above
- Pasini: no polytopal 5-fullerene exist.

All known  $d$ -fullerenes come from usual spheric fullerenes or from the **regular  $d$ -fullerenes**: 5, 53=Dodecahedron, 533=120-cell, 5333, or 6, 63=graphite lattice, 633

# Quotient $d$ -fullerenes

A. Selberg (1960), A. Borel (1963): if a discrete group of motions of a symmetric space has a compact fund. domain, then it has a torsion-free normal subgroup of finite index. So, quotient of a  $d$ -fullerene by such symmetry group is a finite  $d$ -fullerene.

Exp 1: **Poincaré dodecahedral space**

- quotient of 120-cell (on  $S^3$ ) by the binary icosahedral group  $I_h$  of order 120; so,  $f$ -vector  
 $(5, 10, 6, 1) = \frac{1}{120} f(120 - \text{cell})$
- It comes also from  $F_{20} = D_o$  by gluing of its opposite faces with  $\frac{1}{10}$  right-handed rotation

Quot. of  $H^3$  tiling: by  $F_{20}$ :  $(1, 6, 6, p_5, 1)$  **Seifert-Weber space**  
and by  $F_{24}$ :  $(24, 72, 48 + 8 = p_5 + p_6, 8)$  **Löbell space**

# Polyhexes

Polyhexes on  $T^2$ , cylinder, its twist (Möbius surface) and  $K^2$  are quotients of graphite 63 by discontinuous and fixed-point free group of isometries, generated by resp.:

- 2 translations,
- a translation, a glide reflection
- a translation and a glide reflection.

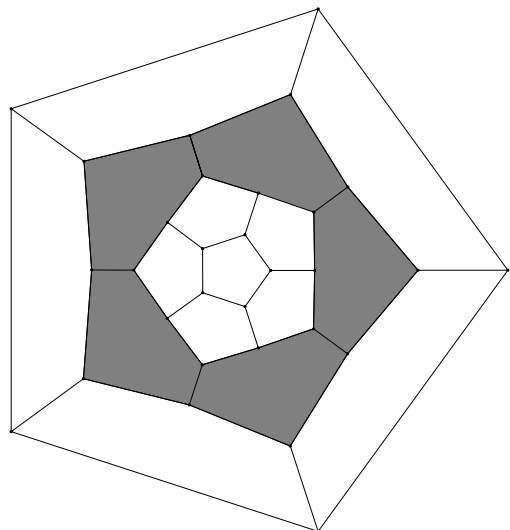
The smallest polyhex has  $p_6 = 1$ :  on  $T^2$ .

The “greatest” polyhex is 633 (the convex hull of vertices of 63, realized on a horosphere); it is not compact (i.e. with not compact fundamental domain), but cofinite (i.e., of finite volume) infinite 4-fullerene.

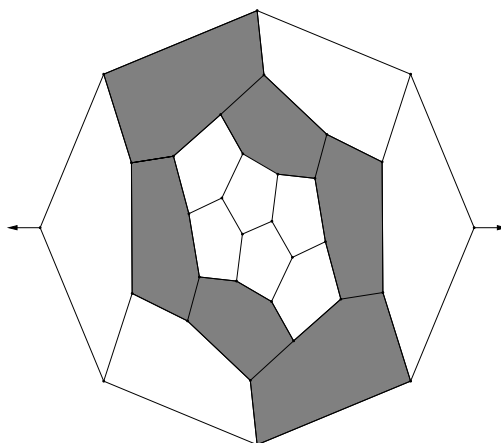
# VI. Some special fullerenes (with Grishukhin)



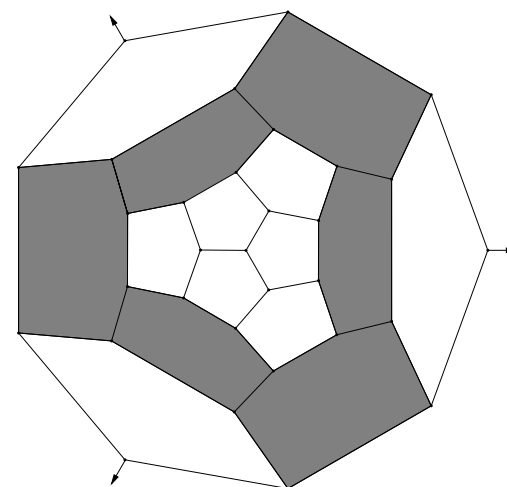
# All fullerenes with hexagons in 1 ring



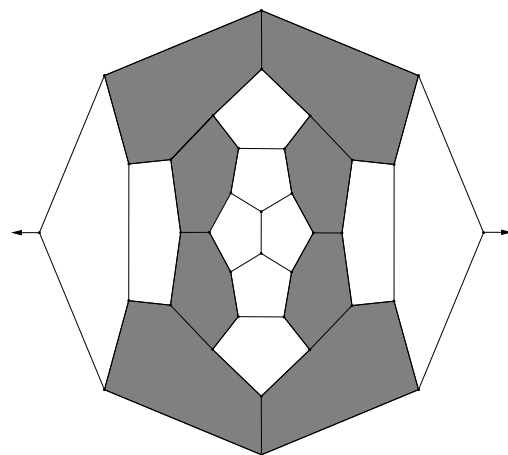
$D_{5h}; 30$



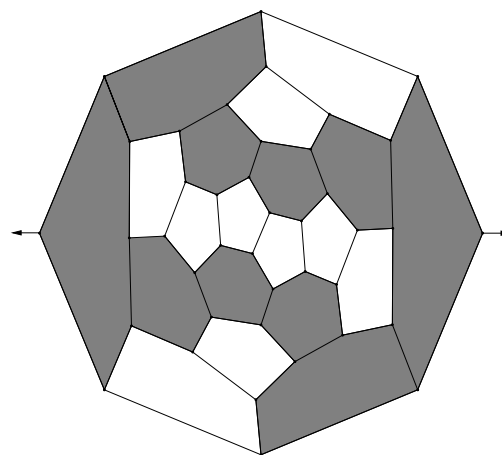
$D_2; 32$



$D_{3d}; 32$

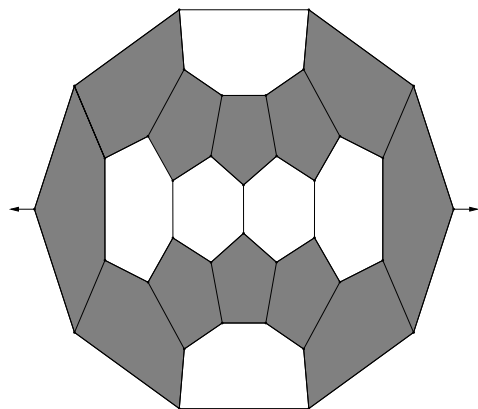


$D_{2d}; 36$

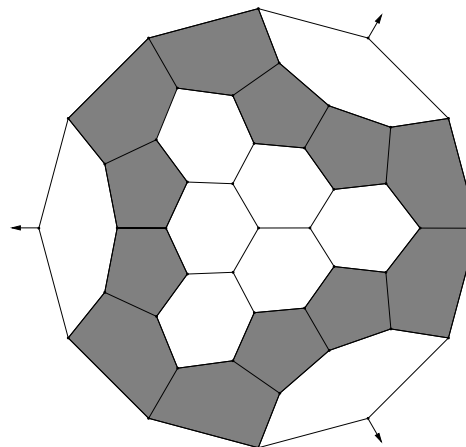


$D_2; 40$

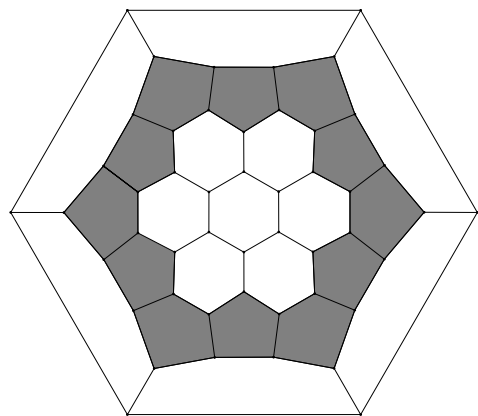
# All fullerenes with pentagons in 1 ring



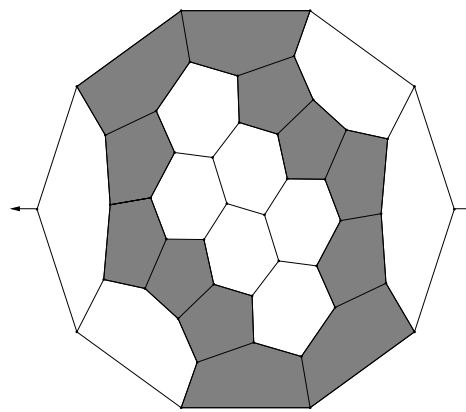
$D_{2d}; 36$



$D_{3d}; 44$

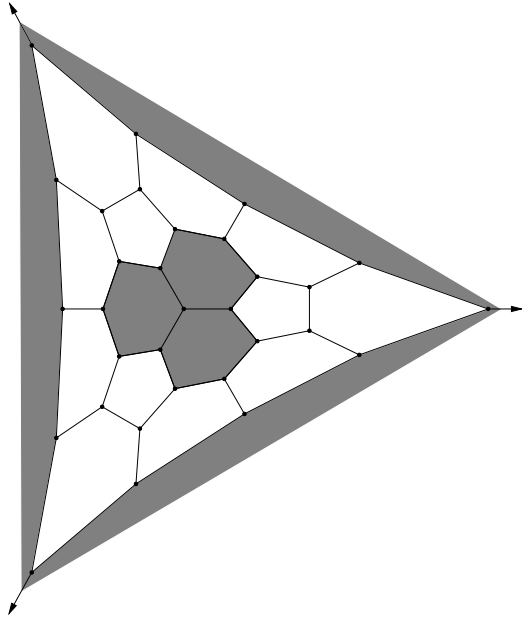


$D_{6d}; 48$

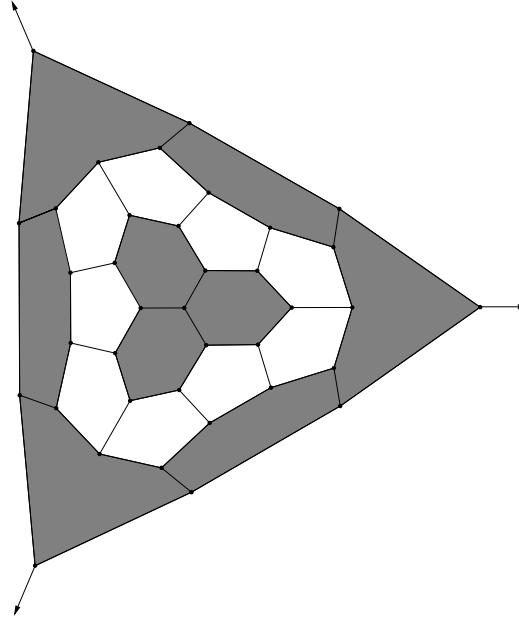


$D_2; 44$

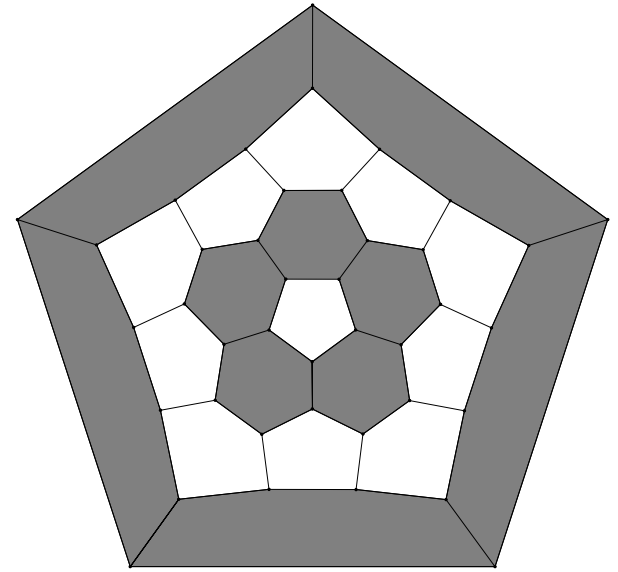
# All fullerenes with hexagons in $> 1$ ring



$D_{3h}; 32$

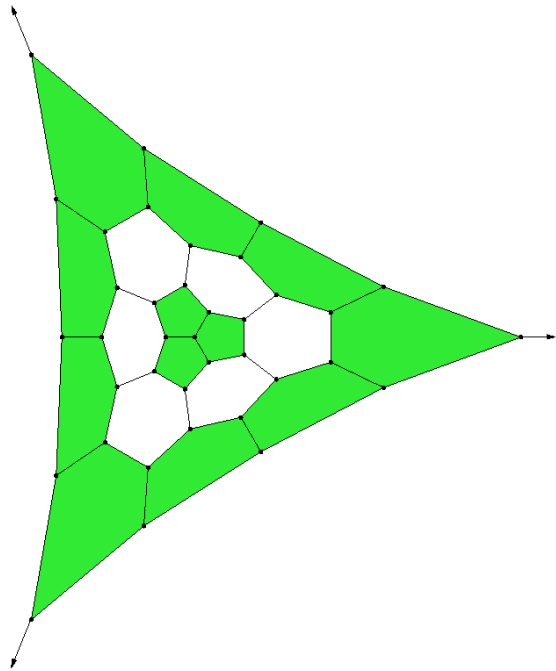


$C_{3v}; 38$

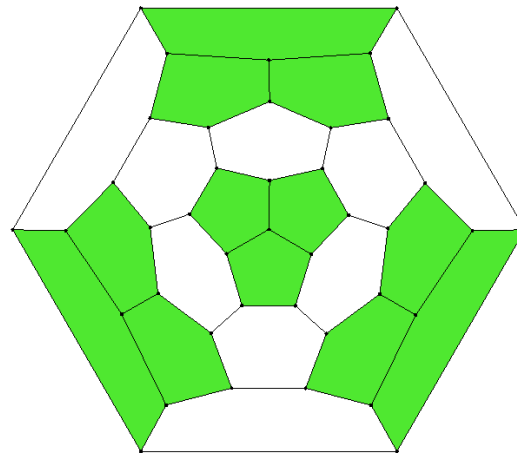


$D_{5h}; 40$

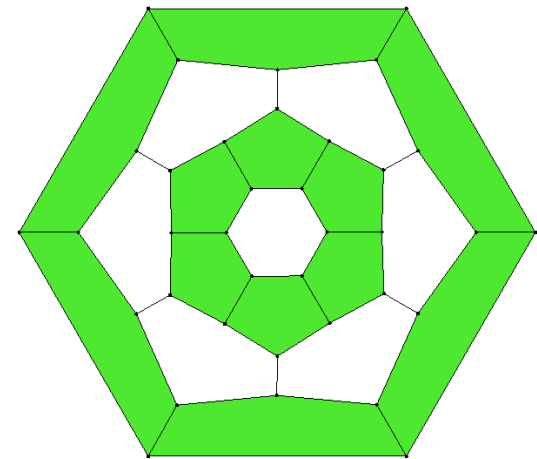
# All fullerenes with pentagons in $> 1$ ring



$C_{3v}; 38$



infinite family:  
4 triples in  $F_{4t}$ ,  
 $t \geq 10$ , from  
collapsed  $3_{4t+8}$

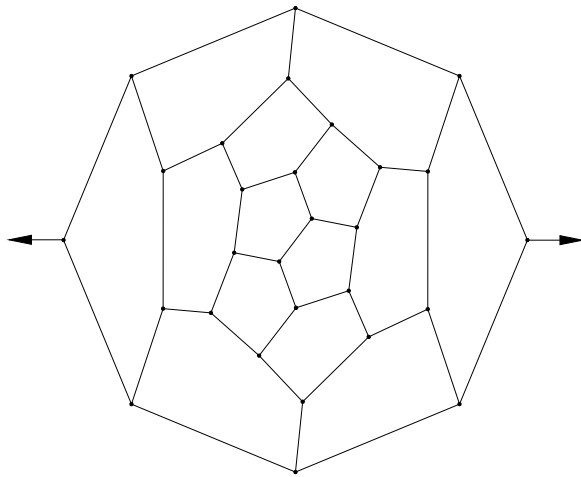


infinite family:  
 $F_{24+12t}(D_{6d})$ ,  
 $t \geq 1$ ,  
 $D_{6h}$  if  $t$  odd  
elongations of  
hexagonal barrel

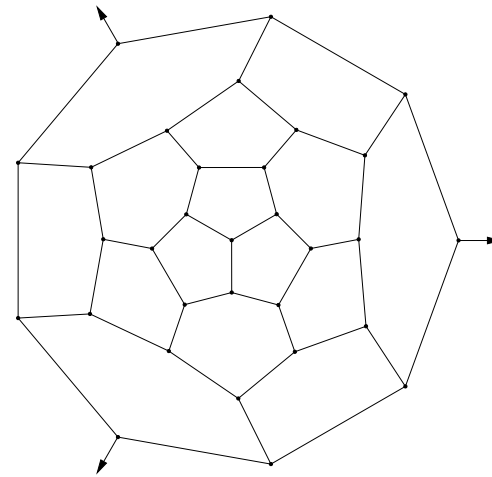
# Face-regular fullerenes

A fullerene called  $5R_i$  if every 5-gon has  $i$  exactly 5-gonal neighbors; it is called  $6R_i$  if every 6-gon has exactly  $i$  6-gonal neighbors.

$i$	0	1	2	3	4	5
# of $5R_i$	$\infty$	$\infty$	$\infty$	2	1	1
# of $6R_i$	4	2	8	5	7	1



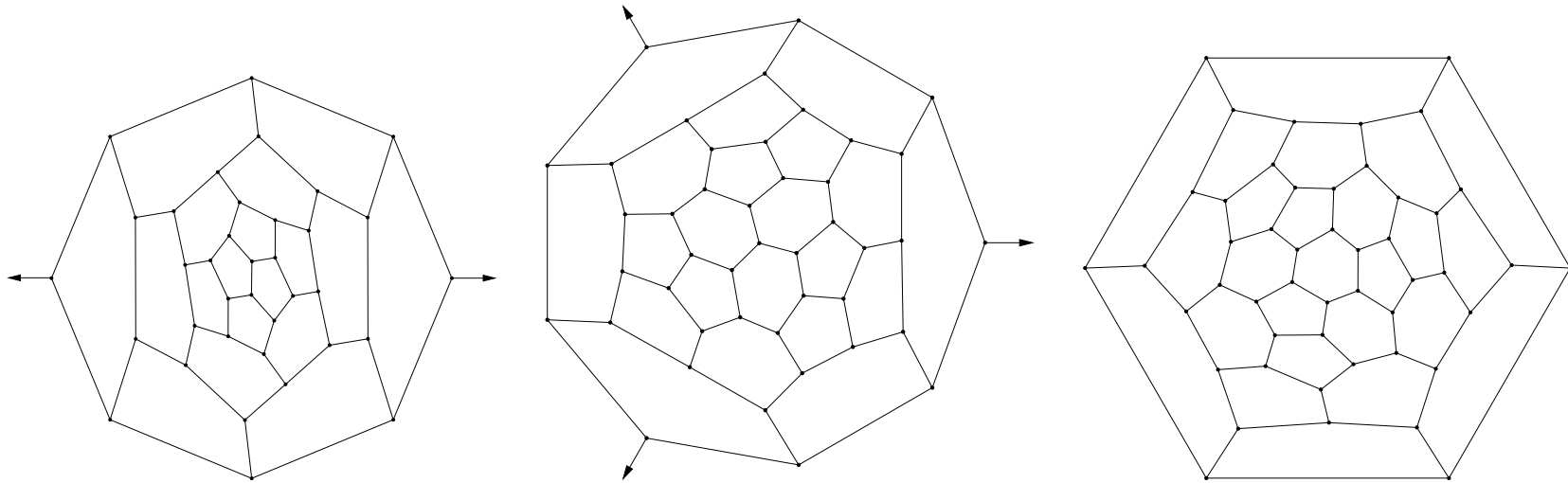
28,  $D_2$



32,  $D_3$

All fullerenes, which are  $6R_1$

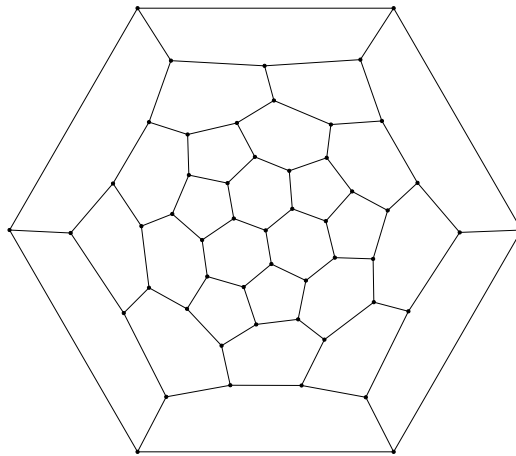
# All fullerenes, which are $6R_3$



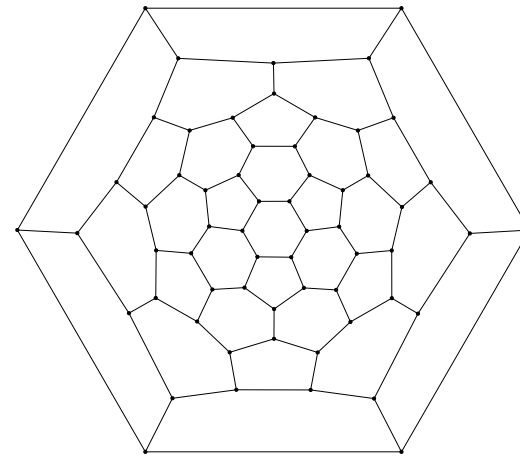
36,  $D_2$

44,  $T$  (also  $5R_2$ )

48,  $D_3$

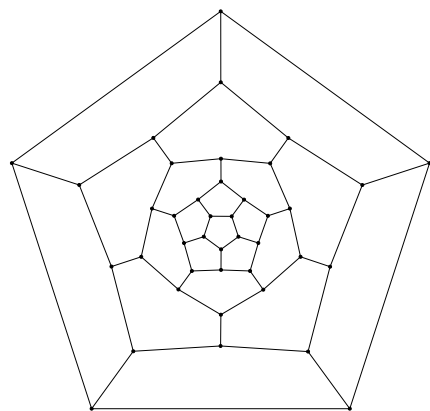


52,  $T$  (also  $5R_1$ )

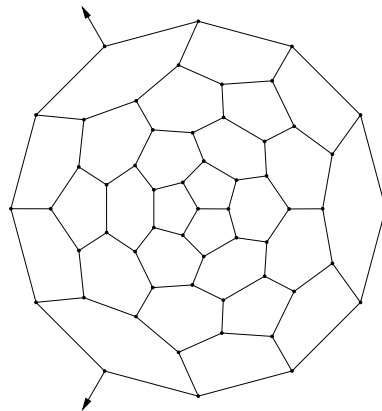


60,  $I_h$  (also  $5R_0$ )

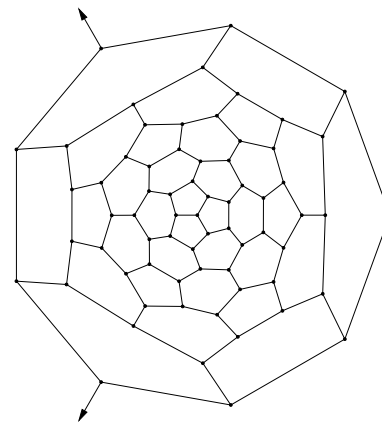
# All fullerenes, which are $6R_4$



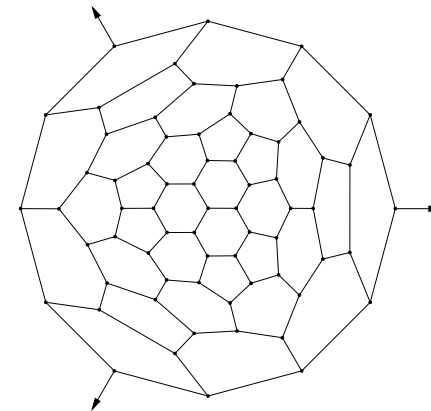
40,  $D_{5d}$



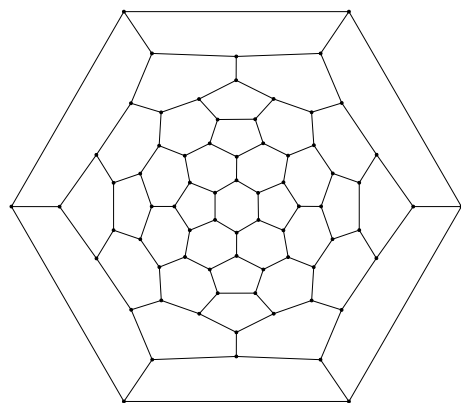
56,  $T_d$   
(also  $5R_2$ )



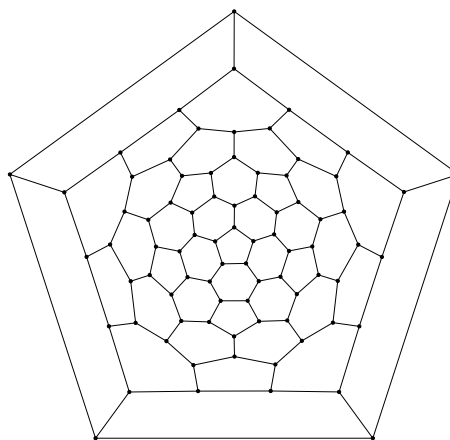
68,  $D_{3d}$



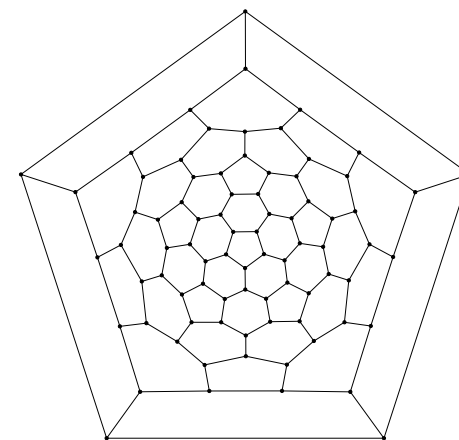
68,  $T_d$   
(also  $5R_1$ )



72,  $D_{2d}$



80,  $D_{5h}$  (also  $5R_0$ )



80,  $I_h$  (also  $5R_0$ )

# Fullerenes as isom. subgraphs of half-cube

- All isometric embeddings of skeletons (with  $(5R_i, 6R_j)$  of  $F_n$ ), for  $I_h$ - or  $I$ -fullerenes or their duals, are:

$$F_{20}(I_h)(5, 0) \rightarrow \frac{1}{2}H_{10} \quad F_{20}^*(I_h)(5, 0) \rightarrow \frac{1}{2}H_6$$

$$F_{60}^*(I_h)(0, 3) \rightarrow \frac{1}{2}H_{10} \quad F_{80}(I_h)(0, 4) \rightarrow \frac{1}{2}H_{22}$$

- **Conjecture** (checked for  $n \leq 60$ ): all such embeddings, for fullerenes with other symmetry, are:

$$F_{26}(D_{3h})(-, 0) \rightarrow \frac{1}{2}H_{12}$$

$$F_{28}^*(T_d)(3, 0) \rightarrow \frac{1}{2}H_7 \quad F_{36}^*(D_{6h})(2, -) \rightarrow \frac{1}{2}H_8$$

$$F_{40}(T_d)(2, -) \rightarrow \frac{1}{2}H_{15} \quad F_{44}(T)(2, 3) \rightarrow \frac{1}{2}H_{16}$$

- Also, for graphite lattice (infinite fullerene), it holds:

$$(6^3)=F_\infty(0, 6) \rightarrow H_\infty, Z_3 \text{ and } (3^6)=F_\infty^*(0, 6) \rightarrow \frac{1}{2}H_\infty, \frac{1}{2}Z_3.$$



# Embeddable dual fullerenes in Biology

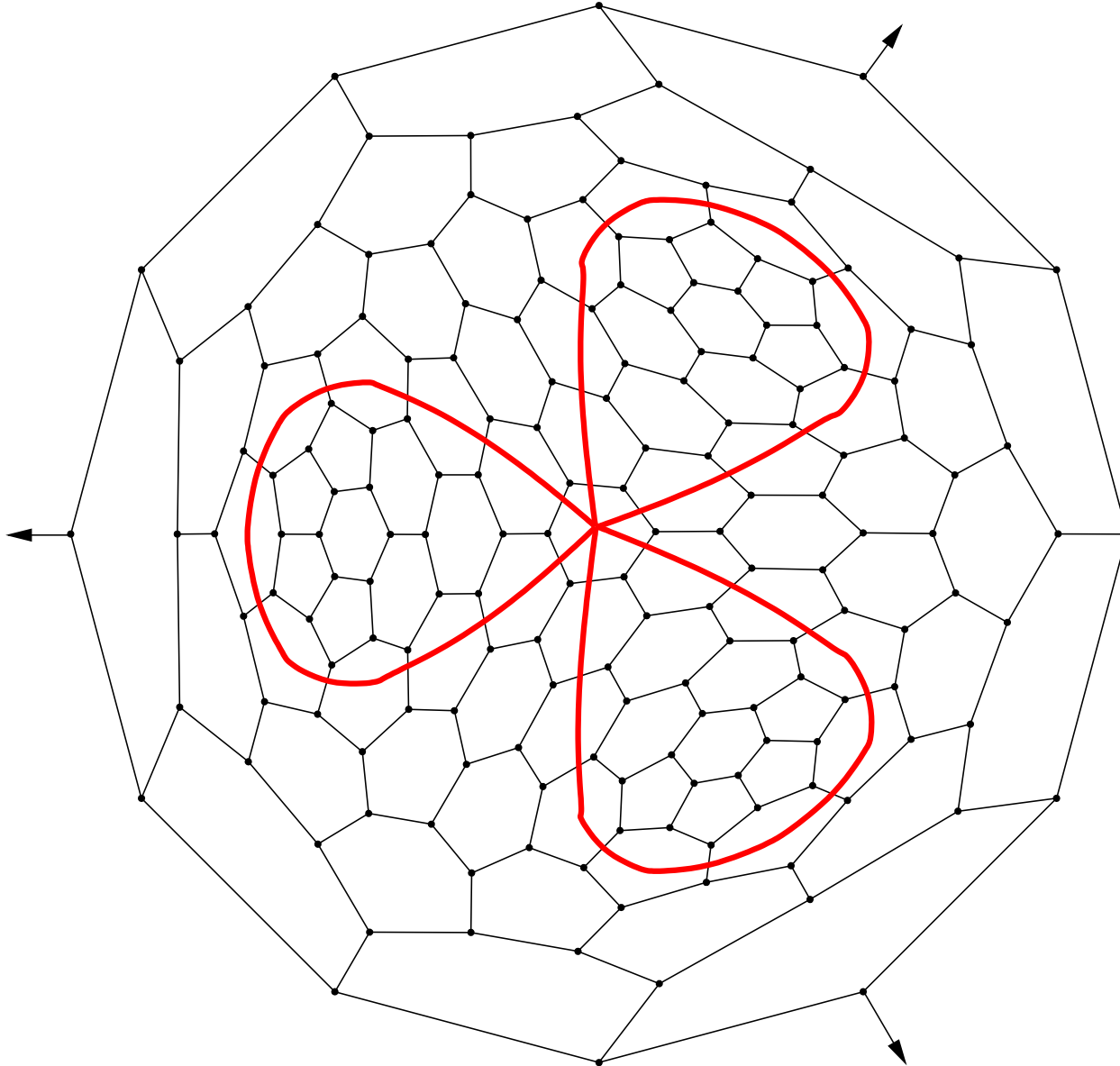
The five above embeddable dual fullerenes  $F_n^*$  correspond exactly to five special (Katsura's "most uniform") partitions  $(5^3, 5^2 \cdot 6, 5 \cdot 6^2, 6^3)$  of  $n$  vertices of  $F_n$  into 4 *types* by 3 gonalitys (5- and 6-gonal) faces incident to each vertex.

- $F_{20}^*(I_h) \rightarrow \frac{1}{2}H_6$  corresponds to  $(20, -, -, -)$
- $F_{28}^*(T_d) \rightarrow \frac{1}{2}H_7$  corresponds to  $(4, 24, -, -)$
- $F_{36}^*(D_{6h}) \rightarrow \frac{1}{2}H_8$  corresponds to  $(-, 24, 4, -)$
- $F_{60}^*(I_h) \rightarrow \frac{1}{2}H_{10}$  corresponds to  $(-, -, 60, -)$
- $F_{\infty}^* \rightarrow \frac{1}{2}H_{\infty}$  corresponds to  $(-, -, -, \infty)$

It turns out, that exactly above 5 fullerenes were identified as **clatrin coated vesicles** of eukaryote cells (the vitrified cell structures found during cryo-electronic microscopy).

**VII. Knots and zigzags  
in fullerenes  
(with Dutour and Fowler)**

# Triply intersecting railroad in $F_{172}(C_{3v})$

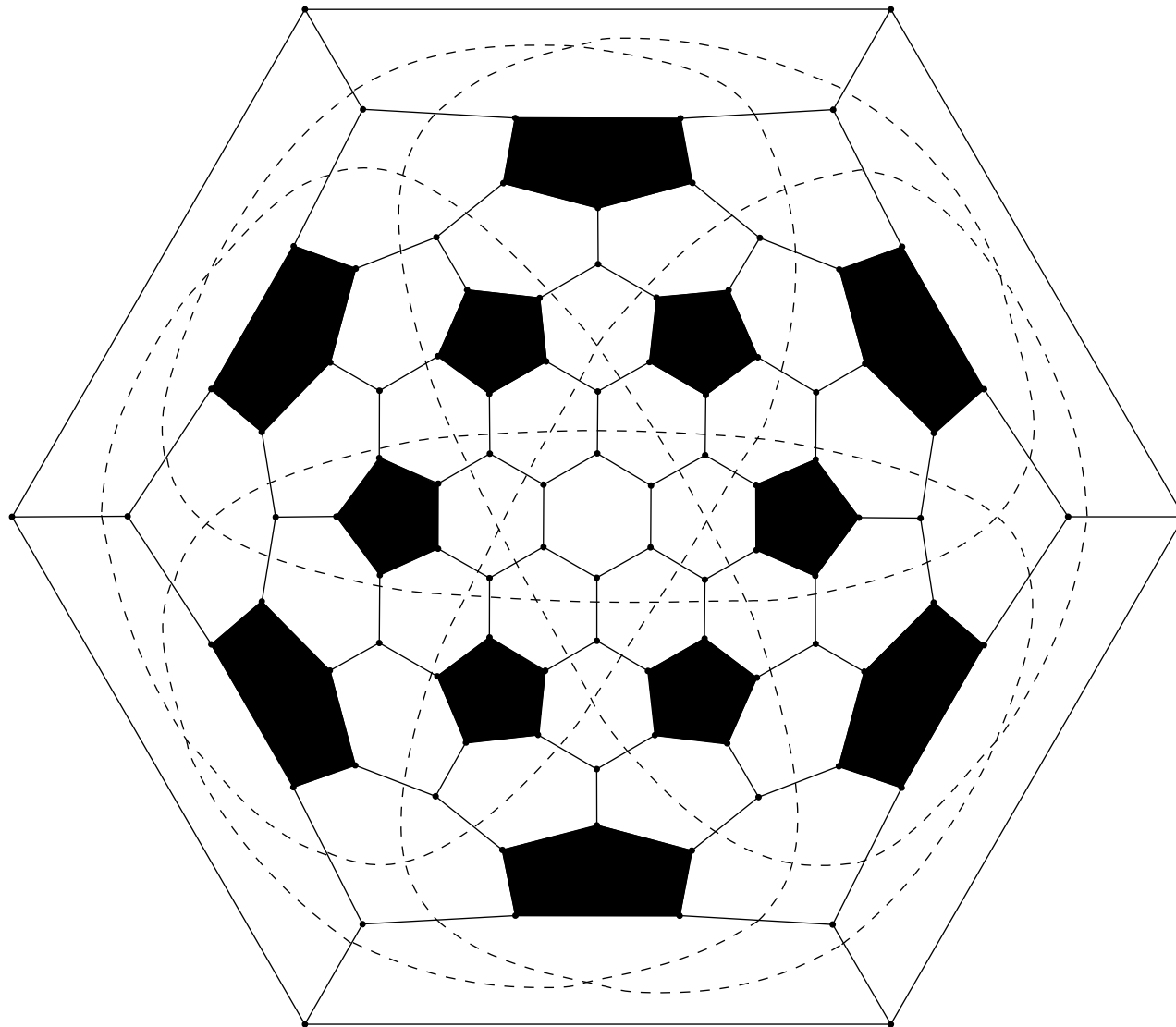


# Tight $F_n$ with only simple zigzags

$n$	group	$z$ -vector	orbit lengths	int. vector
20	$I_h$	$10^6$	6	$2^5$
28	$T_d$	$12^7$	3,4	$2^6$
48	$D_3$	$16^9$	3,3,3	$2^8$
60	$I_h$	$18^{10}$	10	$2^9$
60	$D_3$	$18^{10}$	1,3,6	$2^9$
76	$D_{2d}$	$22^4, 20^7$	1,2,4,4	$4, 2^9$ and $2^{10}$
88	$T$	$22^{12}$	12	$2^{11}$
92	$T_h$	$22^6, 24^6$	6,6	$2^{11}$ and $2^{10}, 4$
140	$I$	$28^{15}$	15	$2^{14}$

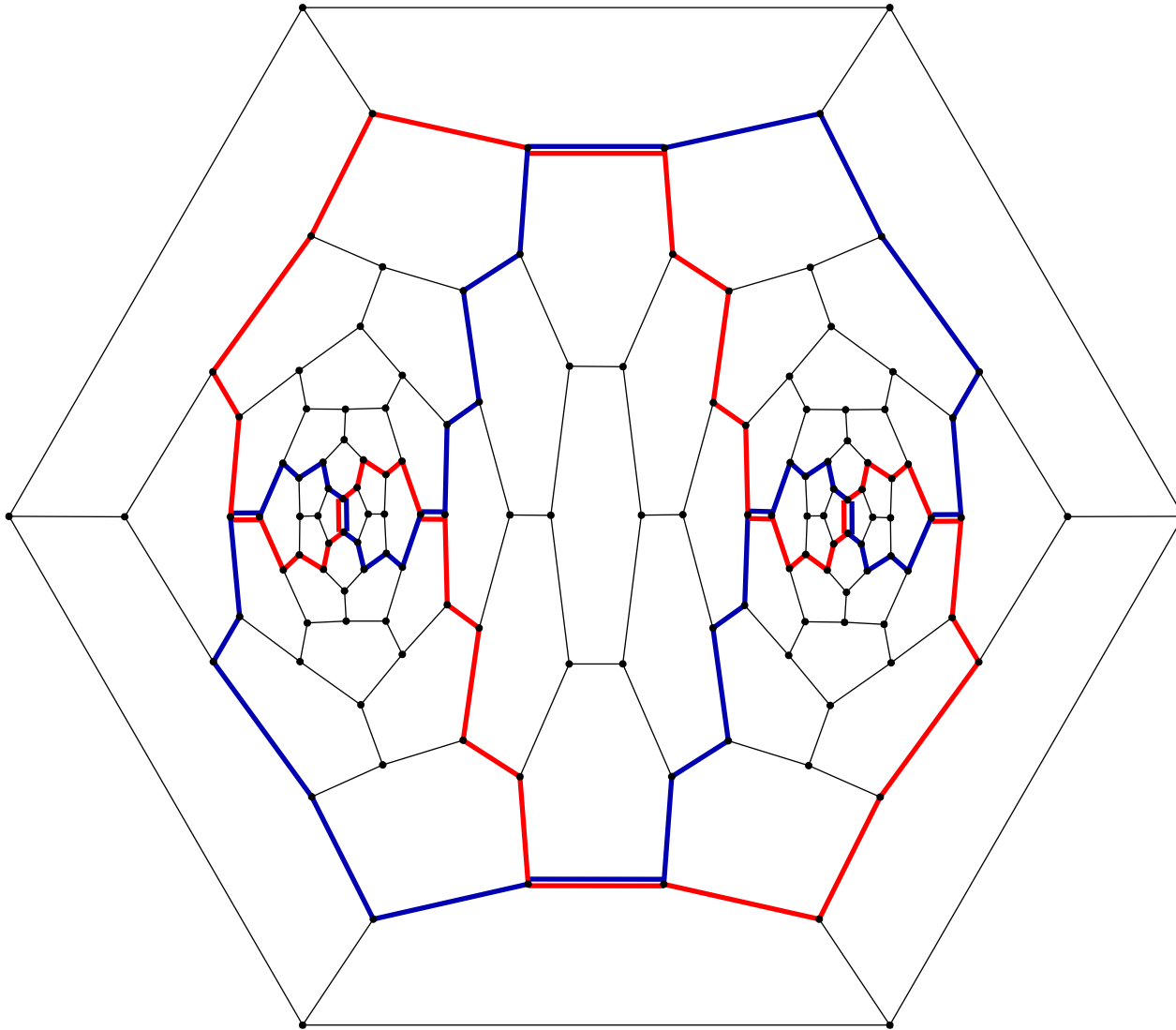
Conjecture: this list is complete (checked for  $n \leq 200$ ).  
 It gives 7 **Grünbaum arrangements** of plane curves.

# First IPR fullerene with self-int. railroad



$F_{96}(D_{6d})$ ; realizes projection of Conway knot  $(4 \times 6)^*$

# Intersection of zigzags



For any  $n$ , there is a fullerene with two zigzags having intersection  $2n$

# Parametrizing fullerenes $F_n$

Idea: the hexagons are of zero curvature, it suffices to give relative positions of faces of non-zero curvature.

- **Goldberg (1937)** All  $F_n$  of symmetry  $(I, I_h)$  are given by Goldberg-Coxeter construction  $GC_{k,l}$ .
- **Fowler and al. (1988)** All  $F_n$  of symmetry  $D_5, D_6$  or  $T$  are described in terms of 4 parameters.
- **Graver (1999)** All  $F_n$  can be encoded by 20 integer parameters.
- **Thurston (1998)** All  $F_n$  are parametrized by 10 complex parameters.
- **Sah (1994)** Thurston's result implies that the number of fullerenes  $F_n$  is  $\sim n^9$ .