

# Torus Cube Tilings

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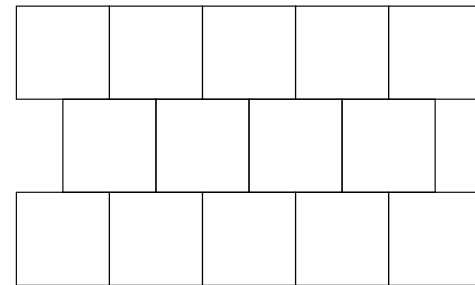
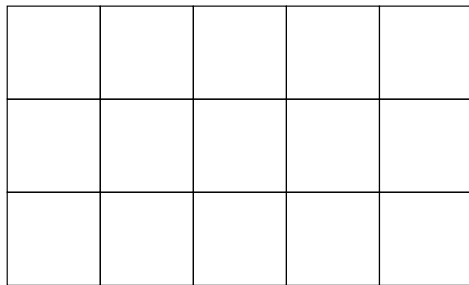
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# I. Torus tilings and packings

# Torus Cube Tilings

- A **special cube tiling** is a  $4\mathbb{Z}^d$ -periodic tiling of the space  $\mathbb{R}^d$  by integral translates of the hypercube  $[0, 2]^d$ .
- A **general cube tiling** is a tiling of  $\mathbb{R}^d$  by translate of the cube  $[0, 2]^d$ .
- Special cube tilings can be lifted to the torus.
- There is only one special cube tiling in dimension 1.
- There are two special cube tilings in dimension 2:



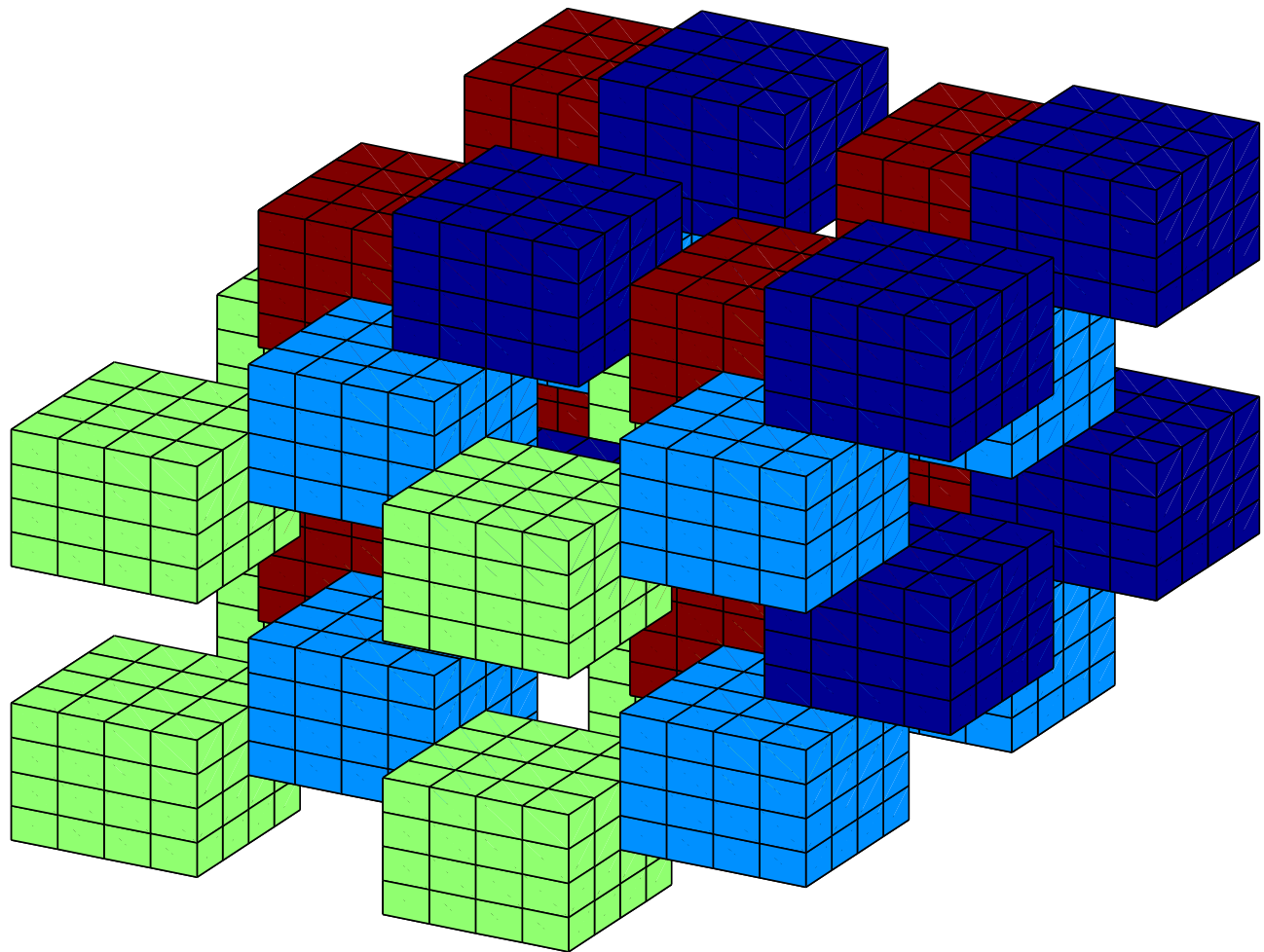
# Keller conjecture

- **Conjecture:** for any general cube tiling, there exist at least one face-to-face adjacency.
- This conjecture was proved by Perron (1940) for dimension  $n \leq 6$ .
- Szabo (1986): if there is a counter-example to the conjecture, then there is a counter-example, which is **special**.
- Lagarias & Shor (1992) have constructed counter-example to the Keller conjecture in dimension  $d \geq 10$
- Mackey (2002) has constructed a counter-example in dimension  $d \geq 8$ .

# Cube packings

- A **cube packing** is a packing of  $\mathbb{R}^d$  by integral translates of cubes  $[0, 2]^d$ , which is  $4\mathbb{Z}^d$ -periodic.
- If we cannot extend a cube packing by adding another cube, then it is called **non-extendible**.
- Non-extendible cube packing does not exist in dimension 1 and 2.
- There is an unique non-extendible cube packing in dimension 3.
- We are interested in the values of  $N$ , for which there is a non-extendible cube packing with  $N$  cubes.

# non-extendible cube packing



# II. Algorithms of generation

# Clique formalism

- Associate to every cube  $C$  its center  $c \in \{0, 1, 2, 3\}^d$
- Two cubes with centers  $x$  and  $x'$  are **non-overlapping** if and only if there exist a coordinate  $i$ , such that  $|x_i - x'_i| = 2$ .
- The graph  $G_d$  is the graph with vertex set  $\{0, 1, 2, 3\}^d$  and two vertices being adjacent if and only if the corresponding cubes do not overlap.
- A **clique**  $S$  in a graph is a set of vertices such that any two vertices in  $S$  are adjacent.
- Cube tilings correspond to cliques of size  $2^d$  in the graph  $G_d$ .
- All problems about those cube tilings are **finite**, since  $G_d$  is finite, but the number of possibilities is huge.

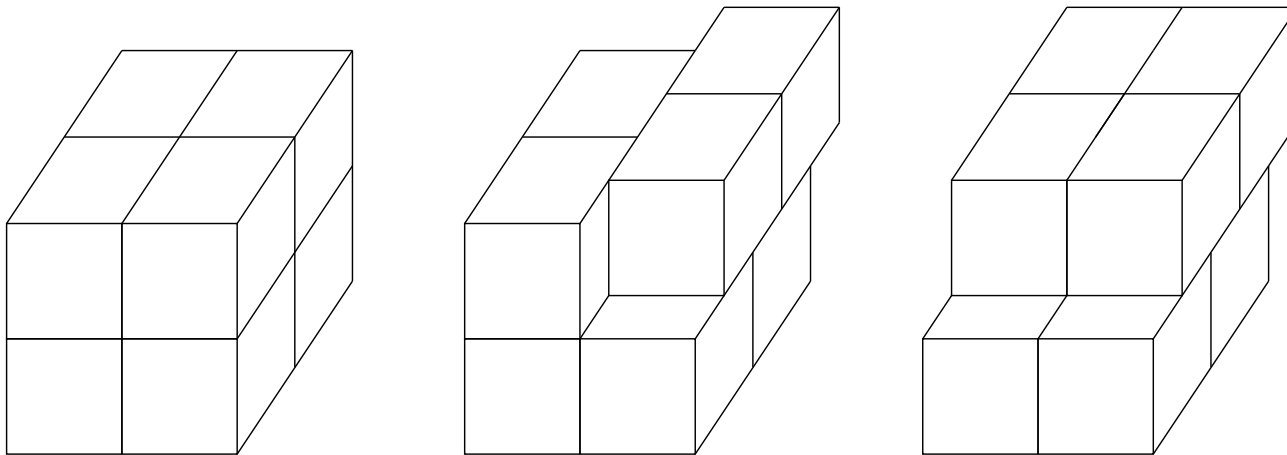


# GAP enumeration

- The graph  $G_d$  has a symmetry group of size  $d!8^d$ , which acts on the  $4^d$  elements  $\{0, 1, 2, 3\}^d$
- Cliques are associated to subsets of those  $4^d$  elements. GAP has extremely efficient techniques (**backtrack search**) for checking if two subsets are equivalent under a permutation group.
- We set  $L_1 = \{\{v\}\}$  and iterate  $i$  from 2 to  $2^d$ :
  - For every subset in  $L_{i-1}$ , consider all vertices, which are adjacent to all element in  $L_{i-1}$ .
  - Test if they are isomorphic to existing elements in  $L_i$  and if not, insert them into  $L_i$ .

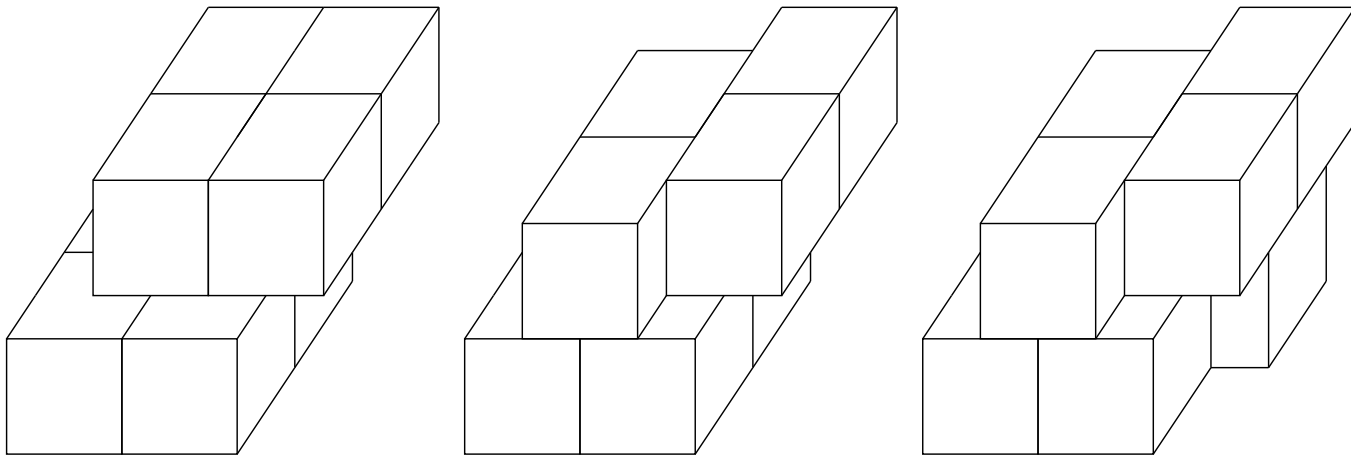
# Results for $d \leq 4$

- In dimension 3, there is a single non-extendible cube tiling and there are 9 types of cube tilings.



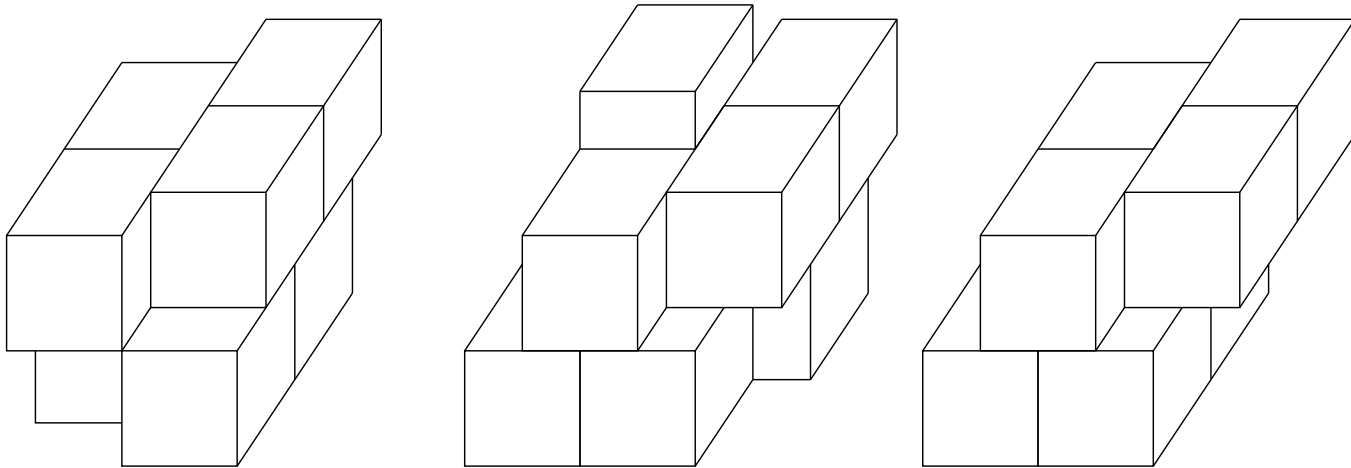
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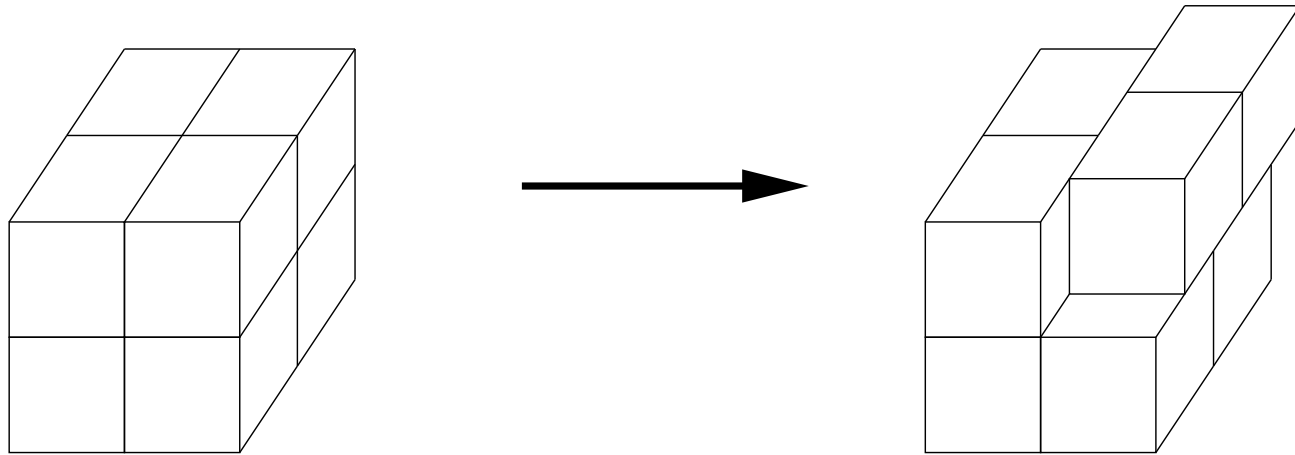


- In dimension 4, the repartition is as follows:

$N$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
$nb$	0	0	0	0	0	0	0	38	6	24	0	71	0	0	0	744

# Flipping algorithm

- 3-dimensional cube tilings suggest a flipping algorithm:



- This algorithm uses face-to-face adjacencies to generate new tilings.
- We know that this flipping algorithm will not work in dimension  $\geq 8$ , since there are cube tilings with no face-to-face adjacencies.
- This algorithm works in dimension 3 and 4.

# Random generation

- Another possibility is to take cubes at random and add them if and only if they do not overlap, until there is no space left any-more.
- Practical implementation:
  - Generate elements at random and add them if they do not overlap.
  - After the number of failures in the random generation, reach a certain threshold, enumerate all possible cubes, which do not overlap.
  - Then, choose element at random in this list and update the list by removing cubes, which will overlap.
  - Finish, when there is no choice left.

# Greedy and Metropolis algorithm

- If one wants to generate non-extendible cube packings with low density, then some other strategies are possible:
- **Greedy algorithm** In the choice of a cube at random, select the one, which covers the most important part of the space.
- **Metropolis algorithm** Take an existing non-extendible cube packing with low density and then:
  - Remove some elements chosen at random in this cube packing.
  - Do a random packing procedure on the left holes.
  - If the density of the obtained non-extendible cube packing is too high, then discard it; otherwise, take it as basis for future computations.

III. non-extendible  
cube  
packings



# Extension of cube packings

- **Theorem** A cube packing with  $N$  cubes,  $N \geq 2^d - 3$  tiles is extendible to a cube tiling.
- Given
  - a cube packing with  $2^d - \delta$  cubes of coordinates  $x^k$ ,  $1 \leq k \leq 2^d - \delta$ ,
  - a coordinate  $j$  and
  - a value  $\alpha \in \{0, 1, 2, 3\}$ .

The **induced cube packing** is the cube packing of  $\mathbb{R}^{d-1}$  obtained by taking all vectors  $x^k$  with  $x_j^k = \alpha, \alpha + 1 \pmod{4}$  and removing the  $j$ -th coordinate.

Such cube packings have at least  $2^{d-1} - \delta$  tiles.

- The proof is by induction, using induced cube packings.

# Extension of theorem

- The **complement** of an non-extendible cube packing  $\mathcal{CP}$  is the set  $\mathbb{R}^d - \mathcal{CP}$ .
- **Conjecture:** If  $\mathcal{CP}$  is an non-extendible cube packing with  $2^d - 4$  tiles, then its complement is of the same shape, as the one in dimension 3.
- **Conjecture:** If  $\mathcal{CP}$  is a cube packing with  $2^d - 5$  cubes, then it is extendible by at least one cube.
- A complement of a cube tiling is called **irreducible** if it is not the union of cube tilings on different layers.
- **Conjecture:** For a given  $\delta$ , there is a finite number of irreducible complements of volume  $2^d \delta$ .

# Low density cube packings

- Denote by  $f(d)$  the smallest number of cubes of non-extendible cube packing.
- $f(3) = 4$  and  $f(4) = 8$ .
- The following inequality holds:

$$f(n + m) \leq f(n)f(m) .$$

The cube packing realizing this is constructed by “product” of two cube packings of  $\mathbb{R}^n$  and  $\mathbb{R}^m$

- So,  $f(6) \leq f(3) \times f(3) = 16$ .
- But no random algorithm manage to find such a packing!

# Covering sets

- A **covering set**  $\mathcal{CS}$  is a set of cubes (possibly, overlapping), such that we cannot add a cube without overlapping with at least one cube in  $\mathcal{CS}$ .
- Let us denote by  $h(d)$  the smallest number of cubes in covering sets.
- $h(d) \leq f(d)$ .
- $N < h(d)$  if and only for every set of  $N$  cubes (possibly overlapping), there exist a cube, which do not overlap with them.
- **Theorem.** One has the relation

$$h(d+1) \geq \left\lfloor \frac{4h(d) - 1}{3} \right\rfloor + 1.$$

# Proof of theorem

- **Lemma.** If  $N$  satisfies the inequality  $\lfloor \frac{3N}{4} \rfloor < h(d)$ , then one has  $h(d+1) > N$ .
- Take  $N$  vectors in  $\{0, 1, 2, 3\}^{d+1}$  and consider its last coordinate. At least  $\lceil \frac{N}{4} \rceil$  vectors satisfy to  $x_{d+1} = t$  for some  $t \in \{0, 1, 2, 3\}$ .
- In illustrated proof below, one has  $d = 3$  and  $N = 5$ .

# Proof of theorem

$$\begin{array}{cccc} 0 & 0 & 1 & 3 \\ 1 & 0 & 2 & 1 \\ 2 & 3 & 0 & 2 \\ 3 & 1 & 2 & 0 \\ 0 & 3 & 3 & 3 \end{array} \quad \longrightarrow \quad \begin{array}{cccc} \del{0} & \del{0} & \del{1} & \del{3} \\ 1 & 0 & 2 & 1 \\ 2 & 3 & 0 & 2 \\ 3 & 1 & 2 & 0 \\ \del{0} & \del{3} & \del{3} & \del{3} \end{array}$$

Eliminate those vectors and, for the remaining vector, their last coordinate



# Proof of theorem

$$\begin{array}{ccc} & & 0 \ 0 \ 1 \ 3 \\ & & 1 \ 0 \ 2 \ 1 \\ & & 2 \ 3 \ 0 \ 2 \\ & & 3 \ 1 \ 2 \ 0 \\ & & 0 \ 3 \ 3 \ 3 \\ & & 0 \ 2 \ 0 \ 1 \\ 1 \ 0 \ 2 & \longrightarrow & \\ 2 \ 3 \ 0 & & \\ 3 \ 1 \ 2 & & \\ 0 \ 2 \ 0 & & \end{array}$$

By the basic assumption, setting the last coordinate to  $t + 2 \pmod{4}$  gives a cube, which does not overlap with the preceding ones.



# Proof of theorem

- Set  $N = \left\lfloor \frac{4h(d)-1}{3} \right\rfloor$ .

- Then it holds:

$$\left\lfloor \frac{3N}{4} \right\rfloor = \left\lfloor \frac{3 \left\lfloor \frac{4h(d)-1}{3} \right\rfloor}{4} \right\rfloor \leq \left\lfloor \frac{4h(d)-1}{4} \right\rfloor < h(d).$$

- So, by the Lemma,  $h(d+1) > N$ , i.e.:

$$h(d+1) \geq \left\lfloor \frac{4h(d)-1}{3} \right\rfloor + 1.$$

# Values of $f(d)$

- $h(4) = 7$ , while  $f(4) = 8$ .
- Above theorem yields

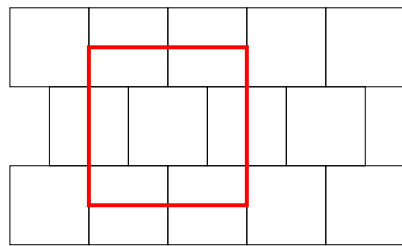
$$f(5) \geq 10 \quad \text{and} \quad f(6) \geq 14 .$$

- We found (by random method) many non-extendible packings with 12 cubes, but not with less than 12 cubes.
- Could it be that  $f(5) = 12$ ?
- It seems also likely, that  $f(6) = 16$  and that the best cube packing in dimension 6 is unique.
- An interesting question if to estimate the asymptotic behavior of  $h(d)$  or  $f(d)$ .

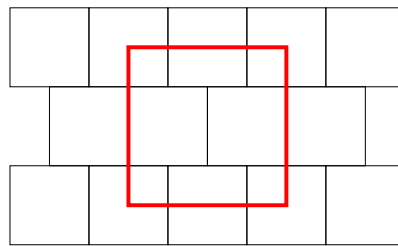
# IV. Second moment

# The counting function

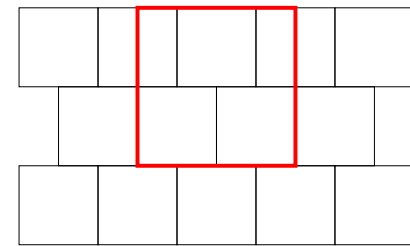
- Take a cube packing  $\mathcal{CP}$  and  $z \in \mathbb{Z}^d$ 
  - $C_z$  is the cube  $z + [0, 4]^d$  of corner  $z$
  - $N_z$  the number of cubes inside  $C_z$ .



$$N_z(\mathcal{CP}) = 1$$



$$N_z(\mathcal{CP}) = 2$$



$$N_z(\mathcal{CP}) = 3$$

- $N_z$  is  $2\mathbb{Z}^d$ -periodic. Denote by  $E(\cdot)$  the averaging operator.
- First moment  $\mathcal{M}_1 = E(N_z) = \left(\frac{3^d}{4^d}\right)N$ .

# Maximal second moment

- We want to find minimal values of the second moment, i.e.  $\mathcal{M}_2 = E(N_z^2)$ .
- One defines the space

$$\mathcal{G} = \left\{ \begin{array}{l} f : \{0, 1, 2, 3\}^d \rightarrow \mathbb{R}. \\ \forall x \in \{0, 1, 2, 3\}^d \text{ one has } \sum_{x+\{0,1\}^d} f(x) = 1 \\ \text{and } f(x) \geq 0 \end{array} \right\}$$

Cube tilings correspond to  $(0, 1)$ -vectors of  $\mathcal{G}$ .

- We will prove that  $\mathcal{M}_2 = E(N_z(f)^2)$ , with  $f \in \mathcal{G}$ , is maximal for  $f$  associated to a regular cube tiling.

# Maximal second moment

- Given  $f \in \mathcal{G}$ , define:

$$M_i(f)(x) = \begin{cases} f(x) + f(x + e_i) & \text{if } x_i = 0 \text{ or } 2 \\ 0 & \text{if } x_i = 1 \text{ or } 3. \end{cases}$$

$M_i(f)$  belongs to  $\mathcal{G}$ .

- One proves  $E(\{N_z(M_i(f))\}^2) \geq E(N_z(f)^2)$  for every  $f \in \mathcal{G}$ .
- If  $f \in \mathcal{G}$ , then  $M_d \dots M_1(f)$  is the function of the regular cube tiling.
- So, the second moment  $\mathcal{M}_2$  is maximal for regular cube tiling.

# Lower bound

- **Theorem.** If  $\mathcal{CP}$  is a cube packing with  $N$  cubes, then its second moment  $\mathcal{M}_2$  satisfies the inequality:

$$\mathcal{M}_1 + N(N - 1)2^d + 2^d d\{q(q - 1) + rq\} \leq \mathcal{M}_2$$

with  $N = 4q + r$ ,  $0 \leq r \leq 3$  and

$$\mathcal{M}_1 = \left(\frac{3^d}{4^d}\right)N .$$

- For  $d = 3$  and  $N = 4$ , the cube packing minimizing the second moment is the non-extendible one.

# Proof of lower bound

- Take a cube packing  $A^1, \dots, A^N$ .
- If  $C_j$  for  $1 \leq j \leq 4^d$  is the collection of all  $4 \times 4$ -cubes, then every  $A^i$  is contained in  $3^d$  cubes  $C_j$ .
- Denote by  $n_j$ , for  $1 \leq j \leq 4^d$ , the number of cubes  $A^i$  contained in  $C_j$ .

$$\mathcal{M}_1 = \frac{1}{4^d} \sum_j n_j = \left(\frac{3^d}{4^d}\right)N \quad \text{and} \quad \mathcal{M}_2 = \frac{1}{4^d} \sum_j n_j^2.$$

- Denote by  $t_{ij}$  the number of cubes  $C_k$ , containing  $A^i$  and  $A^j$ . One has:

$$\sum_{i < j} t_{ij} = \sum_j \frac{n_j(n_j - 1)}{2}.$$



# Proof of lower bound

- Denote  $\mu_{ij}$  the number of equal coordinates of  $A^i$  and  $A^j$ .
- Then one has:

$$t_{ij} = \left(\frac{3}{2}\right)^{\mu_{ij}} 2^d \geq 2^d + 2^{d-1} \mu_{ij} .$$

with equality for  $\mu_{ij} = 0$  or  $1$ .

- So, one gets:

$$\sum_{i < j} t_{ij} \geq N(N-1)2^{d-1} + 2^{d-1} \sum_{i < j} \mu_{ij} .$$

# Proof of lower bound

- Denote  $R_k$  the number of equal pairs in column  $k$ . One has:

$$\sum_{i < j} \mu_{ij} = \sum_{k=1}^d R_k .$$

- Fix  $k$ ; if  $d_u$  is the number of vectors of value  $u$  in column  $k$ , then it holds:

$$R_k = \sum_{u=0}^3 \frac{d_u(d_u - 1)}{2}, \quad d_u \geq 0 \quad \text{and} \quad \sum_{u=0}^3 d_u = N .$$

- Writing  $N = 4q + r$  and minimizing over  $d_u$ , one gets:

$$R_k \geq 2q(q - 1) + rq .$$

# THANK YOU

