

C-types,
a generalization of
L-types

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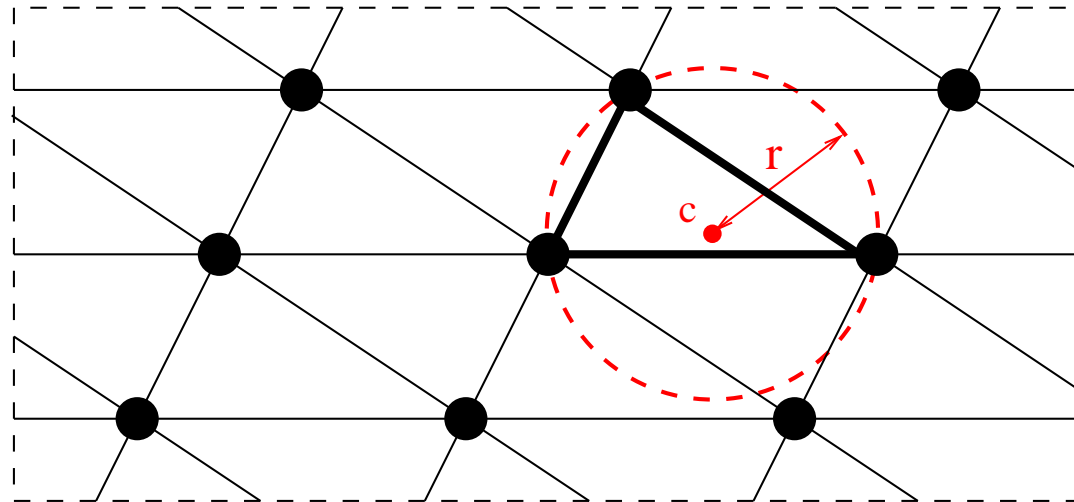
I. Delaunay polytopes and *L*-type theory

Empty sphere and Delaunay polytopes

A sphere $S(c, r)$ of radius r and center c in an n -dimensional lattice L is said to be an **empty sphere** if:

- (i) $\|v - c\| \geq r$ for all $v \in L$,
- (ii) the set $S(c, r) \cap L$ contains $n + 1$ affinely independent points.

A **Delaunay polytope** P in a lattice L is a polytope, whose vertex-set is $L \cap S(c, r)$.



Gram matrix and lattices

- Take u an isometry of \mathbb{R}^n . D is a Delaunay polytope of a lattice L if and only if $u(D)$ is a Delaunay polytope of $u(L)$. We want to study isometry classes of lattices.
- Denote by S^n the vector space of real symmetric $n \times n$ matrices and by $S_{>0}^n$ the convex cone of positive definite ones.
- Lattice L generated by v_1, \dots, v_n corresponds to

$$G_v = (\langle v_i, v_j \rangle)_{1 \leq i, j \leq n} \in S_{>0}^n .$$

G_v depends only on the isometry class of L .

- Given $M \in S_{>0}^n$, one can find vectors v_1, \dots, v_n such that $M = G_v$.

Gram matrix and lattices

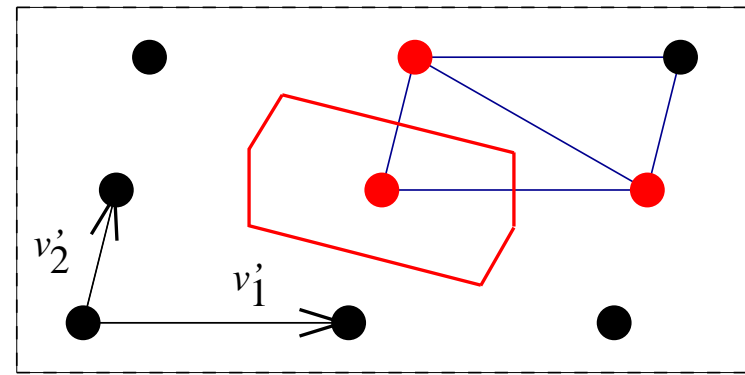
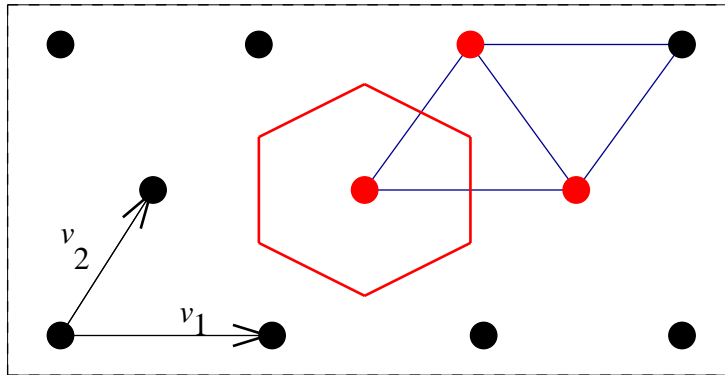
- Two matrices M, M' are **arithmetically equivalent** if there exist $P \in GL_n(\mathbb{Z})$ such that

$$M' = P^T M P .$$

- For any two basis v, v' of a lattice L , G_v and $G_{v'}$ are arithmetically equivalent.
- Lattices up to isometric equivalence correspond to $S_{>0}^n$ up to **arithmetic equivalence**.
- In practice it is preferable to **think and draw** in terms of lattices, but to **compute** in terms of matrices in $S_{>0}^n$.
- In the following, the **Delaunay decomposition of a matrix** $M \in S_{>0}^n$ is the Delaunay decomposition of \mathbb{Z}^n with respect to the scalar product $x^T M y$.

L-type domains

- A *L*-type domain is the set of matrices $M \in S_{>0}^n$ with the same Delaunay decomposition.
- Geometrically this means that the Gram matrices $G_{\mathbf{v}}$, $G_{\mathbf{v}'}$ of following lattices L and L'

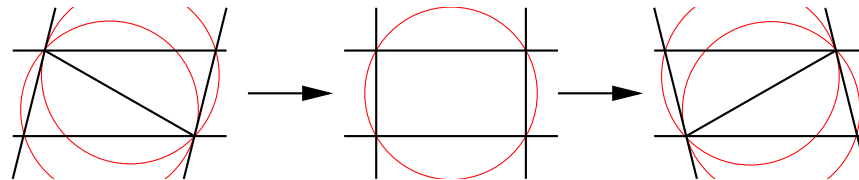


are part of the same *L*-type domain.

- Specifying Delaunay polytopes, means putting some linear equalities and inequalities on the Gram matrix $G_{\mathbf{v}}$.
- A priori, infinity of inequalities but a **finite** number suffices.

Equivalence and enumeration

- If there is no equalities, i.e. if all Delaunays are simplices, then the L -type is called **primitive**.
- The group $GL_n(\mathbb{Z})$ acts on $S_{>0}^n$ by arithmetic equivalence and preserve the primitive L -type domains.
- Voronoi proved that there is a **finite number** of primitive L -type domains up to arithmetic equivalence.
- **Bistellar flipping** creates new triangulations. In dim. 2:



- Enumerating them is done classically:
 - Find one primitive L -type domain.
 - Find the adjacent ones by bistellar flipping and reduce by arithmetic equivalence.

II. *C*-types

(by Ryshkov & Baranovs

C-primitivity

- If D is a Delaunay polytope, an edge $e = [v_1, v_2]$ of D between two vertices v_1 and v_2 of D is a face of D .
- The edge e is encoded by its middle vector $m(e) = \frac{1}{2}(v_1 + v_2)$. Up to translation, one can assume that $m(e) \in \{0, \frac{1}{2}\}^n$.
- A **parity class** is a vector $c \in \{0, \frac{1}{2}\}^n - \{0\}$; we denote by \mathcal{PC} the set of all parity classes.
- The matrix $M \in S_{>0}^n$ is said to be **C-primitive** if for every $c \in \mathcal{PC}$, there exist an edge $e = [v_1, v_2]$ of the Delaunay decomposition of M such that $m(e) = c$.

C -rigidity index

- If $c \in \mathcal{PC}$, denote by $N(c)$ the vectors, which are closest to c . $N(c)$ is a **centrally symmetric** face of a Delaunay polytope of \mathbb{Z}^n .
- c is at equal distance from all points in $N(c)$ so there is $\lambda > 0$ such that

$$(v - c)^T M (v - c) = \lambda \quad \text{for all } v \in N(c) .$$

This makes **linear equalities** on M .

- The **C -rigidity index** is defined as the dimension of the space defined by those equalities.

C-type

- Denote $\mathcal{FS}(\mathbb{Z}^n)$ the family of all finite subsets of \mathbb{Z}^n .
- A **C-type** is
 - a function $N : \mathcal{PC} \rightarrow \mathcal{FS}(\mathbb{Z}^n)$ with
 - $N(c)$ being a collection of vertices in \mathbb{Z}^n , which is invariant by the action $x \mapsto 2c - x$.
- A C-type is called **primitive** if for every $c \in \mathcal{PC}$, one has $N(c) = \{v_1, v_2\}$.
- A primitive C-type can be encoded by the family

$$\{v_2 - v_1 \mid c \in \mathcal{PC}\}$$

Primitive C-types can be reconstructed from this information.

C -type domain

- A C -type is called **realizable** if there exists a matrix $M \in S_{>0}^n$ having centrally symmetric faces of Delaunay being in this C -type.
- We will consider only realizable C -types. Associated to a realizable C -type, there is its **C -type domain**, i.e. the set of matrices $M \ni S_{>0}^n$ whose centrally symmetric faces are this C -type.
- A C -type domain is primitive if and only if its centrally symmetric faces are simply edges.

Matrix expression

- Take a C -type \mathcal{CT} and M in the C -type domain. For any $c \in \mathcal{PC}$, one should have
 - Take $v_0 \in N(c)$; for any $v \in N(c)$:

$$(v - c)^T M(v - c) = (v_0 - c)^T M(v_0 - c)$$

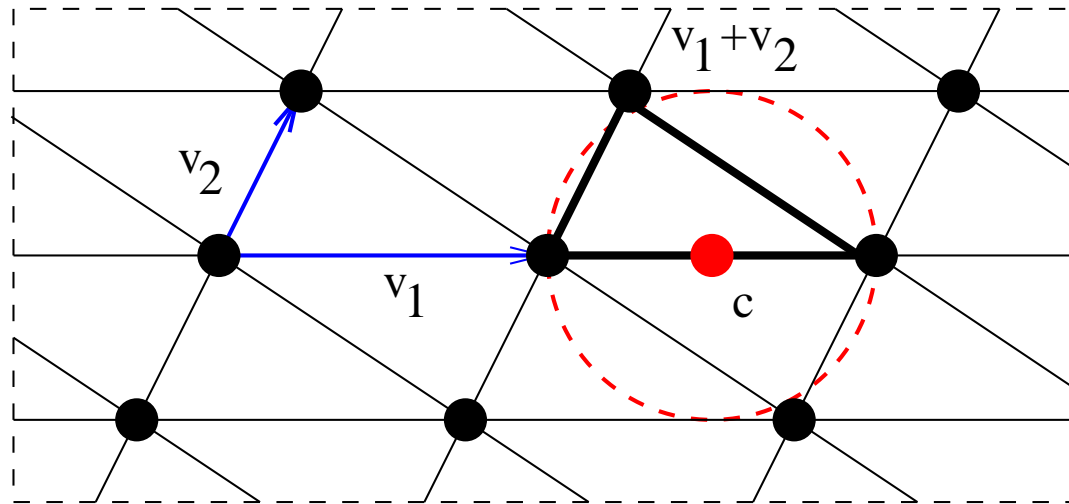
- For any $v \in \mathbb{Z}^n - N(c)$:

$$(v - c)^T M(v - c) > (v_0 - c)^T M(v_0 - c)$$

- The first part makes linear equalities, the second part makes linear inequalities.
- Hence C -type domains are **convex cone** in $S_{>0}^n$.

Dimension 2 example

- Take a lattice $L = \mathbb{Z}v_1 + \mathbb{Z}v_2$:



- The condition that $v_1 + v_2$ is outside of the edge $[v_1, 2v_1]$ of center $c = \frac{3}{2}v_1$ yields

$$\|v_1 + v_2 - \frac{3}{2}v_1\| > \|v_1 - \frac{3}{2}v_1\|$$

i.e. $\|v_2\|^2 - \langle v_1, v_2 \rangle \geq 0$

- In fact in dimension 2, C -types coincide with L -types.

General theorem

- The group $GL_n(\mathbb{Z})$ acts on the set of C -type domains by arithmetic action

$$\begin{aligned} GL_n(\mathbb{Z}) \times S_{>0}^n &\rightarrow S_{>0}^n \\ (P, M) &\mapsto P^T M P \end{aligned}$$

- **Thm.(Ryshkov & Baranovskii)**
 - C -type domains are **polyhedral cones**.
 - C -type domains realize a **face-to-face tessellation** of $S_{>0}^n$
 - The L -type domain tessellation of $S_{>0}^n$ is a **finite refinement** of the C -type domain tessellation.
 - In a fixed dimension, there are a **finite number** of C -type domains up to equivalence.

Results

- All results on C -type were obtained by Ryskov & Baranovskii.
- They used it as technical tool for enumerating the L -types in dimension 5.

dim	Primitive L -types	Authors	Primitive C -types
2	1	Dirichlet (1860)	1
3	1	Fedorov (1885)	1
4	3	Voronoi (1908)	3
5	222	BaRy (1976), Engel & Gr (2002)	76

Proofs

- If $M \in S^n$ satisfy all the condition of a C -type then $M \in S_{\geq 0}^n$.

proof: for $v \in \mathbb{Z}^n$ and $\lambda \in \mathbb{Z}$ one has

$$(\lambda v - c)^T M (\lambda v - c) \geq (v_0 - c)^T M (v_0 - c) \text{ with } v_0 \in N(c)$$

passing to the limit $\lambda \rightarrow \infty$, one obtains $v^T M v \geq 0$.

- Every L -type domain \mathcal{LT} is contained in a unique C -type domain \mathcal{CT} denoted by $\phi(\mathcal{LT})$.

proof: The L -type domain \mathcal{LT} defines all the Delaunay polytopes. Computing their centrally symmetric faces, one obtains a C -type domain.

- A C -type \mathcal{CT} is the union of L -type domains.

proof: if $M \in \mathcal{CT}$, then $M \in \mathcal{LT}$ with \mathcal{LT} a L -type domain. By the above $\mathcal{LT} \subset \mathcal{CT}$.

Proofs

A C -type \mathcal{CT} contains a finite number of L -type domains.

- **proof:** There are a finite number of primitive L -type domains up to equivalence. Take $\mathcal{LT}_1, \dots, \mathcal{LT}_r$ some representatives.
- $\mathcal{LT}_i \subset \phi(\mathcal{LT}_i) = \mathcal{CT}_i$.
- Now it suffices to prove that for only a finite number of $P \in GL_n(\mathbb{Z})$ one has $P^T \mathcal{LT}_i P \subset \mathcal{CT}_i$.
- Take a Delaunay polytope D of \mathcal{LT}_i and find a basis (e_1, \dots, e_n) of \mathbb{R}^n made of edges of D
- If $P^T \mathcal{LT}_i P \subset \mathcal{CT}_i$ then $P(e_1, \dots, e_n)$ is a family of edges of \mathcal{CT}_i . So, there is a finite number of possible P .

Proofs

- C -type domains are polyhedral cone.

proof: We know that C -type domains are finite union of L -type domains. Since C -type domains are convex, they are necessarily polyhedral.

- C -type domains realize a face-to-face tessellation of $S_{>0}^n$.

proof: $S_{>0}^n$ is an union of C -type domains. They are defined by linear inequalities, so automatically, this makes a face-to-face tiling.

III. Algorithms

General algorithms

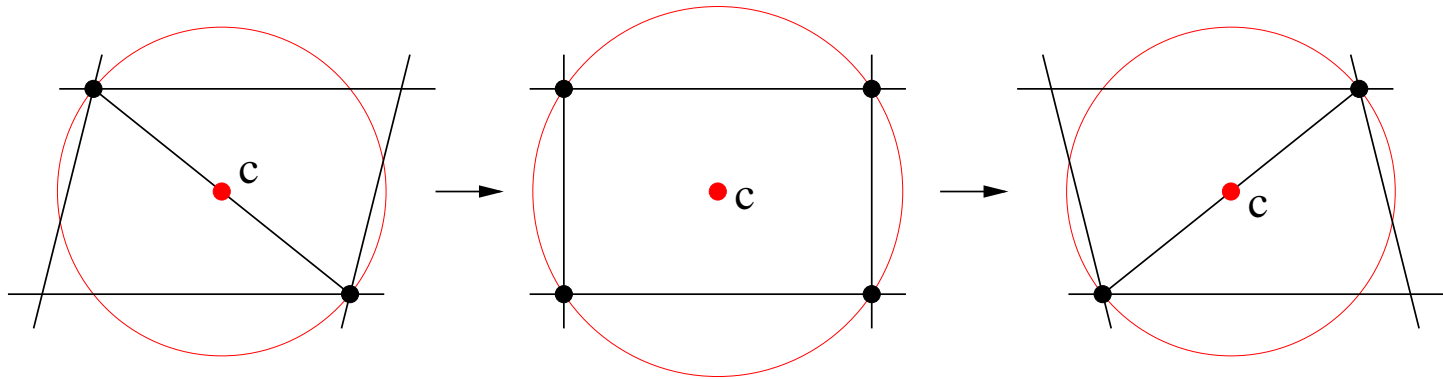
- We want to enumerate primitive C -type domains, the strategy used is
 - Find a primitive C -type domain and insert it into the list of primitive C -type domains.
 - For every undone primitive C -type domain,
 - Compute the non-redundant inequalities defining it.
 - For every facet, find the adjacent C -type domain.
 - For every adjacent C -type domain, do an isomorphism test with the elements in the existing list and insert them if they are new.

Obtaining primitive C -type domain

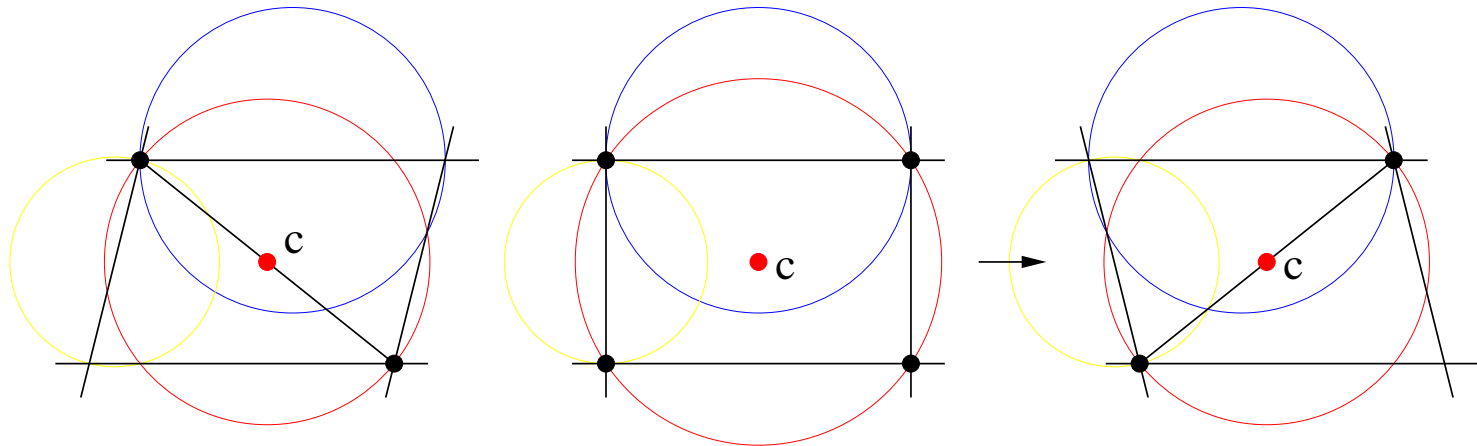
- The algorithm is similar to the one for L -types.
- Iterate the following
 - Find a random integral matrix, compute its Delaunay decomposition.
 - If one of the Delaunay has a centrally symmetric face, which is not an edge, then we know that the C -rigidity index is less than $\frac{n(n+1)}{2}$ and we restart the computation.
 - Otherwise, return the corresponding C -type.
- This algorithm is of **Las Vegas** type, i.e. it always return a correct answer but the running time is not known.

The geometrical picture

- Geometrically the flipping consists in dim. 2 of:

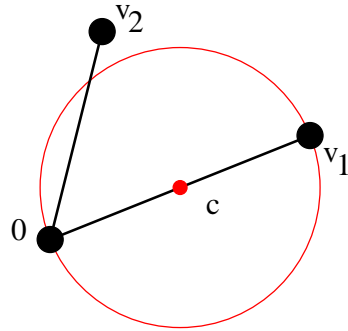


- If one puts the three parity classes in dim. 2:



Finding non-redundant inequalities

- Find all doubles (v_1, v_2) such that $[0, v_1]$ and $[0, v_2]$ are edges of the Delaunay decomposition.



- For any double (v_1, v_2) , define the linear inequality

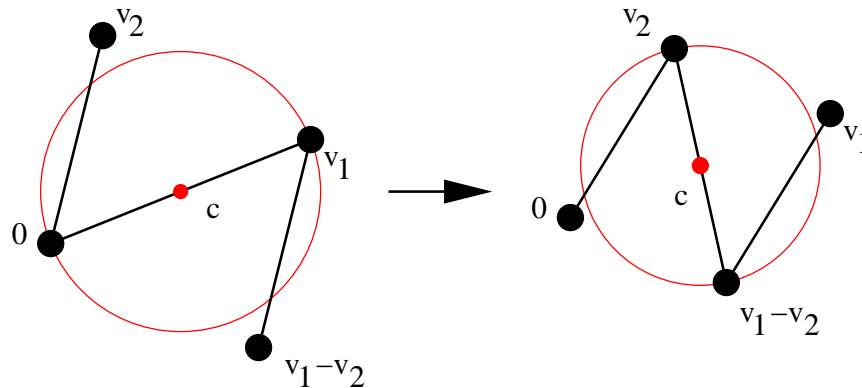
$$(v_2 - c)^T M (v_2 - c) \geq (v_1 - c)^T M (v_1 - c) \quad \text{with} \quad c = \frac{1}{2}(v_1)$$

Denote by $f_{v_1, v_2}(M) \geq 0$ the corresponding inequality.

- This form a finite set \mathcal{S} of inequalities. We extract a non-redundant set from \mathcal{S} by linear programming.

The C -flipping

- Take a primitive C -type domain \mathcal{CT} and a non-redundant inequality $f(M) \geq 0$. We want to flip \mathcal{CT} along the facet defining equality $f(M) = 0$.
 - Find all double (v_1, v_2) such that there is $\alpha > 0$ with $f_{v_1, v_2}(M) = \alpha f(M)$.
 - For every such double replace the edge $[0, v_1]$ by the edge $[v_2, v_1 - v_2]$.



- We then get the adjacent primitive C -type domain.

Testing equivalence

- Associate to \mathcal{CT} with edge vectors (v_1, \dots, v_{2^n-1}) the vector family

$$V(\mathcal{CT}) = (v_1, -v_1, \dots, v_{2^n-1}, -v_{2^n-1})$$

- Two C -type domains \mathcal{CT} and \mathcal{CT}' are equivalent if there exist a matrix $P \in GL_n(\mathbb{Z})$ such that $\mathcal{CT}' = P^T \mathcal{CT} P$.
- In other words \mathcal{CT} and \mathcal{CT}' are equivalent if and only if there exist a matrix $P \in GL_n(\mathbb{Z})$ such that $PV(\mathcal{CT}) = V(\mathcal{CT}')$.
- The automorphism group question is expressed similarly.

Lemma

If \mathcal{CT} is a C -type, then its edges \mathbb{Z} -generates \mathbb{Z}^n .

- **proof:** Take \mathcal{LT} a L -types such that $\phi(\mathcal{LT}) = \mathcal{CT}$. If v and v' are two vertices of \mathbb{Z}^n , then we can find a sequence of vertices $v = v^0, \dots, v^N = v'$ such that v^i and v^{i+1} belong to the same Delaunay polytope.
- For any two vertices w, w' of a Delaunay polytope D one can find a sequence of vertices $w = w^0, \dots, w^M = w'$ such that w^i and w^{i+1} form an edge of D .
- Every edge of D corresponds to an edge of the C -type. Hence,

$$v' - v = \sum_e \lambda_e e \quad \text{with } \lambda_e \in \mathbb{Z}$$

Algorithm

- To the C -type with vector family $V(\mathcal{CT})$ one associates

$$M_{\mathcal{CT}} = \sum_{v \in V(\mathcal{CT})} vv^T$$

- Associates to \mathcal{CT} the edge colored graph $G(\mathcal{CT})$ on $V(\mathcal{CT})$ with edge colors

$$p_{v,v'} = v^T M_{\mathcal{CT}}^{-1} v' \quad \text{for any } v, v' \in V(\mathcal{CT})$$

- There exist a matrix $P \in GL_n(\mathbb{R})$ such that $PV(\mathcal{CT}) = V(\mathcal{CT}')$ if and only if the edge-colored graph $G(\mathcal{CT})$ and $G(\mathcal{CT}')$ are isomorphic.
- $V(\mathcal{CT})$ and $V(\mathcal{CT}')$ are \mathbb{Z} -generating, so $P \in GL_n(\mathbb{Z})$.

IV. Generalization

$S_{>0}^n$ -spaces

- A $S_{>0}^n$ -space \mathcal{SP} is a vector space of S^n , which intersect $S_{>0}^n$.
- We want to study the centrally symmetric faces of matrices $M \in \mathcal{SP} \cap S_{>0}^n$.
- Example of possible spaces are

$$\mathcal{SP}(G) = \{X \in S^n \mid g^T X g = X \text{ for all } g \in G\}$$

with G a finite subgroup of $GL_n(\mathbb{Z})$.

G -invariant faces

- Centrally symmetric faces are faces, which are invariant by a transformation $x \mapsto w - x$ with $w \in \mathbb{Z}^n$.
- If G is a finite subgroup of $GL_n(\mathbb{Z})$, why not consider the faces that are invariant under G ?

k -faces

- L -types are the specification of all Delaunay, i.e. of n -dimensional faces.
- C -types are the specification of centrally symmetric faces but in primitive case, it is the specification of 1-dimensional faces.
- Would it be possible to extend the theory to the case of k -dimensional faces with $1 < k < n$?
- After that one would want a subspace version of it

V. First generalization

Settings

- Take \mathcal{SP} a $S_{>0}^n$ -space.
- We want to describe the centrally symmetric faces of Delaunay decomposition of matrices in $\mathcal{SP} \cap S_{>0}^n$.
- A (\mathcal{SP}, C) -type is defined as the assignation of centrally symmetric faces of the Delaunay tessellation. A (\mathcal{SP}, C) -type domain is the corresponding convex cone.
- A (\mathcal{SP}, C) -type domain is obtained as intersection of a C -type domain (in $S_{>0}^n$) with \mathcal{SP} . They are thus polyhedral domains.
- Two (\mathcal{SP}, C) -type domains \mathcal{CT}_1 and \mathcal{CT}_2 are called equivalent if there exist $P \in GL_n(\mathbb{Z})$ such that $P^T \mathcal{CT}_1 P = \mathcal{CT}_2$.

Equivariance and finiteness

- If G is a finite subgroup of $GL_n(\mathbb{Z})$, then

$$\mathcal{SP}(G) = \{M \in S^n \mid g^T M g = M \text{ for all } g \in G\}$$

- **Thm.(Zassenhaus):** One has the equality

$$\{g \in GL_n(\mathbb{Z}) \mid g\mathcal{SP}(G)^t g = \mathcal{SP}(G)\} = N_{GL_n(\mathbb{Z})}(G)$$

- **Thm.(DSV):** Take Δ a polyhedral face-to-face tiling of $S_{>0}^n$, which is invariant under $GL_n(\mathbb{Z})$ and has a finite number of classes. If G is a finite subgroup of $GL_n(\mathbb{Z})$ then $\Delta \cap \mathcal{SP}(G)$ has a finite number of classes under action of $N_{GL_n(\mathbb{Z})}(G)$.

- **Thm.** For a given finite group $G \in GL_n(\mathbb{Z})$, there are a finite number of C -types under the action of $N_{GL_n(\mathbb{Z})}(G)$.

Finiteness

- Suppose \mathcal{SP} is an $S_{>0}^n$, define

$$\text{Stab}(\mathcal{SP}) = \left\{ g \in GL_n(\mathbb{Z}) \text{ such that } \begin{array}{l} g\mathcal{SP}^t g = \mathcal{SP} \end{array} \right\}$$

- We know some examples where \mathcal{SP} is irrational such that
 - $\text{Stab}(\mathcal{SP}) = \pm I_n$
 - \mathcal{SP} contains an infinite number of (\mathcal{SP}, C) -type domains.

And so contain an infinite number of C -types after action of $\text{Stab}(\mathcal{SP})$.

- But we know no example with \mathcal{SP} rational and an infinite number of (\mathcal{SP}, C) -types after action of $\text{Stab}(\mathcal{SP})$.

General algorithms

- A (\mathcal{SP}, C) -type domain is called **primitive** if it has maximal dimension in \mathcal{SP} .
- We fix a $S_{>0}^n$ -space \mathcal{SP} and we want to enumerate primitive (\mathcal{SP}, C) -type domains, the strategy used is
 - Find a primitive (\mathcal{SP}, C) -type domain and insert it into the list of primitive (\mathcal{SP}, C) -type domains
 - For every undone primitive (\mathcal{SP}, C) -type,
 - Compute the non-redundant inequalities defining it
 - For every facet, find the adjacent C -type domain.
 - For every adjacent (\mathcal{SP}, C) -type domain, Do an **isomorphism test** with elements in the existing list and insert them if they are new.
- Finding primitive (\mathcal{SP}, C) -type domain is easy: take element at random and finish when it is ok.

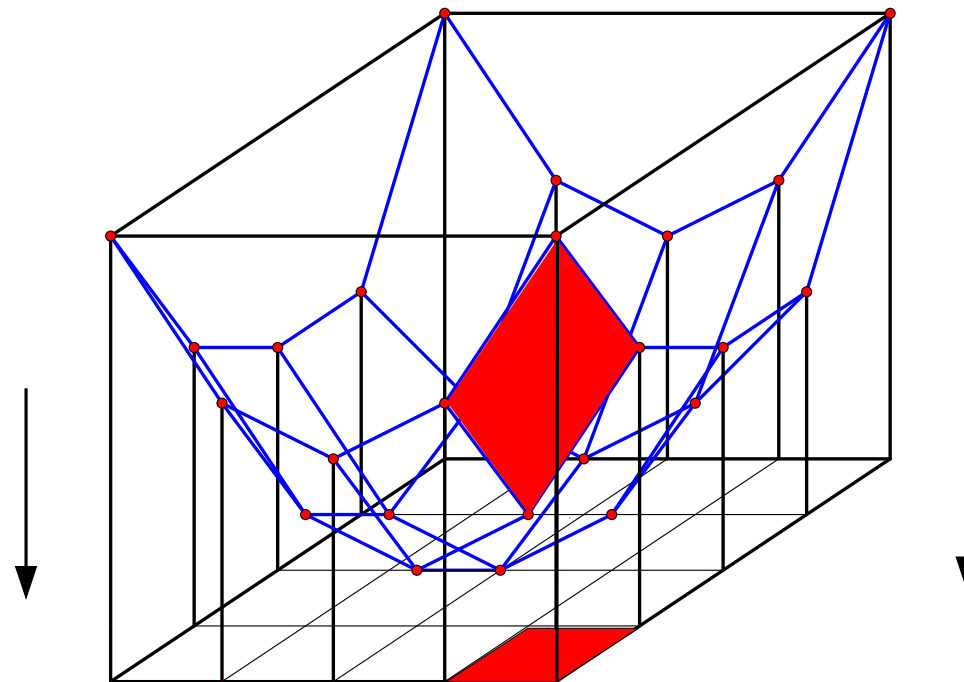
Linear inequalities

- We have the family of $N(c)$ and we want to find the corresponding inequality.
- The first step consists in finding the facets of $N(c)$. For every such facet F , find all centers c' , such that $N(c')$ and $N(c)$ share F . Saying that vertices of $N(c')$ are outside the sphere around $N(c)$ makes one linear inequality. Denote this inequality by $f_{c,c'}(M) \geq 0$.
- There is a finite number of such inequalities.
- We extract the set of non-redundant inequalities from this finite set.

Lifted Delaunay decomposition

- The Delaunay polytopes of a lattice L correspond to the facets of the convex cone $\mathcal{C}(L)$ with vertex-set:

$$\{(x, \|x\|^2) \text{ with } x \in L\} \subset \mathbb{R}^{d+1} .$$



- Faces of Delaunay polytopes \Leftrightarrow faces of $\mathcal{C}(L)$

Oriented graph

- Take a (\mathcal{SP}, C) -type and suppose we know all the non-redundant inequalities of the (\mathcal{SP}, C) -type domain. Take $f(M) \geq 0$ one such inequality.
- Construct an oriented graph G on \mathcal{PC} by
 $c \rightarrow c'$ if and only if there is $\alpha > 0$ with $f_{c,c'}(M) = \alpha f(M)$
- Take an oriented graph G , the directed component $DC(v)$ of a vertex v is the set of vertices v' of G such that there exist a path

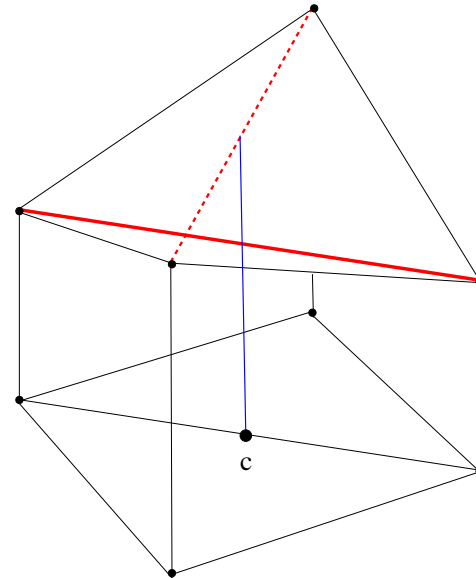
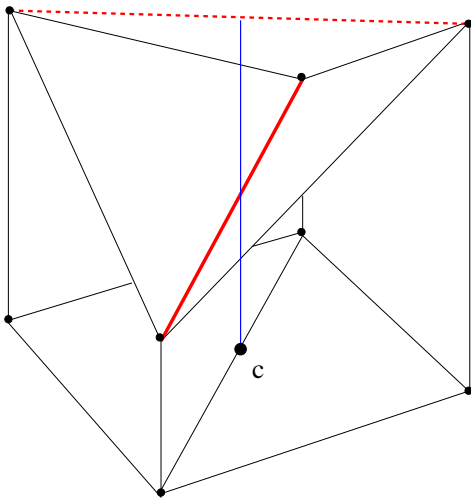
$$v = v^0 \rightarrow v^1 \rightarrow \dots \rightarrow v^N = v'$$

(\mathcal{SP}, C) -repartitionning polytope

- For every directed component $DC(c)$ of this graph, the (\mathcal{SP}, C) -repartitionning polytope $RP(c)$ is the polytope with vertex-set

$(v, {}^t v M v)$ with v a vertex of a Delaunay of $DC(c)$

- Every face of a Delaunay of the form $N(c')$ with $c' \in DC(c)$ correspond to a face of $RP(c)$.



Faces of $RP(c)$

- If $c' \in DC(c)$, then define the affine line $c' + \mathbb{R}_+e_{n+1}$ in \mathbb{R}^{n+1} and create the intersection

$$RP(c) \cap c' + \mathbb{R}_+e_{n+1} = [c' + \lambda_1e_{n+1}, c' + \lambda_2e_{n+1}]$$

- $N(c')$ is the smallest face containing $c' + \lambda_1e_{n+1}$.
- $N'(c')$ is the smallest face containing $c' + \lambda_2e_{n+1}$.
- Consider λ such that $c' + \lambda e_{n+1} \in RP(c)$. Then there exist x_v , such that

$$\begin{cases} c' + \lambda e_{n+1} & = \sum_{v \in V(RP(c))} x_v v \text{ with } x_v \geq 0 \\ 1 & = \sum_{v \in V(RP(c))} x_v \end{cases}$$

λ_1 , λ_2 and $N'(c')$ are found by linear programming.

The (\mathcal{SP}, C) -flipping

- Take a (\mathcal{SP}, C) -type domain and $f(M) \geq 0$ a relevant inequality of \mathcal{CT} .
- The (\mathcal{SP}, C) -flipping of \mathcal{CT} along $f(M) = 0$ is realized in the following way:
 - Find all oriented directed component $DC(c)$
 - For every $c' \in DC(c)$, if $\lambda_1 \neq \lambda_2$, do linear programming and change $N(c')$ by $N'(c')$.
 - We then get the new (\mathcal{SP}, C) -type domain.

VI. Second generalization

G -parity classes

- We take G a finite subgroup of $GL_n(\mathbb{Z})$ and consider the space $\mathcal{SP}(G)$. We do not assume that $-I_n \in G$.
- The **G -parity classes** are the vectors $c \in \mathbb{R}^n$ such that for all $g \in G$ one has $gc - c \in \mathbb{Z}^n$.
- We want a finite number of G -parity classes
- This means that we want the system of equation $gx = x$ with $g \in G$ implies $x = 0$.
- For all $x \in \mathbb{R}^n$ one has $\sum_{g \in G} gx = 0$.

Nearest neighbors

- We assume that the solution of the equation $gx = x$ for all $g \in G$ is only 0.
- The set $N(c)$ of nearest neighbors to a G -parity class is G -invariant.
- By above property one will have

$$\frac{1}{|N(c)|} \sum_{v \in N(c)} v = c$$

- All the preceding theory generalizes by replacing parity classes by G -parity classes. Also, one can take a linear subspace \mathcal{SP} of $\mathcal{SP}(G)$.

THANK

YOU